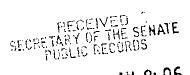
Clerk of the House of Representatives Secretary of the Senate Legislative Resource Center B-106 Cannon Building Washington, DC 20515

Office of Public Records 232 Hart Building Washington, DC 20510



02 MAR -5 AM 8: 06

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

Registrant Name	
Natl. Assoc. of Independent Insurers	
2. Address Check if different than previously reported	
444 N. Capitol Street, NW, Suite 801	
3. Principal Place of Business (if different from line 2)	
City: Washington Sta	te/Zip (or Country) DC 20001
4. Contact Name Telephone	E-mail (optional) 5. Senate ID #
Carl M. Parks (202) 639-04	90 cparks@naii.org 26i
7. Client Name Self	6. House iD#
	302
INCOME OR EXPENSES - Complete Eith	······································
12. Lobbying Firms	13. Organizations
INCOME relating to lobbying activities for this reporting period was:	EXPENSES relating to lobbying activities for this reperiod were:
Less than \$10,000 🗀	Less than \$10,000 🔲
\$10,000 or more □ ⇒ \$	\$10,000 or more \$\ \d\ \sigma\ \\$ \ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\
Income (nearest \$20,000) Provide a good faith estimate, rounded to the nearest \$20,000 of all lobbying related income from the client (including a payments to the registrant by any other entity for lobbying activities on behalf of the client).	Method A. Penorting amounts using I DA defin
	Method C. Reporting amounts under section 16 Internal Revenue Code
Signature are Molace	2/4/02
Printed Name and Title Carl M. Parks, Senior Vic	e President-Government Relations

Filing #a57939c6-12a2-4889-8df8-69ba683b026e - Page 1 of 28

LD-2 (REV. 6/98)

Registrant Name Natl. Assoc. of Independent Insurers Client N	Name
LOBBYING ACTIVITY. Select as many codes as necessengaged in lobbying on behalf of the client during the repoinformation as requested. Attach additional page(s) as necessers.	rting period. Using a separate page for each cod
15. General issue area code BAN (one per page)	
16. Specific lobbying issues H.R. 3210 Terrorism Risk Protection Act - All provisions; H.R. 2720 Consu Consumer Privacy Act - All provisions; H.R. 1408 Financial Services Antifr Information Act - All provisions; H.R. 718 Unsolicited Commercial Electror - All provisions; H.R. 347 Consumer Online Privacy and Disclosure Act - A Protection Act of 2001 - Privacy provisions; H.R. 260 Wireless Privacy Prote Enhancement Act - All provisions; H.R. 220 Identity Theft Protection Act o provisions; H.R. 91 Social Security On-line Privacy Protection Act - All provisions; H.R. 91 Social Security On-line Privacy Protection Act - S. 1014 Social Security Number Privacy and Identify Theft Prevention Act - S. 420 Bankruptcy Reform Act of 2001 - Privacy provision; S. 450 Financial Social Security Number Privacy Act of 2001 - All provisions; S. 290 Studer Privacy Protection Act - All provisions; S. 30 Financial Information Privacy	raud Network Act – All provisions; H.R. 1478 Personal Privacy nic Mail Act of 2001 - All provisions; H.R. 583 Privacy Commissions all provisions; H.R. 333 Bankruptcy Abuse Prevention & Consumer tection Act of 2001 - All provisions; H.R. 237 Consumer Internet Privacy 2001 - All provisions; H.R. 112 Electronic Privacy Protection Act – Ovisions; H.R. 89 Online Privacy Protection Act of 2001 - All provision-All provisions; S. 536 Freedom From Behavioral Profiling Act of 20 all Institution Privacy Protection Act of 2001 - All provisions; S. 324 at Privacy Protection Act – All provisions; S. 197 Spyware Control and
17. House(s) of Congress and Federal agencies contacted U.S. House of Representatives	☐ Check if None
18. Name of each individual who acted as a lobbyist in thi	is issue area
Name	Covered Official Position (if applicable)
Jack Ramirez, President	
Carl M. Parks, Senior VP-Govt. Relations	
Charles H. Fritzel, Asst. VP-Govt. Relations	<u> </u>
Charles A. Taylor, Asst. VP - Govt. Relations	
Julie Leigh Gackenbach, Dir. Govt. Relations	
,	

19. Interest of each foreign entity in the specific issues listed on	line 16 above
,	
Signature all Malle	Date 2/4/02
Printed Name and Title Carl M. Parks, Senior Vice President-G	Sovernment Relations

Printed Name and Title

Registrant Name Natl. Assoc. of Independent Insurers Client N	lame
LOBBYING ACTIVITY. Select as many codes as necess engaged in lobbying on behalf of the client during the repoinformation as requested. Attach additional page(s) as need	rting period. Using a separate page for each code
15. General issue area code CSP (one per page)	
16. Specific lobbying issues	
HHS Rep - Standards for Privacy of Individually Identifiable Halauthorization and consent provisions.	ealth Info - Minimum necessary - Business associate an
17. House(s) of Congress and Federal agencies contacted	Check if None
U.S. House of Representatives U.S. Senate Department of Health & Human Services	
18. Name of each individual who acted as a lobbyist in thi	s issue area
Name	Covered Official Position (if applicable)
Jack Ramirez, President	
Carl M. Parks, Senior VP-Govt. Relations	***************************************
Charles H. Fritzel, Asst. VP-Govt. Relations	
Charles A. Taylor, Asst. VP-Govt. Relations	
Julie Leigh Gackenbach, Dir. Govt. Relations	·
19. Interest of each foreign entity in the specific issues listed on	line 16 above
Signature	Date
Printed Name and Title	

Registrant Name Natl. Assoc. of Independent Insurers Client N	ame
LOBBYING ACTIVITY. Select as many codes as necess engaged in lobbying on behalf of the client during the repoinformation as requested. Attach additional page(s) as need	ting period. Using a separate page for each code
15. General issue area code DIS (one per page)	
16. Specific lobbying issues	
H.R. 2983 Prince-Anderson Reauthorization Act - All provisio H.R. 785 Policyholder Protection Act - All provisions S. 388 Energy Act - Price-Anderson Act - Renewal provision S. 424 Earthquake Loss Reduction Act - All provisions FY2002 Budget - Disaster assistance criteria, flood insurance Building provisions	
17. House(s) of Congress and Federal agencies contacted	Check if None
U.S. House of Representatives U.S. Senate Department of Treasury	
18. Name of each individual who acted as a lobbyist in the	s issue area
Name	Covered Official Position (if applicable)
Jack Ramirez, President	
Carl M. Parks, Senior VP-Govt. Relations	
Charles H. Fritzel, Asst. VP-Govt. Relations	
Charles A. Taylor, Asst. VP-Govt. Relations	
Julie Leigh Gackenbach, Dir. Govt. Relations	
	·
19. Interest of each foreign entity in the specific issues listed or	line 16 above
Signature	Date
Printed Name and Title	

Registrant Name Natl. Assoc. of Independent Insurers Client N	ame
LOBBYING ACTIVITY. Select as many codes as necess engaged in lobbying on behalf of the client during the report information as requested. Attach additional page(s) as needs	ting period. Using a separate page for each code
15. General issue area code ENV (one per page)	
H.R.1831 Small Business Liability Protection Act - All provisio S.350 - Brownfields Revitalization and Environmental Remedi H.R. 324 Recycle America's Land Act - All provisions H.R. 2264 Legislation to expand deductibility of environmenta S. 1078 Brownfields Economic Development Act - All provisio S. 1079 Brownfield Site Redevelopment Assistance Act - All ph.R. 2869 Small Business Liability Relief and Brownfields Rev	ation Act - All provisions I remediation express - All provisions ons provisions
17. House(s) of Congress and Federal agencies contacted	Check if None
U.S. House of Representatives U.S. Senate	
18. Name of each individual who acted as a lobbyist in thi	s issue area
. Name	Covered Official Position (if applicable)
Jack Ramirez, President	
Carl M. Parks, Senior VP-Govt. Relations	
Charles H. Fritzel, Asst. VP-Govt. Relations	
Charles A. Taylor, Asst. VP - Govt. Relations	***************************************
Julie Leigh Gackenbach, Dir. Govt. Relations	
19. Interest of each foreign entity in the specific issues listed on	line 16 above
Signature	Date
Printed Name and Title Carl M. Parks, Senior Vice President-C	Government Relations

Registrant Name Natl. Assoc. of Independent Insurers Client N	Name
LOBBYING ACTIVITY. Select as many codes as necessengaged in lobbying on behalf of the client during the repoinformation as requested. Attach additional page(s) as needs	rting period. Using a separate page for each code
15. General issue area code GOV (one per page)	
16. Specific lobbying issues	
S. 22, S. 27 Campaign Finance Reform - Amendments to bar H.R. 2360 Campaign Finance Reform - Amendments to ban	n or limit PACs or limit PACs
17. House(s) of Congress and Federal agencies contacted	Check if None
U.S. House of Representatives U.S. Senate Department of Treasury	
18. Name of each individual who acted as a lobbyist in thi	s issue area
Name	Covered Official Position (if applicable)
Jack Ramirez, President	
Carl M. Parks, Senior VP-Govt. Relations	
Charles H. Fritzel, Asst. VP-Govt. Relations	
Charles A. Taylor, Asst. VP - Govt. Relations	
Julie Leigh Gackenbach, Dir. Govt. Relations	
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19. Interest of each foreign entity in the specific issues listed on	line 16 above
Signature	Date
Printed Name and Title Carl M. Parks, Senior Vice President-C	Government Relations

Registrant Name Natl. Assoc. of Independent Insurers Client Name		
LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the re engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, information as requested. Attach additional page(s) as needed.		
15. General issue area code HCR (one per page)		
Liability provisions; S. 889 - Bipartisan Patient Bill of Rights - L Liability provisions; HHS Rep. Standards for Privacy Protection associate and consent and authorization provisions; S. 1684 - Le administration simplification standards - All provisions; H.R. 33 Patients Bill of Rights - Liability provisions; S. 6 Patients Bill of provisions; S. 889 - Bipartisan Patient Bill of Rights - Liability p provisions; HHS Rep. Standards for Privacy Protection of Indivi associate and consent and authorization provisions; S. 1684 - Le administration simplification standards - All provisions; H.R. 33 H.R. 30614 and S. 1536 - Fiscal year 2002 Appropriations for L 17. House(s) of Congress and Federal agencies contacted	of Individually Identifiable Health Info minimum necessary, busine gislation to grant one-year extension for compliance with 23 - Administrative Simplification Compliance Act - AllH.R.526 Rights - Liability provisions; S. 6 Patients Bill of Rights - Liability rovisions; S. 1052 Bipartisan Patient Protection Act - Liability dually Identifiable Health Info minimum necessary, business	
U.S. House of Representatives U.S. Senate Department of Treasury 18. Name of each individual who acted as a lobbyist in this issue area		
Name	Covered Official Position (if applicable)	
Jack Ramirez, President	***************************************	
Carl M. Parks, Senior VP-Govt. Relations		
Charles H. Fritzel, Asst. VP-Govt. Relations		
Charles A. Taylor, Asst. VP - Govt. Relations	***************************************	
Julie Leigh Gackenbach, Dir. Govt. Relations		
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19. Interest of each foreign entity in the specific issues listed on	line 16 above Check if None	
Signature	Date	
Printed Name and Title Carl M. Parks, Senior Vice President-Government Relations		

Registrant Name Natl. Assoc. of Independent Insurers Client N	iame
LOBBYING ACTIVITY. Select as many codes as necess engaged in lobbying on behalf of the client during the repoinformation as requested. Attach additional page(s) as need	rting period. Using a separate page for each code
15. General issue area code HOU (one per page)	
16. Specific lobbying issues	
Appropriations for Department of Veterans, Housing and Urba	an Development - S. 1216 and H.R. 2620
17. House(s) of Congress and Federal agencies contacted	Check if None
U.S. House of Representatives U.S. Senate	,
18. Name of each individual who acted as a lobbyist in this	s issue area
Name	Covered Official Position (if applicable)
Jack Ramirez, President Carl M. Parks, Senior VP-Govt. Relations	
Charles A. Taylor, Asst. VP - Govt. Relations	***************************************
Julie Leigh Gackenbach, Dir. Govt. Relations	
·	
19. Interest of each foreign entity in the specific issues listed on	line 16 above
Signature	Date
Printed Name and Title Carl M. Parks, Senior Vice President-G	

Registrant Name Natl. Assoc. of Independent Insurers Client N	Jame
LOBBYING ACTIVITY. Select as many codes as necess engaged in lobbying on behalf of the client during the repoinformation as requested. Attach additional page(s) as need	rting period. Using a separate page for each code
15. General issue area code INS (one per page)	
H.R. 1704 Auto Choice Reform Act - All provisions H.R. 3210 Terrorism Reinsurance Legislation - All provisions S. 1748 Terrorism Risk Insurance Act - All provisions S. 1743 National Terrorism Reinsurance Fund Act - All provis	ion
U.S. House of Representatives U.S. Senate Department of Treasury	☐ Check if None
18. Name of each individual who acted as a lobbyist in thi	s issue area Covered Official Position (if applicable)
Jack Ramirez, President	Cavalida Omiolio, i Osidoli (di applicable)
Carl M. Parks, Senior VP-Govt. Relations	
Charles H. Fritzel, Asst. VP-Govt. Relations	
Charles A. Taylor, Asst. VP - Govt. Relations	
Julie Leigh Gackenbach, Dir. Govt. Relations	
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19. Interest of each foreign entity in the specific issues listed on	_
Signature	Date
Printed Name and Title Carl M. Parks, Senior Vice President-0	Sovernment Relations

Registrant Name Natl. Assoc. of Independent Insurers Client ?	Name
LOBBYING ACTIVITY. Select as many codes as necess engaged in lobbying on behalf of the client during the repo information as requested. Attach additional page(s) as need	rting period. Using a separate page for each code
15. General issue area code LAW (one per page)	
16. Specific lobbying issues	
H.R. 2341, S. 1712 Class Action Fairness Act - All provisions H.R. 1408 Financial Services AntiFraud Network - All provisions S. 865, H.R. 1805 Small Business Liability Reform Act - All pro H.R. 718 Unsolicited Commercial Electronic Mail Act - All pro	ons rovisions
17. House(s) of Congress and Federal agencies contacted	Check if None
U.S. House of Representatives U.S. Senate	
18. Name of each individual who acted as a lobbyist in thi	s issue area
Name	Covered Official Position (if applicable)
Jack Ramirez, President	
Carl M. Parks, Senior VP-Govt. Relations	
Charles H. Fritzel, Asst. VP-Govt. Relations	***************************************
Charles A. Taylor, Asst. VP - Govt. Relations	***************************************
Julie Leigh Gackenbach, Dir. Govt. Relations	

19. Interest of each foreign entity in the specific issues listed on	line 16 above
Signature	Date
Printed Name and Title Carl M. Parks, Senior Vice President-0	Sovernment Relations

Registrant Name_Natl. Assoc. of Independent Insurers_Client 1	Jame
LOBBYING ACTIVITY. Select as many codes as necessengaged in lobbying on behalf of the client during the repoinformation as requested. Attach additional page(s) as necesses.	rting period. Using a separate page for each code,
15. General issue area code LBR (one per page)	
16. Specific lobbying issues	
S. 598 Legislation to provide for reissuance of ergonomics ru H.R. 1241 Legislation to provide for reissuance of ergonomics Administration Budget Proposals for Departments of Labor, Proposed OSHA Ergonomics Standard Proposed Injury & Illness Record Keeping Regulations Proposed Black Lung Regulations	s rule - All provisions
17. House(s) of Congress and Federal agencies contacted	☐ Check if None
U.S. House of Representatives U.S. Senate Department of Labor	
18. Name of each individual who acted as a lobbyist in thi	s issue area
Name	Covered Official Position (if applicable)
Jack Ramirez, President	
Carl M. Parks, Senior VP-Govt. Relations	
Charles H. Fritzel, Asst. VP-Govt. Relations	
Charles A. Taylor, Asst. VP - Govt. Relations	
Julie Leigh Gackenbach, Dir. Govt. Relations	
*·····································	***************************************

19. Interest of each foreign entity in the specific issues listed on	line 16 above
Signature	Date
Printed Name and Title Carl M. Parks, Senior Vice President-G	Sovernment Relations

Registrant Name Natl. Assoc. of Independent Insurers Client 1	Name
LOBBYING ACTIVITY. Select as many codes as necessengaged in lobbying on behalf of the client during the repoinformation as requested. Attach additional page(s) as nee	rting period. Using a separate page for each code
15. General issue area code ROD (one per page)	
16. Specific lobbying issues	
H.R. 2299, S. 1398 DOT Appropriations Bill - Transportation Proposed hours of service requirements FY2002 Transportation Budget - Highway safety funding prov H.R. 1837 Call Responsibility and Stay Healthy Act - All prov S. 724 Mobile Telephone Driving Safety Act - All provisions	visions
17. House(s) of Congress and Federal agencies contacted	Check if None
U.S. House of Representatives U.S. Senate Department of Transportation	
18. Name of each individual who acted as a lobbyist in the	s issue area
Name Jack Ramirez, President	Covered Official Position (if applicable)
Carl M. Parks, Senior VP-Govt. Relations	
Charles H. Fritzel, Asst. VP-Govt. Relations	
Charles A. Taylor, Asst. VP - Govt. Relations	
Julie Leigh Gackenbach, Dir. Govt. Relations	
······	

19. Interest of each foreign entity in the specific issues listed on	line 16 above
Signature	Date
Printed Name and Title Carl M. Parks, Senior Vice President-	Government Relations

Registrant Name Natl. Assoc. of Independent Insurers Client	Name
LOBBYING ACTIVITY. Select as many codes as necessengaged in lobbying on behalf of the client during the repoint formation as requested. Attach additional page(s) as necessinformation.	orting period. Using a separate page for each code,
15. General issue area code TAX (one per page)	
16. Specific lobbying issues	
Administration's FY 2001 Budget – Tax proposals;H.R. 785 Policy regulations; Section 6045(f) attorney reporting regulations; H.R. 66 3905/S. 2874 Life Insurance Tax Simplification Act – All provision Reconciliation Act – All provisions; H.R. 1357/S. 676 Legislation income – All provisions; H.R. 909/S. 795 Legislation to permit the – All provisions; H.R. 1514 Structured Settlement Protection Act – provisions; H.R. 3090 Economic Security and Recovery Act – All	ns; H.R. 1836 (PL 107-16) Economic Growth & Tax Relief to permanently extend the subpart F exemption for active financing consolidation of life insurance companies with non-life companies - All provisions; H.R. 3529 Economic Growth & Security Act - All
17. House(s) of Congress and Federal agencies contacted	Check if None
U.S. House of Representatives U.S. Senate Department of Treasury	
18. Name of each individual who acted as a lobbyist in t	1
Name Jack Ramirez, President	Covered Official Position (if applicable)
Carl M. Parks, Senior VP-Govt, Relations	
Charles H. Fritzel, Asst. VP-Govt. Relations	
Charles A. Taylor, Asst. VP - Govt. Relations	
Julie Leigh Gackenbach, Dir. Govt. Relations	
19. Interest of each foreign entity in the specific issues listed	on line 16 above
Signature	Date
Printed Name and Title Carl M. Parks, Senior Vice Presider	nt-Government Relations

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20. Client new address		<u> </u>	hanged.
eo. Chem new addiess			,
21. Client new principal place of bus	•		**************************************
City	State	Zip (or Country)	
22. New general description of clien			
LOBBYIST UPDATE 23. Name of each previously to	reported individual who is no	longer expected to act as a lobby	ist for the client
SSUE UPDATE 24. General lobbying issues p	reviously reported that no lor	nger pertain	
AFFILIATED ORGANIZ. 25. Add the following affiliate			
Name		Address	Principal Place of
·		Address	
		Address	
Name 26. Name of each previously	reported organization that is	Address no longer affiliated with the regis	(city and state or
Name			Principal Place of (city and state or trant or client
Name 26. Name of each previously FOREIGN ENTITIES			(city and state or
Name 26. Name of each previously FOREIGN ENTITIES 27. Add the following foreign	entities	no longer affiliated with the regist	(city and state or trant or client

Date 2/4/02