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| Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515 | Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510 |
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SECRETARY OF THE SENATE

05 FEB -9 AM 10: 56

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

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|--|------------------------------------|--|----------------------------------|
| 1. Registrant Name Headwaters Incorporated | | | |
| 2. Address <input type="checkbox"/> Check if different than previously reported 10653 S. River Front Parkway, Suite 300 | | | |
| 3. Principal Place of Business (if different from line 2) South Jordan Utah 84095 City: State/zip (or Country) | | | |
| 4. Contact Name John Ward | Telephone (801) 984-9400 | E-mail (optional) jward@isgresources.com | 5. Senate ID # 86117 |
| 7. Client Name <input checked="" type="checkbox"/> Self self | | | 6. House ID # 36540000 |

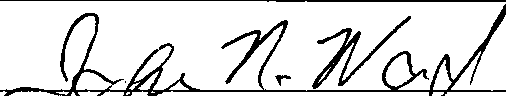
TYPE OF REPORT 8. Year 2004 Midyear (January 1-June 30) ☐ **OR** Year End (July 1-Dece9. Check if this filing amends a previously filed version of this report ☐10. Check if this is a Termination Report ☐ ⇨ Termination Date _____

11. No Lobbying

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

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| 12. Lobbying Firms INCOME relating to lobbying activities for this reporting period was: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input type="checkbox"/> ⇨ \$ _____ Income (nearest \$20,000) Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client). | 13. Organizations EXPENSES relating to lobbying activities for this report period were: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input checked="" type="checkbox"/> ⇨ \$ <u>80,000.00</u> Expenses (nearest \$20,000) 14. REPORTING METHOD. Check box to indicate exp accounting method. See instructions for description of op <input checked="" type="checkbox"/> Method A. Reporting amounts using LDA definitic <input type="checkbox"/> Method B. Reporting amounts under section 6033(Internal Revenue Code <input type="checkbox"/> Method C. Reporting amounts under section 162(e Internal Revenue Code |
|---|--|

Signature

Date 1/31/05

Printed Name and Title

John N. Ward, Vice President

LD-2 (REV. 4/03)

PAGE 1 of

Registrant Name Headwaters Incorporated Client Name self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues

Legislative contact pertaining to Coal-based Synthetic Fuel facilities and coal combustion product utilization promotion.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

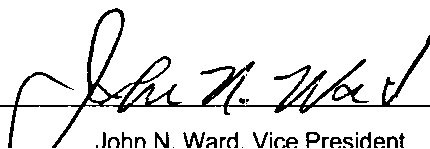
House of Representatives, Senate, Environmental Protection Agency

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|-----------|---|
| John Ward | |
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19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature



Date 1/31/05

Printed Name and Title James M. Smith, Jr.

Form LD-2 (Rec. 4/03)

Page 2

Registrant Name Headwaters Incorporated Client Name self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code ENG (one per page)

16. Specific lobbying issues

Legislative contact pertaining to Coal-based Synthetic Fuel facilities and coal combustion product utilization promotion.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives, Senate, Environmental Protection Agency

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|-----------|---|
| John Ward | |
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19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature

John N. Ward

Date 1/31/05

Printed Name and Title _____

Form LD-2 (Rec. 4/03)

Page 3

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15. General issue area code ENV (one per page)

16. Specific lobbying issues

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17. House(s) of Congress and Federal agencies contacted ☐ Check if None

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| John Ward | |
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John N. Ward

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Form LD-2 (Rec. 4/03)

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