

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

DICKSTEIN SHAPIRO LLP

2. Address:

1825 Eye St., NW, Washington, DC 20006

3. Principal place of business (if different from line 2):

4. Contact Name: K. LAURIE MCKAY

Telephone: 2024202200

E-mail (optional): McKayK@DicksteinShapiro.com

Senate ID #: 12208-1662

House ID #:

7. Client Name: ☐ Self

PEABODY ENERGY

TYPE OF REPORT

8. Year 2007 Midyear (January 1 - June 30): ☒ **OR** Year End (July 1 - December 31): ☐

9. Check if this filing amends a previously filed version of this report: ☐

10. Check if this is a Termination Report: ☐ => Termination Date: 11. No Lobbying Activity: ☐

INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000: ☐

\$10,000 or more: ☒ => Income (nearest \$20,000): 460,000.00

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than \$10,000: ☐

\$10,000 or more: ☐ => Expenses (nearest \$20,000): _____

14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

- ☐ **Method A.** Reporting amounts using LDA definitions only
☐ **Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code
☐ **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: BUD (one per page)

16. Specific lobbying issues:

H.R. 2641, Energy and Water Development Appropriations Act, 2008. H.R. 2643, Department of the Interior, Environment, and Related Agencies Appropriations Act, 2008. S. Con. Res. 20, A concurrent resolution expressing the sense of Congress that no funds should be cut off or reduced for American Troops in the field which would result in undermining their safety or their ability to complete their assigned mission. Monitor all appropriations that relate to the coal industry and energy policy. S. 1696, Department of the Interior, Environment, and Related Agencies Appropriations Act, 2008. S. 1751, Energy and Water Development Appropriations Act, 2008.

17. House(s) of Congress and Federal agencies contacted:

Energy, Dept of
HOUSE OF REPRESENTATIVES
SENATE
White House Office

18. Name of each individual who acted as a lobbyist in this issue area:

Name: FORD, WENDELL

Covered Official Position (if applicable): U.S. SENATOR

Name: HUTCHINSON, RANDI

Covered Official Position (if applicable): N/A

Name: HUTCHINSON, TIM

Covered Official Position (if applicable): U.S. SENATOR

Name: MANGAS, ROBERT

Covered Official Position (if applicable): N/A

Name: MCCAY, K LAURIE

Covered Official Position (if applicable): N/A

Name: PHILLIPS, KATIE

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above: **None**

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: ENG (one per page)

16. Specific lobbying issues:

S. 317, Electric Utility Cap and Trade Act of 2007. S. 731, National Carbon Dioxide Storage Capacity Assessment Act of 2007. S. 875, SAFE Energy Act of 2007. S. 922, A bill to extend the existing provisions regarding the eligibility for essential air service subsidies through FY 2012. S. 962, Department of Energy Carbon Capture and Storage Research, Development, and Demonstration Act of 2007. S. 987, Biofuels for Energy Security and Transportation Act of 2007. S. 1201, Clean Power Act of 2007. S. 1227, Clean Coal Act. S. 1297, Advanced Clean Fuels Act of 2007. S. 1321, Energy Savings Act of 2007. S. 1324, National Low Carbon Fuel Standard Act of 2007. S. 1419, The Renewable Fuels, Consumer Protection and Energy Efficiency Act of 2007. S. 1547, National Defense Authorization Bill for FY 08. S. 1554, Energy Independence, Clean Air, and Climate Security Act of 2007. S. 1602, Clean, Reliable, Efficient, and Secure Energy Act of 2007. H.R. 6, Renewable Fuels, Consumer Protection, and Energy Efficiency Act of 2007; S. Amdt. 1704, Energy Advancement and Investment Act of 2007. H.R. 370, Coal-to-Liquid Fuel Promotion Act of 2007. H.R. 547, Advanced Infrastructure Research and Development Act. H.R. 1267, National Carbon Dioxide Storage Capacity Assessment Act of 2007. H.R. 1300, Program for Real Energy Security (PROGRESS) Act. H.R. 1585, National Defense Authorization Bill for FY 08. H.R. 2208, Coal Liquid Fuel Act. H.R. 2337, Energy Policy Reform and Revitalization Act of 2007. Monitor all legislative and federal activity related to energy policy and the coal industry. S. 154, Coal-to-Liquid Fuel Energy Act of 2007. S. 155, Coal-to-Liquid Fuel Promotion Act of 2007.

17. House(s) of Congress and Federal agencies contacted:
Energy, Dept of
HOUSE OF REPRESENTATIVES
SENATE
White House Office

18. Name of each individual who acted as a lobbyist in this issue area:

Name: FORD, WENDELL
Covered Official Position (if applicable): U.S. SENATOR
Name: HUTCHINSON, RANDI
Covered Official Position (if applicable): N/A
Name: HUTCHINSON, TIM
Covered Official Position (if applicable): U.S. SENATOR
Name: MANGAS, ROBERT
Covered Official Position (if applicable): N/A
Name: MCCAY, K LAURIE
Covered Official Position (if applicable): N/A
Name: PHILLIPS, KATIE
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: ENV (one per page)

16. Specific lobbying issues:

S. 317, Electric Utility Cap and Trade Act of 2007; S. 485, Global Warming Reduction Act; S. 1387, National Greenhouse Gas Registry Act of 2007; S. 1554, Energy Independence, Clean Air, and Climate Security Act of 2007; H.R. 6, Renewable Fuels, Consumer Protection, and Energy Efficiency Act of 2007; S. Amdt. 1704, Energy Advancement and Investment Act of 2007; H.R. 620, Climate Stewardship Act of 2007; H.R. 906, Global Change Research and Data Management Act of 2007; H.R. 1590, Safe Climate Act of 2007; H.R. 2069, Save our Climate Act of 2007; H.R. 2635, Carbon Neutral Government Act. Monitor all legislative and federal activity related to global warming and climate change. S. 280, Climate Stewardship and Innovation Act of 2007; S. 309, Global Warming Pollution Reduction Act of 2007.

17. House(s) of Congress and Federal agencies contacted:
Energy, Dept of
HOUSE OF REPRESENTATIVES
SENATE
White House Office

18. Name of each individual who acted as a lobbyist in this issue area:

Name: FORD, WENDELL
Covered Official Position (if applicable): U.S. SENATOR
Name: HUTCHINSON, RANDI
Covered Official Position (if applicable): N/A
Name: HUTCHINSON, TIM
Covered Official Position (if applicable): U.S. SENATOR
Name: MANGAS, ROBERT
Covered Official Position (if applicable): N/A
Name: MCCAY, K LAURIE
Covered Official Position (if applicable): N/A
Name: PHILLIPS, KATIE
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

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Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: LBR (one per page)

16. Specific lobbying issues:

H.R. 576, Federal Mine Ventilation Safety Act of 2007. H.R. 800, Employee Free Choice Act of 2007. H.R. 2768, S-Miner Act. H.R. 2769, Miner Health Enhancement Act of 2007. Monitor all legislative and federal activity related to the coal industry and mine safety. S. 1041, Employee Free Choice Act of 2007. S. 1655, Miner Health and Enhancement Act of 2007.

17. House(s) of Congress and Federal agencies contacted:

Energy, Dept of

HOUSE OF REPRESENTATIVES

SENATE

White House Office

18. Name of each individual who acted as a lobbyist in this issue area:

Name: FORD, WENDELL

Covered Official Position (if applicable): U.S. SENATOR

Name: HUTCHINSON, RANDI

Covered Official Position (if applicable): N/A

Name: HUTCHINSON, TIM

Covered Official Position (if applicable): U.S. SENATOR

Name: MANGAS, ROBERT

Covered Official Position (if applicable): N/A

Name: MCCAY, K LAURIE

Covered Official Position (if applicable): N/A

Name: PHILLIPS, KATIE

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TAX (one per page)

16. Specific lobbying issues:

S. 1508, Clean Energy Production Tax Incentives Act of 2007. H.R. 6, Renewable Fuels, Consumer Protection, and Energy Efficiency Act of 2007; S. Amdt. 1704, Energy Advancement and Investment Act of 2007. H.R. 1762, A bill to facilitate and expedite direct refunds to coal producers and exporters of the excise tax unconstitutionally imposed on coal exported from the United States. H.R. 2776, Renewable Energy and Energy Conservation Tax Act of 2007. Monitor all legislative and federal activity related to energy tax credits. S. 373, A bill to facilitate and expedite direct refunds to coal producers and exporters of the excise tax unconstitutionally imposed on coal exported from the United States.

17. House(s) of Congress and Federal agencies contacted:

Energy, Dept of
HOUSE OF REPRESENTATIVES
SENATE
White House Office

18. Name of each individual who acted as a lobbyist in this issue area:

Name: FORD, WENDELL

Covered Official Position (if applicable): U.S. SENATOR

Name: HUTCHINSON, RANDI

Covered Official Position (if applicable): N/A

Name: HUTCHINSON, TIM

Covered Official Position (if applicable): U.S. SENATOR

Name: MANGAS, ROBERT

Covered Official Position (if applicable): N/A

Name: MCCAY, K LAURIE

Covered Official Position (if applicable): N/A

Name: PHILLIPS, KATIE

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Signature: ON FILE Date: Aug 09, 2007

Printed Name and Title: L. ANDREW ZAUSNER, PARTNER -

Information Update Page:

Complete ONLY where registration information has changed.

LOBBYIST UPDATE

23. Name of each previously reported individual who is NO LONGER expected to act as a lobbyist for the client

ISSUE UPDATE

24. General lobbying issues previously reported that NO LONGER pertain

AFFILIATED ORGANIZATIONS

25. Add the following organization(s)

26. Name of each previously reported organization that is NO LONGER affiliated with the registrant or client

FOREIGN ENTITIES

27. Add the following foreign entities

28. Name of each previously reported foreign entity the NO LONGER owns, OR controls, OR is affiliated with the registrant, client or affiliated organization

Signature: ON FILE Date: Aug 09, 2007

Printed Name and Title: -