

01 FEB 14 PM 1:35

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name New York Mercantile Exchange			
2. Address <input type="checkbox"/> Check if different than previously reported 1331 Pennsylvania Avenue, NW Suite 550-S Washington, D.C. 20004			
3. Principal Place of Business (if different from line 2) City: New York State/Zip (or Country) New York 10282-1101			
4. Contact Name Mark Seetin	Telephone (202) 662-8770	E-mail (optional) nymex2@unidial.com	5. Senate ID # 29185-12
7. Client Name <input checked="" type="checkbox"/> Self			6. House ID # 31216000

TYPE OF REPORT 8. Year 2000 Midyear (January 1-June 30) ☐ OR Year End (July 1-December 31) ☒

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ → Termination Date _____ 11. No Lobbying Activity ☐

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms INCOME relating to lobbying activities for this reporting period was: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input type="checkbox"/> → \$ _____ Income (nearest \$20,000) Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	13. Organizations EXPENSES relating to lobbying activities for this reporting period were: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input checked="" type="checkbox"/> → \$ 150,000 Expenses (nearest \$20,000) 14. REPORTING METHOD. Check box to indicate expense accounting method. See instructions for description of options. <input type="checkbox"/> Method A. Reporting amounts using LDA definitions only <input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code <input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code
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Signature _____

Printed Name and Title Mark Seetin, Vice-President -- Government Affairs

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code ENG (one per page)

16. Specific lobbying issues

S.1951 A Bill to Provide the Secretary of Energy to Draw Down the Strategic Petroleum Reserve

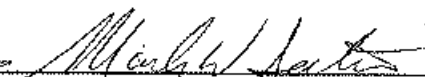
17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Mark Seetin	Vice President for Government Affairs	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature  Date 2/12/01
 Printed Name and Title Mark Seetin, Vice President -- Government Affairs

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code ENG (one per page)

16. Specific lobbying issues

S.1048	Electricity Utility Restructuring; Provisions Related to the
S.1273	Introduction of Competition to the Electricity Industry
S.1284	" "
H.R.1828	" "
H.R.2050	" "
H.R.2786	" "
H.R.2944	Electricity

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives
Senate
U.S. Department of Energy
Federal Energy Regulatory Commission

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Mark Seetin	Vice President for Government Affairs	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature Mark Seetin Date 2/12/01
Printed Name and Title Mark Seetin, Vice President for Government Affairs

Registrant Name New York Mercantile Exchange Client Name self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific lobbying issues

H.R.4541	To reauthorize and amend Commodity Exchange Act to promote legal certainty, enhance competition, and reduce systemic risk in markets for futures and over-the-counter derivatives.
S.2697	" "
H.R.5660	" "

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

Commodity Futures Trading Commission
House of Representatives
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Mark Seetin	Vice President for Government Affairs	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature

Mark Seetin

Date

2/12/01

Printed Name and Title Mark Seetin, Vice President for Government Affairs

Registrant Name New York Mercantile Client Name Self
Exchange

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code ENG (one per page)

16. Specific lobbying issues

Executive Branch Actions: PERC and Order 888

Other Issues: Proposal to Introduce Competition to the Electricity Industry

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

Federal Energy Regulatory Commission
Department of Energy

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Mark Seetin	Vice President for Government Affairs	<input type="checkbox"/>
		<input type="checkbox"/>
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		<input type="checkbox"/>
		<input type="checkbox"/>
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19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature _____ Date _____
Printed Name and Title Mark Seetin, Vice President -- Government Affairs

Registrant Name New York Mercantile Exchange Client Name Self

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15. General issue area code CPT (one per page)

16. Specific lobbying issues

H.R. 354
H.R. 1588

Provisions Related to Database Privacy

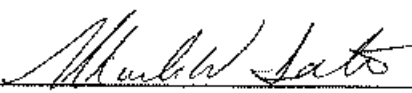
17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Mark Seetin	Vice President for Government Affairs	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
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		<input type="checkbox"/>
		<input type="checkbox"/>
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19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature  Date 2/12/01
Printed Name and Title Mark Seetin, Vice President -- Government Affairs

Registrant Name New York Mercantile Exchange Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code CAW (one per page)

16. Specific lobbying issues

H.R.11	Proposals to Waive the Oxygenate Requirement for Reformulated Gasoline.	
S.266		"
S.645		"

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives
Senate
Environmental Protection Agency

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New?
Mark Seetin	Vice President for Government Affairs	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
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		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature Mark Seetin Date 2/12/01
Printed Name and Title Mark Seetin, Vice President -- Government Affairs