

Clerk of the House of Representatives
Legislative Resource Center
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Washington, DC 20515

Secretary of the Senate
Office of Public Records
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Washington, DC 20510

SECRETARY OF THE SENATE
01 AUG 14 PM 12:48

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name Akin, Gump, Strauss, Hauer & Feld, L.L.P.			
2. Address <input type="checkbox"/> Check if different than previously reported 1333 New Hampshire Avenue, NW			
3. Principal Place of Business (if different from line 2) City: Washington State/Zip (or Country) DC 20036			
4. Contact Name Smith W. Davis	Telephone 202-887-4000	E-mail (optional)	5. Senate ID # 682-21
7. Client Name <input type="checkbox"/> Self The Nasdaq Stock Market, Inc. (formerly National Association of Securities Dealers, Inc.)			6. House ID # 317842

TYPE OF REPORT 8. Year 2001 Midyear (January 1-June 30) ☒ OR Year End (July 1-Dec

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ ⇨ Termination Date _____

11. No Lobbyin

INCOME OR EXPENSES – Complete Either Line 12 OR Line 13

12. Lobbying Firms	13. Organizations
INCOME relating to lobbying activities for this reporting period was: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input checked="" type="checkbox"/> ⇨ \$ <u>140,000</u> Income (nearest \$20,000) Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	EXPENSES relating to lobbying activities for this rep were: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input type="checkbox"/> ⇨ \$ _____ Expenses (neare 14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description of <input type="checkbox"/> Method A. Reporting amounts using LDA defini <input type="checkbox"/> Method B. Reporting amounts under section 603 Internal Revenue Code <input type="checkbox"/> Method C. Reporting amounts under section 162 Internal Revenue Code

Signature

Smith W Davis P.C.

Printed Name and Title Smith W. Davis, P.C. – Partner

[REDACTED]

Registrant Name Akin, Gump, Strauss, Hauer & Feld, L.L.P. Client Name The Nasdaq Stock Market, Inc.

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific lobbying issues

Regulatory approval of Nasdaq's improved order display window, the Super Montage; regulatory approval of Nasdaq's exchange application; and market data issues, including congressional hearings regarding same

17. House(s) of Congress and Federal Agencies contacted ☐ Check if None

U.S. House of Representatives
U.S. Senate
Securities & Exchange Commission

18. Name of each individual who acted as a lobbyist in this issue area

Name	This Column New ▼	Covered Official Position (if applicable)
David Carlin	Stacy Carlson	
Smith W. Davis	Daniel R. Glickman	served as U.S. Secretary of Agriculture from March 1995 to January 2001
Anthony Foti	Bill Paxon	
Christing F. Hesse	Steven R. Ross	
Joel Jankowsky	Paul G. Scolese	served as a Professional Staff Member to the House Committee on Commerce from 1997 to 2000
Barney J. Skladany	José Villarreal	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature  Date August 14, 2001

Printed Name and Title Smith W. Davis, P.C. – Partner



Registrant Name Akin, Gump, Strauss, Hauer & Feld, L.L.P. Client Name The Nasdaq Stock Market, Inc.

Information Update Page – Complete ONLY where registration information has changed.

20. Client new address

21. Client new principal place of business (if different from line 20)

City:

State/Zip (or Country)

22. New general description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is **no longer** expected to act as a lobbyist for the client

Richard H. Sinkfield, III

ISSUE UPDATE

24. General lobbying issues previously reported that **no longer** pertain

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organizations(s)

Name	Address	Principal Place of B (city and state or cc

26. Name of each previously reported organization that is **no longer** affiliated with the registrant or client

FOREIGN ENTITIES

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution if more than \$10,000

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant, c
affiliated organization.

Signature

Smith W Davis, P.C.

Date August 14, 2001

Printed Name and Title Smith W. Davis, P.C. - Partner

[REDACTED]