

Clerk of the House of Representatives
Legislative Resource Center
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Office of Public Records
232 Hart Building
Washington, DC 20510

SECRET
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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) -All Filers Are Required to Complete This Page

| | | | |
|---|------------------------------------|-------------------|-----------------------------------|
| 1. Registrant Name Cauthen and Associates | | | |
| 2. Registrant Address <input type="checkbox"/> Check if different than previously reported Address 825 Cauthen Dr. City Montgomery State/Zip (or Country) AL 36105 | | | |
| 3. Principal Place of Business (if different from line 2) City _____ State/Zip (or Country) _____ | | | |
| 4. Contact Name Harvey E. Cauthen, Jr. | Telephone (334) 264-1155 | E-mail (optional) | 5. Senate ID # 8500-253 |
| 7. Client Name <input type="checkbox"/> Self Vesta Insurance Group | | | 6. House ID # 31320-018 |

TYPE OF REPORT 8. Year 2002 Midyear (January 1-June 30) OR Year End (July 1-Dec 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report >> Termination Date _____

11. No Lobbying

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

| 12. Lobbying Firms | 13. Organizations |
|--|--|
| <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> >> \$ <u>\$20,000.00</u> Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000 of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p> | <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> >> \$ _____ Expenses (nearest \$20,000)</p> <p>14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definition</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6013 of the Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code</p> |

Signature _____ Date 2/14/2003

Printed Name and Title David Dardis - Attorney

Registrant Name: Cauthen and Associates

Client Name: Vesta Insurance Group

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** provide information as requested. Attach additional page(s) as needed.

- 15. General issue area code INS (one per page)
- 16. Specific Lobbying issues
**Tax provisions relating to insurance securitization, reinsurance.
 S. 2119, Reversing the Expatriation of Profits Offshore Act**

- 17. House(s) of Congress and Federal agencies contacted Check if None
House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|--------------------------------|---|
| Cauthen, Jr., Harvey E. | |
| Levine, Jeffrey | |
| Williams, Zachary | |
| | |
| | |
| | |
| | |

- 19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date 2/19/2003

Printed Name and Title David Dardis - Attorney P

Registrant Name: Cauthen and Associates

Client Name: Vesta Insurance Group

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

- 15. General issue area code TAX (one per page)
- 16. Specific Lobbying issues
**Tax provisions relating to insurance securitization, reinsurance.
 S. 2119, Reversing the Expatriation of Profits Offshore Act**


- 17. House(s) of Congress and Federal agencies contacted Check if None
House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|--------------------------------|---|
| Cauthen, Jr., Harvey E. | |
| Levine, Jeffrey | |
| Williams, Zachary | |
| | |
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| | |

- 19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None



Signature  Date 2/14/2003

Printed Name and Title David Dardis - Attorney P.