

Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, DC 20515

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510

SECRETARY OF THE SENATE

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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name ☒ Organization ☐ Individual

Charles Schwab & Co., Inc.

2. Address ☐ Check if different than previously reported

Address1 555 12TH STREET, NW, Suite 740N

Address2

City WASHINGTON

State

DC

Zip Code 20004

Co

3. Principal place of business (if different than line 2)

City

State

Zip Code

Co

4a. Contact Name

b. Telephone Number c. E-mail

☐ International Number

Mr. JEREMY HANCOCK

(202) 662-4903

jeremy.hancock@schwab.com

5. Se

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7. Client Name ☒ Self

Charles Schwab & Co., Inc.

6. Ho

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TYPE OF REPORT

8. Year 2007

Midyear (January 1-June 30) ☒

Year End (July 1-December 31) ☐

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ Termination Date

11. No Lobbying Act ☐

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000 ☐

\$10,000 or more ☐

\$

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSE relating to lobbying activities for this reporting period were:

Less than \$10,000 ☐

\$10,000 or more ☒

\$ 714,000.00

14. REPORTING

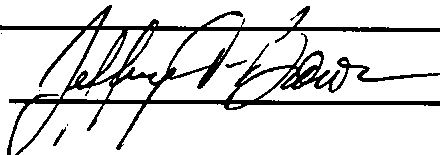
Check box to indicate accounting method. See instructions for description of methods.

☒ Method A. Reporting amounts using LDA definition

☐ Method B. Reporting amounts under section 603 Internal Revenue Code

☐ Method C. Reporting amounts under section 162 Internal Revenue Code

Signature



Date 8/10/07

0000080254

Printed Name and Title Jeffrey Brown, Senior Vice President Legislative and Regulatory Affairs

v5.0.0i

Registrant Charles Schwab & Co., Inc.

Client Name Charles Schwab & Co., Inc.

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX Taxation/Internal Revenue Code (one per page)

16. Specific lobbying issues

H.R 2312/ S.502 to repeal the sunset date on the current tax rates for capital gains and dividends.

17. House(s) of Congress and Federal agencies ☐ Check if None ☒ House ☒ Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name			Covered Official Position (if applicable)
First	Last	Suffix	
Jeff	Brown		
Jeremy	Hancock		
Kim	Quish		
Michael	Townsend		

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

1000080255



Printed Name and Title Jeffrey Brown, Senior Vice President Legislative and Regulatory Affairs

v5.0.0i

Registrant Charles Schwab & Co., Inc.

Client Name Charles Schwab & Co., Inc.

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code

RET

 Retirement (one per page)

16. Specific lobbying issues

S. 349 Small Business and Work Opportunity Act of 2007
H.R. 3185 Fair Disclosure for Retirement Security Act
H.R. 976 Small Business Tax Relief Act

17. House(s) of Congress and Federal agencies ☐ Check if None ☒ House ☒ Senate

Department of Treasury, Department of Labor

18. Name of each individual who acted as a lobbyist in this issue area

Name			Covered Official Position (if applicable)
First	Last	Suffix	
Jeff	Brown		
Jeremy	Hancock		
Kim	Quish		
Michael	Townsend		

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

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0000080256



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v5.0.0i

ADDENDUM for General Lobbying Issue Area: RET - Retirement

S. 1141 Automatic IRA Act of 2007

S. 1288 Women's Retirement Security Act of 2007

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Registrant Charles Schwab & Co., Inc.

Client Name Charles Schwab & Co., Inc.

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code FIN Financial Institutions/Investments/Sec (one per page)

16. Specific lobbying issues

H.R. 878/ S. 601 Simplification through Additional Tax Reporting Tax (START) Act

17. House(s) of Congress and Federal agencies ☐ Check if None ☒ House ☒ Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name			Covered Official Position (if applicable)
First	Last	Suffix	
Jeff	Brown		
Jeremy	Hancock		
Kim	Quish		
Michael	Townsend		

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

0000080258

Printed Name and Title Jeffrey Brown, Senior Vice President Legislative and Regulatory Affairs

v5.0.0i

Registrant Charles Schwab & Co., Inc.

Client Name Charles Schwab & Co., Inc.

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code CSP Consumer Issues/Safety/Products (one per page)

16. Specific lobbying issues

H.R. 1525 Internet Spyware (I-SPY) Prevention Act of 2007
H.R. 964 Securely Protect Yourself against Cyber Trespass
H.R. 1685/ S. 1260 Data Security Act of 2007
S. 1202 Personal Data Protection Act of 2007

17. House(s) of Congress and Federal agencies ☐ Check if None ☒ House ☒ Senate

Department of Treasury, Department of Commerce, The White House, Federal Trade Commission

18. Name of each individual who acted as a lobbyist in this issue area

Name			Covered Official Position (if applicable)
First	Last	Suffix	
Jeff	Brown		
Kim	Quish		
Laurie	Schaffer		

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

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Printed Name and Title Jeffrey Brown, Senior Vice President Legislative and Regulatory Affairs

v5.0.0i

ADDENDUM for General Lobbying Issue Area: **CSP - Consumer Issues/Safety/Products**

S. 495 Personal Data Privacy and Security Act of 2007
S. 239 The Notification of Risk to Personal Data Act
S.1178 Identity Theft Protection Act
S.806 Consumer ID Protection and Security Act
S. 1625 Counter Spy Act
H.R.3046 Social Security Number Privacy and Identity Theft Prevention Act
H.R.948 Social Security Number Protection Act
H.R. 1967 Financial Privacy Notice Relief Act of 2007
H.R. 958 Data Accountability and Trust Act
H.R. 3010/ S. 1782 Arbitration Fairness Act of 2007
S. 1135 Fair Arbitration Act of 2007

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v5.0.0i

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Registrant Charles Schwab & Co., Inc.

Client Name Charles Schwab & Co., Inc.

LOBBYING ACTIVITY Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code CPT Copyright/Patent/Trademark (one per page)

16. Specific lobbying issues

H.R.1908/ S. 1145 Patent Reform Act

17. House(s) of Congress and Federal agencies ☐ Check if None ☒ House ☒ Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name			Covered Official Position (if applicable)
First	Last	Suffix	
Jeff	Brown		
Jeremy	Hancock		
Michael	Townsend		

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

0000080261



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