Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515 Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510

SECRETARI 03 AUG 2

## **LOBBYING REPORT**

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

|   |  | <del>- :-</del> -                 | <u> </u>   |
|---|--|-----------------------------------|--|
| Registrant Name   |  |                                   |  |
| The Duberstein Group, Inc.  |  |                                   |  |
| 2. Registrant Address   |  | DC 20037 US                       | A  |
|   |  | 2007.                             |  |
| Principal Place of Business (if different   |  |                                   |  |
| City  | State/Zip (or Country)   |                                   |  |
| 4. Contact Name   | Telephone E-ma   | il (optional)                     | 5. Senate ID #   |
| John W. Angus, III  | 202-728-1100   |                                   | 12675-24   |
| 7. Client Name  Self  |  |                                   | 6. House ID #  |
| American Association of Hea   | ilth Plans   |                                   | 31811010   |
|   |  |                                   | 1  |
| 10. Check if this is a Termination INCOME OR EXPEN  |  |                                   | 11. No Lobby   |
| 12. Lobbying  | g Firms  |                                   | 13. Organizations  |
| INCOME relating to lobbying ac period was:  | tivities for this reporting  | EXPENSES relating to period were: | obbying activities for this rep                                    |
| Less than \$10,000 $\square$  |  | Less than \$10,000                |  |
| \$10,000 or more 🔀 >> \$ _  | \$120,000.00<br>Income (nearest \$20,000)  | \$10,000 or more                  | >> \$ Expenses (nearest \$20                                       |
| Provide a good faith estimate, rou  |  |                                   | <b>FHOD.</b> Check box to indicate instructions for description of |
| \$20,000 of all lobbying related incon (including all payments to the registre for lobbying activities on behalf of the | lobbying related income from the client payments to the registrant by any other entity | ☐ Method A. Reporting             | ng amounts using LDA definiti                                      |
|   | of the client).  |                                   | ng amounts under section 6033<br>mal Revenue Code                  |
|   |  |                                   | ng amounts under section 162(<br>Revenue Code                      |

| Signature              |   | Date <u>8/14/2003</u> | <del></del> |
|------------------------|---|-----------------------|-------------|
| Printed Name and Title | John W. Angus, III - Senior Vice President & GC |                       | _ Paş       |

| <sub>ê</sub> Regi                              | strant Name:  | The Duberstein Group, Inc.  |   |
|--|---|---|---|
| Clier  | nt Name:  | American Association of Health Plans  |   |
| enga   | ged in lobbyin  |   | to reflect the general issue areas in which the registrant ag period. Using a separate page for each code, provide.   |
| 15. General issue area code HCR (one per page) |   |   |   |
| 16.  | H.R.1219, M.H.R.1562, V.H.R.5, Help<br>H.R.597, Pa<br>H.R.663, Pa<br>H.R.877, Pa<br>S.1053, Gene<br>S.274, Class<br>S.607, HEAL | lass Action Fairness Act of 2003, Tort re<br>ledical Malpractice and Insurance Refor<br>eterans Health Care Cost Recovery Act | of 2003, Malpractice reform of 2003, Private health plans & VA health system Healthcare (HEALTH) Act of 2003, Malpractice reform ct, Malpractice reform atient safety of 2003, Genetic non-discrimination |
| 17.  | ŕ   | Congress and Federal agencies contacted   | ☐ Check if None   |
| 18.  | Name of each  | n individual who acted as a lobbyist in this  | issue area<br>Covered Official Position (if applicable)   |
|  | Angus, III, J   | ohn W.  |   |
| -  | Berman, Mic   | chael S.  |   |
|  | Champlin, S   |   |   |
| ••   | Duberstein,   | Kenneth M.  |   |
|  | Gandy, Hen  | ry M.   |   |
|  | Meyer, Dani   | el  |   |
| <del></del>                                    |   |   |   |
|  |   |   |   |

M Check if None

19. Interest of each foreign entity in the specific issues listed on line 16 above

| Signature              |   | Date 8/14/2003 |       |
|------------------------|---|----------------|-------|
| Printed Name and Title | John W. Angus, III - Senior Vice President & GC |                | _ Paį |

| Regi        | strant Name:  | The Duberstein Group, Inc.  |   |
|-------------|---|---|---|
| Clie        | nt Name:  | American Association of Health Plans  |   |
| enga        | ged in lobbyin  | - · · · · · · · · · · · · · · · · · · ·   | y to reflect the general issue areas in which the registrant ng period. Using a separate page for each code, provide l.   |
| 15.         | 5. General issue area code MMM (one per page)   |   |   |
| 16.         | other purpos<br>H.R.1, Medi<br>H.R.2, Jobs<br>H.R.2033, M<br>H.R.2070, M<br>H.R.2473, M<br>H.R.26, Med<br>H.R.368, Med<br>H.R.531, To | Joint Resolution making consolidated ap<br>es, Medicare+Choice funding<br>care Prescription Drug and Modernizat<br>and Growth Tax Act of 2003, Medicaid<br>Jedicare Equity and Access Act, Medica<br>Jedicare+Choice Revitalization Act of 20<br>Jedicare Prescription Drug and Modern<br>Jicare Payment Restoration and Benefitedicare+Choice Equity and Access Act of | re+Choice funding<br>003, Medicare+Choice funding<br>aization Act of 2003, Medicare<br>s Improvement Act of 2003, Medicare+Choice funding<br>of 2003, Medicare+Choice funding<br>Act to extend the availability of allotments for fiscal year |
| 17.         | House(s) of C<br>House of Rej<br>Senate<br>The White H  |   | ☐ Check if None   |
| 18.         | Name of each  | individual who acted as a lobbyist in this  | issue area  |
|             | Name  |   | Covered Official Position (if applicable)   |
|             | Angus, III, J   | ohn W.  |   |
|             | Berman, Mi  | chael S.  |   |
|             | Champlin, S   | teven M.  |   |
|             | Duberstein,   | Kenneth M.  |   |
|             | Gandy, Hen  | ry M.   |   |
| <del></del> | Meyer, Dani   | el  |   |
| 19.         | Interest of ea  | ch foreign entity in the specific issues liste  | d on line 16 above  |

Filing #a3752de4-d58d-4af5-ab2c-8e0f0d83c168 - Page 5 of 8

| Signature              | WM/   | Date . | 8/14/2003 |    |
|------------------------|---|--------|-----------|----|
| <i>(</i> ).            | 0   |        |           |    |
| Printed Name and Title | John W. Angus, III - Senior Vice President & GC |        |           | Pa |

## 00000381122

Registrant Name: The Duberstein Group, Inc.

Client Name: <u>American Association of Health Plans</u>

| Item | Description     | Data  |
|------|-----------------|---|
| 16   | Lobbying Issues | H.R.810, Medicare Regulatory and Contracting Reform Act of 2003, Medica   |
| 16   | Lobbying Issues | S.1, Prescription Drug and Medicare Improvement Act of 2003, Medicare   |
| 16   | Lobbying Issues | S.1054, Jobs and Growth Tax Relief Reconciliation Act of 2003, Medicaid   |
| 16   | Lobbying Issues | S.138, A bill to temporarily increase the Federal medical assistance percentage the medicaid program, Medicaid  |
| 16   | Lobbying Issues | S.312, A bill to amend title XXI of the Social Security Act to extend the availability of allotments for fiscal years 1998 through 2001 under the State Children's Health Insurance Program, SCHIP  |
| 16   | Lobbying Issues | S.590, MedicareChoice Equity and Access Act of 2003, MedicareChoice fund  |
| 16   | Lobbying Issues | S.CON.RES.23, An original concurrent resolution setting forth the congressi budget for the United States Government for fiscal year 2004 and including the appropriate budgetary levels for fiscal year 2003 and for fiscal years 2005 the 2013, Medicaid funding |
| 16   | Lobbying Issues |   |
| 16   | Lobbying Issues |   |