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Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, DC 20515

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant name	
Organization	Yale University
2. Address <input type="checkbox"/> Check if different than previously reported	
Address 1	2 Whitney Avenue, P.O. Box 208255
City	New Haven
State	CT
Zip Code	06520-8255
Country	USA
3. Principal place of business (if different than line 2)	
City	
State	
Zip Code	
Country	
4a. Contact Name	b. Telephone number
Prefix Full Name	c. E-mail
Mr. Richard Jacob	(203) 436-2893
	richard.jacob@yale.edu
5. Senate ID #	42023-12
7. Client Name <input checked="" type="checkbox"/> Self	6. House ID #
Yale University	31346000

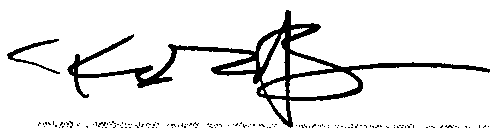
TYPE OF REPORT 8. Year 2006 Midyear (January 1-June 30) OR Year End (July 1-December 3)

9. Check if this filing amends a previously filed version of this report
10. Check if this is a Termination Report ⇨ Termination Date _____ 11. No Lobbying Activity

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

<p>12. Lobbying Firms</p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇨ \$ <u>260,000</u></p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p>13. Organizations</p> <p>EXPENSES relating to lobbying activities for this reporting were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇨ \$ <u>260,000</u></p> <p>14. REPORTING METHOD. Check box to indicate expense accounting method. See instructions for description of option</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definitions only</p> <p><input checked="" type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) e Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Revenue Code</p>
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 8/14/06



Registrant Name Yale University

Client Name Yale University

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each cod** information as requested. Attach additional page(s) as needed.

15. General issue area code BUD - Budget/Appropriations (one per page)

16. Specific lobbying issues

S. Con. Res. 83, Senate Budget Resolution-Provisions concerning student aid and research.
H. Con. Res. 376, House Budget Resolution-Provisions concerning allocations for student aid & research.
S. 1932, Deficit Reduction Act-Provisions concerning mandatory spending for student aid and the new grant program for students studying math and science.
American Competitiveness Initiative-Provisions concerning basic research in the physical sciences at NSF, NIST, and the Department of Energy's Office of Science.

17. House(s) of Congress and Federal agencies contacted None House Senate Other

[Empty box for listing contacted House(s) of Congress and Federal agencies]

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Name Last Name	Suffix	Covered Official Position (if applicable)
Richard	Jacob		
Kara	Haas		

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

[Empty box for listing interest of foreign entities]

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Printed Name and Title Richard Jacob, Associate Vice President for Federal Relations

LD-2DS (Rev. 4.07)

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Registrant Name Yale University

Client Name Yale University

ADDENDUM for General Lobbying Issue Area BUD

16. Specific lobbying issues (continued from previous page)

H.R. 5672/(Unnumbered Senate Bill) FY07 Science, State, Justice and Commerce Appropriations Act-Provisions concerning funding levels for aeronautics research and space science at NASA, research and related activities at NSF, and NIST core research programs.

H.R. 5631/(Unnumbered Senate Bill) FY07 Defense Appropriations Act-Provisions concerning funding levels for DARPA and for basic research.

H.R. 5427/(Unnumbered Senate Bill) FY07 Energy and Water Appropriations Act-Provisions concerning funding levels for the Department of Energy's Office of Science.

H.R. 5647/S. 3708, FY07 Labor, Health and Education Appropriations Act-Provisions concerning include funding levels for NIH and student financial aid programs.

H.R. 5386 FY07 Interior Appropriations Act-Provisions concerning funding levels for the National Endowment for the Humanities and the National Endowment for the Arts.

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LD-2DS

Add page to continue specific issues description for this is

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Registrant Name Yale University

Client Name Yale University

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code CPT - Copyright/Patent/Trademark (one per page)

16. Specific lobbying issues

H.R. 2795, Patent Reform Act-Provisions concerning patents.
Draft Senate Legislation-Provisions concerning Patents.

17. House(s) of Congress and Federal agencies contacted None House Senate Other

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Name Last Name	Suffix	Covered Official Position (if applicable)
Richard	Jacob		

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

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Registrant Name Yale University

Client Name Yale University

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code DEF - Defense (one per page)

16. Specific lobbying issues

H.R. 5122/S. 2766, FY07 Defense Authorization Act- Areas of interest include DOD funding for the SMART program, which provides scholarships for students who agree to serve in federal defense, and basic research funding.

17. House(s) of Congress and Federal agencies contacted None House Senate Other

[Empty box for listing contacted House(s) of Congress and Federal agencies]

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Name Last Name	Suffix	Covered Official Position (if applicable)
Richard	Jacob		
Kara	Haas		

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

[Empty box for listing interest of foreign entities]

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Registrant Name Yale University

Client Name Yale University

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each cod** information as requested. Attach additional page(s) as needed.

15. General issue area code EDU - Education (one per page)

16. Specific lobbying issues

H.R. 609, the College Access and Opportunity Act- Provisions concerning federal student aid, international education, reporting requirements, and oversight rules.
S. 1614, the Higher Education Amendments Act- Provisions concerning federal student aid, international education, reporting requirements, and oversight rules.

17. House(s) of Congress and Federal agencies contacted None House Senate Other

[Empty box for listing contacted House(s) of Congress and Federal agencies]

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Name Last Name	Suffix	Covered Official Position (if applicable)
Richard	Jacob		
Kara	Haas		

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

[Empty box for listing interest of foreign entities]

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Registrant Name Yale University

Client Name Yale University

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code MED - Medical/Disease Research/Clinical Labs (one per page)

16. Specific lobbying issues

Draft Reauthorization of the National Institutes of Health-Provisions concerning authorization levels, reporting requirements and structural changes to the agency.
H.R. 810, the Stem Cell Enhancement Act- Provisions concerning embryonic stem cell research.

17. House(s) of Congress and Federal agencies contacted None House Senate Other

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Name Last Name	Suffix	Covered Official Position (if applicable)
Richard	Jacob		
Kara	Haas		

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

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Registrant Name Yale University

Client Name Yale University

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each cod information as requested. Attach additional page(s) as needed.

15. General issue area code SCI - Science/Technology (one per page)

16. Specific lobbying issues

<p>S. 2197, Promoting America's Competitive Edge Act- Energy- Provisions concerning authorizations for the Department of Energy's Office of Science, programs to support education activities at the national laboratories, and awards for early career researchers.</p> <p>S. 2198, Promoting America's Competitive Edge Act: Education- Provisions concerning authorizations for basic research, student scholarships, undergraduate education, and teacher training. In addition, provisions concerning visas.</p>

17. House(s) of Congress and Federal agencies contacted None House Senate Other

<p>Office of Management and Budget Department of Commerce</p>

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Name Last Name	Suffix	Covered Official Position (if applicable)
Richard	Jacob		
Kara	Haas		

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

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Filled Name and Title Richard Jacob, Associate Vice President for Federal Relations

LD-2DS (Rev. 4.07)

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Registrant Name Yale University

Client Name Yale University

ADDENDUM for General Lobbying Issue Area SCI

16. Specific lobbying issues (continued from previous page)

Proposed revisions to export control regulations- Provisions concerning research on university campuses.

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Registrant Name Yale University

Client Name Yale University

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code TAX - Taxation/Internal Revenue Code (one per page)

16. Specific lobbying issues

Add page to continue specific issues description for this issue 

H.R. the Tax Increase Prevention and Reconciliation Act-Provisions pertaining to charitable incentives and charitable reform
H.R. 4, the Pension Protection Act- Provisions concerning charitable reform and incentives for charitable giving.
S. 2199, Promoting America's Competitive Edge Act - Finance- Provisions concerning the R & D tax credit.

17. House(s) of Congress and Federal agencies contacted None House Senate Other

[Redacted area for House(s) of Congress and Federal agencies contacted]

18. Name of each individual who acted as a lobbyist in this issue area *Add a page to continue adding lobbyists for th*

First Name	Name Last Name	Suffix	Covered Official Position (if applicable)
Richard	Jacob		
Kara	Haas		

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

[Redacted area for Interest of each foreign entity]

Add a page for a differer

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Registrant Name Yale University

Client Name Yale University

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

Address
 City State Zip Code Country

21. Client new principal place of business (if different than line 20)

City State Zip Code Country

22. New general description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is **no longer** expected to act as a lobbyist for the client

First Name	Last Name	Suffix	First Name	Last Name	Suf
1			3		
2			4		

ISSUE UPDATE

24. General lobbying issues that **no longer** pertain

Find the code to select below.

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Name	Address	Principal place of Business (city and state or country)
	Address C/S/Z	City State Country
	Address C/S/Z	City State

26. Name of each previously reported organization that is **no longer** affiliated with the registrant or client

1	2	3
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FOREIGN ENTITIES

27. Add the following foreign entities

Name	Street Address	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Owner percer client
	City	State/Province Country	City State Country		

28. Name of each previously reported foreign entity that **no longer** owns, **or** controls, **or** is affiliated with the registrant, cl affiliated organization

1	3	5
2	4	6

Add a page for more up

Printed Name and Title Richard Jacob, Associate Vice President for Federal Relations

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Registrant Name Yale University

Client Name Yale University

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

Address

City

State

Zip Code

Country

21. Client new principal place of business (if different than line 20)

City

State

Zip Code

Country

22. New general description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is **no longer** expected to act as a lobbyist for the client

First Name

Last Name

Suffix

First Name

Last Name

Su

1

3

2

4

ISSUE UPDATE

24. General lobbying issues that **no longer** pertain

Find the code to select below.

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Name	Address	Principal place of Business (city and state or country)
	Address C/S/Z Address C/S/Z	City State Country City State

26. Name of each previously reported organization that is **no longer** affiliated with the registrant or client

1

2

3

FOREIGN ENTITIES

27. Add the following foreign entities

Name	Street Address	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Owned by client
	City	State/Province Country	City State Country		

28. Name of each previously reported foreign entity that **no longer** owns, **or** controls, **or** is affiliated with the registrant, client or affiliated organization

1

3

5

2

4

6

Add a page for more up

Printed Name and Title Richard Jacob, Associate Vice President for Federal Relations

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