

## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

**NATL ASSN OF SMALL BUSINESS INVESTMENT COS**

2. Address:

666 11TH ST NW #750, WASHINGTON, DC 20001

3. Principal place of business (if different from line 2):

4. Contact Name: LEE W. MERCER

Telephone: 202-628-5055

E-mail (optional): lmercer@nasbic.org

Senate ID #: 27126-12

House ID #: 30356000

7. Client Name:  Self

## TYPE OF REPORT

8. Year 2000 Midyear (January 1 - June 30):  **OR** Year End (July 1 - December 31):

9. Check if this filing amends a previously filed version of this report:

10. Check if this is a Termination Report:  => Termination Date: \_\_\_\_\_ 11. No Lobbying Activity:

## INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

### 12. Lobbying Firms

**INCOME** relating to lobbying activities for this reporting period was:

Less than \$10,000:

\$10,000 or more:  => Income (nearest \$20,000): \_\_\_\_\_

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

### 13. Organizations

**EXPENSES** relating to lobbying activities for this reporting period were:

Less than \$10,000:

\$10,000 or more:  => Expenses (nearest \$20,000): 20,452.00

### 14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

**Method A.** Reporting amounts using LDA definitions only

**Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code

**Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

Registrant Name: NATL ASSN OF SMALL BUSINESS INVESTMENT COS Client Name: Self

**LOBBYING ACTIVITY.**

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: SMB (one per page)

16. Specific lobbying issues:

HR 3843 Small Business Reauthorization Act of 2000 HR 4690 Making Appropriations for the Departments of Commerce, Justice and State, the Judiciary and Related Agencies for the Fiscal Year End September 30, 2001, and for other purposes HR 3845 Small Business Investment Corrections Act of 2000 HR 4923 Community Renewal and New Markets Act HR 4530 New Markets Venture Capital Program Act of 2000 S 1594 Community Development and Venture Capital Act of 1999 S 2779 American Community Renewal and new Markets Empowerment Act

17. House(s) of Congress and Federal agencies contacted:

Architect of the Capitol (AOC)  
HOUSE OF REPRESENTATIVES  
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: MERCER, LEE W.  
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Signature: ON FILE Date: Feb 13, 2001

Printed Name and Title: LEE W. MERCER - PRESIDENT