



CASE WESTERN RESERVE UNIVERSITY

SECRETARY OF THE SENATE

00 MAY 12 AM 9:04

H. D.

May 8, 2000

Secretary of the Senate  
Office of Public Records  
Hart Senate Office Building  
Room 232  
Washington, D. C. 20510

RE: LDA Report, 7/1-12/31/99

Case Western Reserve University is submitting an amended report for the period July 1-December 31, 1999. The original report was prepared and filed on obsolete forms.

Sincerely,

Joel A. Makee  
University Attorney

Enclosure

Office of Government Relations

MAILING ADDRESS  
Case Western Reserve University  
10800 Euclid Avenue  
Cleveland, Ohio 44106-7024

VISITORS AND DELIVERIES  
14 Adelbert Hall

Phone 216-368-6619  
Fax 216-368-3516

00 MAY 12 AM 9:04

H. D.

**LOBBYING REPORT**

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

|   |  |                           |                   |                            |
|---|--|---------------------------|-------------------|----------------------------|
| 1. Registrant Name<br>Case Western Reserve University   |  |                           |                   |                            |
| 2. Address <input type="checkbox"/> Check if different than previously reported<br>10900 Euclid Avenue            |  |                           |                   |                            |
| 3. Principal Place of Business (if different from line 2)<br>City: Cleveland State/Zip (or Country) OH 44106-7020 |  |                           |                   |                            |
| 4. Contact Name<br>Joel A. Makee  |  | Telephone<br>216-368-4286 | E-mail (optional) | 5. Senate ID #<br>8418-12  |
| 7. Client Name <input type="checkbox"/> Self  |  |                           |                   | 6. House ID #<br>33950-000 |

**TYPE OF REPORT** 8. Year \_\_\_\_\_ Midyear (January 1-June 30)  OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report  Original report submitted on incorrect form.

10. Check if this is a Termination Report  ⇒ Termination Date \_\_\_\_\_ 11. No Lobbying Activity

|  |   |
|--|---|
| <b>INCOME OR EXPENSES - Complete Either Line 12 OR Line 13</b>   |   |
| <p><b>12. Lobbying Firms</b></p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇒ \$ _____<br/> <small>Income (nearest \$20,000)</small></p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p> | <p><b>13. Organizations</b></p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input checked="" type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇒ \$ _____<br/> <small>Expenses (nearest \$20,000)</small></p> <p><b>14. REPORTING METHOD.</b> Check box to indicate expense accounting method. See instructions for description of options.</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definitions only</p> <p><input checked="" type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code</p> |

Signature Joel A. Makee

Printed Name and Title Joel A. Makee, University Attorney

Registrant Name Case Western Reserve U Client Name \_\_\_\_\_

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code BUD (one per page)

16. Specific lobbying issues

OMB Circular A-110 Revision  
HR3424, Labor/HHS/Education Approps. FY2000-NIH, student financial aid  
HR2561, National Security/Defense, FY2000-6.1 and 6.2 research  
HR3423, Interior FY2000-NEA, NEH  
HR2684, VA/HUD/IA FY2000-NSF, NASA, lobbying restrictions  
HR2605, Energy and Water FY2000-High energy physics funding  
HR2490, Treasury/Postal/General Government FY2000-amendment to delay implementation of revisions to Circular A-110

17. House(s) of Congress and Federal agencies contacted  Check if None

House of Representatives  
Senate  
Office of Management and Budget

18. Name of each individual who acted as a lobbyist in this issue area

| Name            | Covered Official Position (if applicable) | New                      |
|-----------------|---|--------------------------|
| Nathan Berger   |   | <input type="checkbox"/> |
| Adrienne Dziak  |   | <input type="checkbox"/> |
| George Stamatis |   | <input type="checkbox"/> |
| Richard Zdanis  |   | <input type="checkbox"/> |
|                 |   | <input type="checkbox"/> |

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature Joel A. Makee Date 5/8/2000  
Printed Name and Title Joel A. Makee, University Attorney

Registrant Name Casa Western Reserve II Client Name \_\_\_\_\_

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code SCI (one per page)

16. Specific lobbying issues

Federal policy on research misconduct - comment guidelines

17. House(s) of Congress and Federal agencies contacted  Check if None

Office of Science and Technology Policy

18. Name of each individual who acted as a lobbyist in this issue area

| Name           | Covered Official Position (if applicable) | New                      |
|----------------|---|--------------------------|
| Adrienne Dziak |   | <input type="checkbox"/> |
| Richard Zdanis |   | <input type="checkbox"/> |
|                |   | <input type="checkbox"/> |

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature Joel A. Makee Date 5/8/2000  
Printed Name and Title Joel A. Makee, University Attorney

Registrant Name Case Western Reserve U Client Name \_\_\_\_\_

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code MMM (one per page)

16. Specific lobbying issues

- S.1023/HR1785 - Indirect Medical Cost Payment, entire bill
- S.1024/HR1103 - Medicare Disproportionate Hospital Share Payments, entire bill

17. House(s) of Congress and Federal agencies contacted  Check if None

House of Representatives  
Senate

18. Name of each individual who acted as a lobbyist in this issue area

| Name           | Covered Official Position (if applicable) | New                      |
|----------------|---|--------------------------|
| Nathan Berger  |   | <input type="checkbox"/> |
| Richard Zdanis |   | <input type="checkbox"/> |
|                |   | <input type="checkbox"/> |

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature Joel A. Makee Date 5/8/2000  
Printed Name and Title Joel A. Makee, University Attorney

Registrant Name Case Western Reserve U Client Name \_\_\_\_\_

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code CPT (one per page)

16. Specific lobbying issues

HB 1858, Consumer and Investor Access to Information Act - entire bill  
HB 354, Collections of Information Antitrapracy Act - entire bill

17. House(s) of Congress and Federal agencies contacted  Check if None

House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

| Name           | Covered Official Position (if applicable) | New                      |
|----------------|---|--------------------------|
| Adrienne Dziak |   | <input type="checkbox"/> |
|                |   | <input type="checkbox"/> |

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature Joel A. Makee Date 5/8/2000  
Printed Name and Title Joel A. Makee, University Attorney

**Information Update Page - Complete ONLY where registration information has changed.**

20. Client new address

N/A

21. Client new principal place of business (if different from line 20)

City N/A

State/Zip (or Country)

22. New general description of client's business or activities

N/A

**LOBBYIST UPDATE**

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client

N/A

**ISSUE UPDATE**

24. General lobbying issues previously reported that no longer pertain

N/A

**AFFILIATED ORGANIZATIONS**

25. Add the following affiliated organization(s)

| Name | Address | Principal Place of Business<br>(city and state or country) |
|------|---------|--|
| N/A  |         |  |

26. Name of each previously reported organization that is no longer affiliated with the registrant or client

N/A

**FOREIGN ENTITIES**

27. Add the following foreign entities

| Name | Address | Principal place of business<br>(city and state of country) | Amount of contribution<br>for lobbying activities | Ownership<br>percentage in<br>client |
|------|---------|--|---|--------------------------------------|
| N/A  |         |  |   |                                      |

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant, client or affiliated organization

Signature Joel A. Makee Date 5/8/2000

Printed Name and Title Joel A. Makee, University Attorney