

RECEIVED  
SECRETARY OF THE SENATE

**LOBBYING REPORT**

Lobbying Disclosure Act of 1995 (Section 5) - All Filers are Required to Complete This Page 12. 55

1. Registrant Name <b>Capitol Associates, Inc.</b>			
2. Address <input type="checkbox"/> Check if different than previously reported <b>426 C Street, NE, Washington, DC 20002</b>			
3. Principal Place of Business (if different from line 2) City: _____ State/Zip (or Country): _____			
4. Contact Name <b>Debra M. Hardy Havens</b>	Telephone <b>202-544-1880</b>	E-mail (optional) <b>dh@capitolassociates.com</b>	5. Senate ID # <b>8101-758</b>
7. Client Name <b>Kennedy Krieger Institute</b>	<input type="checkbox"/> Self		6. House ID # <b>30813072</b>

**TYPE OF REPORT** 8. Year 2000 Midyear (January 1-June 30)  OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report   
 10. Check if this is a Termination Report  ⇒ Termination Date \_\_\_\_\_ 11. No Lobbying Activity

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13	
<p style="text-align: center;"><b>12. Lobbying Firms</b></p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇒ \$ <u>40,000</u> Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p style="text-align: center;"><b>13. Organizations</b></p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇒ \$ _____ Expenses (nearest \$20,000)</p> <p><b>14. REPORTING METHOD.</b> Check box to indicate expense accounting method. See instructions for description of options.</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definitions only</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code</p>

Signature: *Debra M. Hardy Havens*  
 Printed Name and Title: Debra M. Hardy Havens, CEO

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code BUD (one per page)

16. Specific lobbying issues

H.R. 4577- Making appropriations for the Departments of Labor, Health and Human Services, and Education, and related agencies for the fiscal year ending September 30, 2001, and for other purposes (Consolidated Appropriations Act, 2001); Title II - Department of Health and Human Services. Secure support for the National Institutes of Health and the National Eye Institute.

S. 2553- An original bill making appropriations for the Departments of Labor, Health and Human Services, and Education, and related agencies for the fiscal year ending September, 30, 2001, and for other purposes; Title II - Department of Health and Human Services. Secure support for the National Institutes of Health and the National Eye Institute

H.R. 5656 - Making appropriations for the Departments of Labor, Health and Human Services, and Education, and related agencies for the fiscal year ending September 30, 2001, and for other purposes; Title II - Department of Health and Human Services. Secure support for the National Institutes of Health and the National Eye Institute.

H.R. 5482 - Making appropriations for the Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, for the fiscal year ending September 30, 2001.

H.R. 4635 - Making appropriations for the Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, for the fiscal year ending September 30, 2001.

17. House(s) of Congress and Federal agencies contacted  Check if None

House  
Senate  
U.S. Department of Health and Human Services, National Institutes of Health

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Marguerite Donoghue Baxter		<input type="checkbox"/>
Sara Milo		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_

Printed Name and Title Debra M. Hardy Havens, CEO

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific lobbying issues

- S. 2015 - Stem Cell Research Act of 2000. Tracked and reported on Congressional Hearings on stem cell research
- Tracked and monitored the regulation process for NIH Guidelines for Research Involving Human Pluripotent Stem Cells
- H.R. 1388/S. 784 - Medicare Cancer Clinical Trial Coverage Act of 1999
- H.R. 1798/S. 1813 - Clinical Research Enhancement Act of 1999
- H.R. 2498 - Public Health Improvement Act ("minibus" of nine bills including the Clinical Research Enhancement Act)
- H.R. 2621/S. 1091 - Pediatric Research Initiative Act of 1999
- H.R. 4365/S. 2868 - Children's Health Act of 2000
- H.R. 4605 - Human Research Subjects Protections Act of 2000
- S. 1010 - Medical Innovation Tax Credit for Clinical Testing Research. Tracked and monitored Congressional Hearings and White House and DHHS oversight of gene therapy research and clinical research
- Tracked and monitored clinical research subject protection initiatives of the DHHS, including financial conflicts of interest, changes to the Declaration of Helsinki, research involving children, and research conduct.
- S. 2038 - Medical Error Reduction Act of 2000
- S. 2738 - Patient Safety and Errors Reduction Act
- S. 2743 - Voluntary Error Reduction and Improvement in Patient Safety Act
- H.R. 1057 - Medical Information Privacy Act
- H.R. 1941 - Health Information Privacy Act
- H.R. 2404 - Personal Medical Information Protection Act of 1999
- H.R. 2457/S. 1322 - Genetic Nondiscrimination in Health Insurance and Employment Act of 1999
- H.R. 2470 - Medical Information Protection and Research Enhancement Act of 1999
- H.R. 2878 - Medical Privacy in the Age of New Technologies Act of 1999
- H.R. 4585 - Medical Financial Privacy Protection Act
- S. 573 - Medical Information Privacy and Security Act. Tracked and monitored the rule-making process for medical records privacy.
- H.R. 664/S. 731 - Prescription Drug Fairness for Seniors Act
- S. 2274/H.R. 4825 - The Family Opportunity Act of 2000
- S. 1344 - Patient's Bill of Rights Act of 1999
- H.R. 2273 - Patient Protection Bill/Bipartisan Consensus Managed Care Improvement Act of 1999.

H.R. 4770 - Medicare Guaranteed and Health Provider Relief Act of 2000

17. House(s) of Congress and Federal agencies contacted  Check if None

House  
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Marguerite Donoghue Baxter		<input type="checkbox"/>
Sara Milo		<input type="checkbox"/>
Tricia Brooks		<input checked="" type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_

Printed Name and Title Debra M. Hardy Havens, CEO  
Form LD-2 (Rev. 06/98)

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific lobbying issues

- S. 2015 - Stem Cell Research Act of 2000. Tracked and reported on Congressional Hearings on stem cell research
- Tracked and monitored the regulation process for NIH Guidelines for Research Involving Human Pluripotent Stem Cells
- H.R. 1388/S.784 - Medicare Cancer Clinical Trial Coverage Act of 1999
- H.R. 1798/S. 1813 - Clinical Research Enhancement Act of 1999
- H.R. 2498 - Public Health Improvement Act ("minibus" of nine bills including the Clinical Research Enhancement Act)
- H.R. 2621/S.1091 - Pediatric Research Initiative Act of 1999
- H.R. 4365/S. 2868 - Children's Health Act of 2000
- H.R. 4605 - Human Research Subjects Protections Act of 2000
- S. 1010 - Medical Innovation Tax Credit for Clinical Testing Research. Tracked and monitored Congressional Hearings and White House and DHHS oversight of gene therapy research and clinical research
- Tracked and monitored clinical research subject protection initiatives of the DHHS, including financial conflicts of interest, changes to the Declaration of Helsinki, research involving children, and research conduct.
- S. 2038 - Medical Error Reduction Act of 2000
- S. 2738 - Patient Safety and Errors Reduction Act
- S. 2743 - Voluntary Error Reduction and Improvement in Patient Safety Act
- H.R. 1057 - Medical Information Privacy Act
- H.R. 1941 - Health Information Privacy Act
- H.R. 2404 - Personal Medical Information Protection Act of 1999
- H.R. 2457/S. 1322 - Genetic Nondiscrimination in Health Insurance and Employment Act of 1999
- H.R. 2470 - Medical Information Protection and Research Enhancement Act of 1999
- H.R. 2878 - Medical Privacy in the Age of New Technologies Act of 1999
- H.R. 4585 - Medical Financial Privacy Protection Act
- S. 573 - Medical Information Privacy and Security Act. Tracked and monitored the rule-making process for medical records privacy.
- H.R. 664/S. 731 - Prescription Drug Fairness for Seniors Act
- S.2274/H.R. 4825 - The Family Opportunity Act of 2000
- S. 1344 - Patient's Bill of Rights Act of 1999
- H.R. 2273 - Patient Protection Bill/Bipartisan Consensus Managed Care Improvement Act of 1999.
- H.R. 4770 - Medicare Guaranteed and Health Provider Relief Act of 2000

17. House(s) of Congress and Federal agencies contacted  Check if None

House  
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Marguerite Donoghue Baxter		<input type="checkbox"/>
Sara Milo		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_

Printed Name and Title Debra M. Hardy Havens, CEO  
Form LD-2 (Rev. 06/98)

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code MMM (one per page)

16. Specific lobbying issues

H.R. 1388/S.784 – Medicare Cancer Clinical Trial Coverage Act of 1999

H.R. 4770 – Medicare Guaranteed and Health Provider Relief Act of 2000

H.R. 5661 - Medicare/Medicaid and SCHIP Benefits Improvement and Protection Act of 2000

H.R. 4577 - Making Omnibus Consolidated and Emergency Supplemental Appropriations for Fiscal Year 2001

Tracked and monitored Executive Order on Clinical Trials and Medicare

17. House(s) of Congress and Federal agencies contacted  Check if None

House  
Senate  
U.S. Department of Health and Human Services

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Debra Hardy Havens		<input type="checkbox"/>
Matthew Williams		<input checked="" type="checkbox"/>
Marguerite Donoghue Baxter		<input checked="" type="checkbox"/>
Sara Milo		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_

**LOBBYING REGISTRATION ADDENDUM**  
Lobbying Disclosure Act of 1995 (Section 5)

Registrant Name Capitol Associates, Inc.

Client Name Kennedy Krieger Institute

Line #	Information to be included
	<p><u>BUD (Continued from Page Two)</u> Tracked and monitored the following legislation:</p> <p>H.J. Res. 109 - Making further continuing appropriations for the fiscal year 2001, and for other purposes.  H.J. Res. 110 - Making further continuing appropriations for the fiscal year 2001, and for other purposes.  H.J. Res. 111 - Making further continuing appropriations for the fiscal year 2001, and for other purposes.  H.J. Res. 114 - Making further continuing appropriations for the fiscal year 2001, and for other purposes.  H.J. Res. 115 - Making further continuing appropriations for the fiscal year 2001, and for other purposes.  H.J. Res. 116 - Making further continuing appropriations for the fiscal year 2001, and for other purposes.  H.J. Res. 117 - Making further continuing appropriations for the fiscal year 2001, and for other purposes.  H.J. Res. 118 - Making further continuing appropriations for the fiscal year 2001, and for other purposes.  H.J. Res. 119 - Making further continuing appropriations for the fiscal year 2001, and for other purposes.  H.J. Res. 120 - Making further continuing appropriations for the fiscal year 2001, and for other purposes.  H.J. Res. 121 - Making further continuing appropriations for the fiscal year 2001, and for other purposes.  H.J. Res. 122 - Making further continuing appropriations for the fiscal year 2001, and for other purposes.  H.J. Res. 123 - Making further continuing appropriations for the fiscal year 2001, and for other purposes.  H.J. Res. 124 - Making further continuing appropriations for the fiscal year 2001, and for other purposes.  H.J. Res. 84 - Making further continuing appropriations for the fiscal year 2001, and for other purposes.  H.J. Res. 125 - Making further continuing appropriations for the fiscal year 2001, and for other purposes.  H.J. Res. 126 - Making further continuing appropriations for the fiscal year 2001, and for other purposes.  H.J. Res. 127 - Making further continuing appropriations for the fiscal year 2001, and for other purposes.  H.J. Res. 128 - Making further continuing appropriations for the fiscal year 2001, and for other purposes.  H.J. Res. 129 - Making further continuing appropriations for the fiscal year 2001, and for other purposes.  H.J. Res. 133 - Making further continuing appropriations for the fiscal year 2001, and for other purposes.</p>

Addendum

Page 5 of 6

**Information Update Page - Complete ONLY where registration information has changed.**

20. Client new address

21. Client new principal place of business (if different from line 20)

City \_\_\_\_\_ State/Zip (or Country) \_\_\_\_\_

22. New general description of client's business or activities

**LOBBYIST UPDATE**

23. Name of each previously reported individual who is **no longer** expected to act as a lobbyist for the client

Marguerite Donoghue Baxter

**ISSUE UPDATE**

24. General lobbying issues previously reported that **no longer** pertain

**AFFILIATED ORGANIZATIONS**

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Business (city and state or country)

26. Name of each previously reported organization that is **no longer** affiliated with the registrant or client

**FOREIGN ENTITIES**

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Ownership percentage in client

28. Name of each previously reported foreign entity that **no longer** owns, or controls, or is affiliated with the registrant, client or affiliated organization

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title Debra M. Hardy Havens, CEO