

Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, DC 20515

Secretary of the Senate
Office of Public Records
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Washington, DC 20510

SECRETARY OF THE SENATE

00 AUG 14 PM 2:27

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name Caplin & Drysdale, Chartered			
2. Address <input type="checkbox"/> Check if different than previously reported One Thomas Circle NW Washington DC 20005 Suite 1100 DC 20005			
3. Principal Place of Business (if different from line 2) City _____ State/Zip (or Country) _____			
4. Contact Name Kent Mason	Telephone 202/862-5000	E-mail (optional) kam@capdale.com	5. Senate ID # 8203-36
7. Client Name <input type="checkbox"/> Self APPWP - The Benefits Association	6. House ID # 31112000		

TYPE OF REPORT 8. Year 2000 Midyear (January 1-June 30) ☒ OR Year End (July 1-December 31) ☐

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ >> Termination Date _____

11. No Lobbying Activity ☐

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms	13. Organizations
INCOME relating to lobbying activities for this reporting period was:	EXPENSES relating to lobbying activities for this reporting period were:
Less than \$10,000 <input type="checkbox"/>	Less than \$10,000 <input type="checkbox"/>
\$10,000 or more <input checked="" type="checkbox"/> >> \$ <u>\$80,000.00</u> Income (nearest \$20,000)	\$10,000 or more <input type="checkbox"/> >> \$ _____ Expenses (nearest \$20,000)
14. REPORTING METHOD. Check box to indicate expense accounting method. See instructions for description of options.	
<input type="checkbox"/> Method A. Reporting amounts using LDA definitions only	
<input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code	
<input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code	

Signature _____ Date 8/14/2000

Printed Name and Title Kent Mason - Attorney Page 1 of 4

Registrant Name: Caplin & Drysdale, Chartered

Client Name: APPWP - The Benefits Association

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code LBR (one per page)

16. Specific Lobbying issues

See attached.

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

Department of Labor
Department of Treasury
Equal Opportunity Employment Commission
House of Representatives
Internal Revenue Service
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Mason, Kent		No

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature

Date 8/14/2009

Printed Name and Title Kent Mason - Attorney

Page 2 of 4

Registrant Name: Caplin & Drysdale, Chartered

Client Name: APPWP - The Benefits Association

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific Lobbying issues

See attached.

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

Department of Labor
Department of Treasury
Equal Opportunity Employment Commission
House of Representatives
Internal Revenue Service
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Mason, Kent		No

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature



Date 8/14/2008

Printed Name and Title Kent Mason - Attorney

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(APPWP) (LBR)

16. H.R. 1102, the Comprehensive Retirement Security and Pension Reform Act, all provisions. H.R. 4834, all provisions. S. 741, the Pension Coverage and Portability Act, all provisions. S. 646, the Retirement Savings Opportunity Act of 1999, all provisions. S. 1176/H.R. 659 Pension Right to Know Act, all sections. S. 1300, Older Worker Pension Protection Act, all sections. H.R. 1213, Employee Pension Portability and Accountability Act of 1999, all provisions. Proposals to improve defined benefit plans. H.R. 739, Retirement Account Portability Act of 1999, all sections. S. 1429/H.R. 2488, Taxpayer Refund and Relief Act of 1999, Title XII and employee benefits portions of Title XV. H.R. 3081, Wage and Employment Growth Act of 1999, Title III. S. 625/H.R. 833, Bankruptcy Reform Act of 2000, Subtitle C of Title XIII. H.R. 2759, Older Workers Pension Protection Act of 1999, all sections. S. 1640, Pension Benefits Protection and Preservation Act of 1999, all sections. H.R. 2902, Pension Benefits Protection and Preservation Act of 1999, all sections. S. 1708, Pension Reduction Disclosure Act of 1999, all sections. H.R. 3047, Pension Reduction Disclosure Act of 1999, all sections. S. 659, Pension Right to Know Act, all sections. H.R. 1176, Pension Right to Know Act, all sections. S. 1300, Older Workers Pension Act of 1999, all sections. S. 1600, Older Workers Pension Protection Act of 1999. Retirement Savings Accounts. ESOP refinancing. Phased retirement issues, including H.R. 4837, Phased Retirement Liberalization Act, all provisions, and S. 2853, all provisions.

(APPWP)(TAX)

16. H.R. 1102, the Comprehensive Retirement Security and Pension Reform Act, all provisions. H.R. 4343, all provisions. S. 741, the Pension Coverage and Portability Act, all provisions. S. 646, the Retirement Savings Opportunity Act of 1999, all provisions. S. 1176/H.R. 659 Pension Right to Know Act, all provisions. S. 1300, Older Worker Pension Protection Act, all provisions. H.R. 1213, Employee Pension Portability and Accountability Act of 1999, all provisions. Proposals to improve defined benefit plans. H.R. 739, Retirement Account Portability Act of 1999, all provisions. IRS Notice 99-5. IRS Notice 98-52. S. 1429/H.R. 2488, Taxpayer Refund and Relief Act of 1999, Title XII and employee benefits portions of Title XV. H.R. 3081, Wage and Employment Growth Act of 1999, Title III. S. 625/H.R. 833, Bankruptcy Reform Act of 2000, Subtitle C of Title XIII. Treasury Regulations 1.401(a)(4), 1.410(b), and 1.414(r). Cash balance plan legislation and administrative issues. H.R. 2759, Older Workers Pension Protection Act of 1999, all sections. S. 1640, Pension Benefits Protection and Preservation Act of 1999, all sections. H.R. 2902, Pension Benefits Protection and Preservation Act of 1999, all sections. S. 1708, Pension Reduction Disclosure Act of 1999, all sections. H.R. 3047, Pension Reduction Disclosure Act of 1999, all sections. S. 659, Pension Right to Know Act, all sections. H.R. 1176, Pension Right to Know Act, all sections. S. 1300, Older Workers Pension Act of 1999, all sections. S. 1600, Older Workers Pension Protection Act of 1999. IRS Notice 96-8. Retirement Savings Accounts. ESOP refinancing. Stock purchase plans. Phased retirement issues, including H.R. 4837, Phased Retirement Liberalization Act, all provisions, and S. 2853, all provisions. IRS Notice 2000-32.