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SECRETARY OF THE SENATE

04 JUL 23 PM 2:43

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name O'Rourke, John T.			
2. Address <input type="checkbox"/> Check if different than previously reported 801 Pennsylvania Avenue, N.W. Fifth Floor			
3. Principal Place of Business (if different from line 2) Washington D.C. 20004 City: State/zip (or Country)			
4. Contact Name John T. O'Rourke	Telephone (202) 662-4720	E-mail (optional) yorkjack@aol.com	5. Senate ID # 29937-75
7. Client Name <input type="checkbox"/> Self Securities Industry Assn.			6. House ID # 33165005

TYPE OF REPORT 8. Year 2004 Midyear (January 1-June 30) ☒ OR Year End (July 1-December 31) ☐
9. Check if this filing amends a previously filed version of this report ☐10. Check if this is a Termination Report ☐ ⇨ Termination Date _____11. No Lobbying ☐**INCOME OR EXPENSES - Complete Either Line 12 OR Line 13**

12. Lobbying Firms INCOME relating to lobbying activities for this reporting period was: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input checked="" type="checkbox"/> ⇨ \$ <u>60,000.00</u> Income (nearest \$20,000) Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	13. Organizations EXPENSES relating to lobbying activities for this reporting period were: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input type="checkbox"/> ⇨ \$ _____ Expenses (nearest \$20,000) 14. REPORTING METHOD. Check box to indicate reporting accounting method. See instructions for description of method. <input type="checkbox"/> Method A. Reporting amounts using LDA definition <input type="checkbox"/> Method B. Reporting amounts under section 603 Internal Revenue Code <input type="checkbox"/> Method C. Reporting amounts under section 162 Internal Revenue Code
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Signature



Date

7/22/2004

Printed Name and Title

John T. O'Rourke

LD-2 (REV. 4/03)

PAGE 1

Registrant Name O'Rourke, John T. Client Name Securities Industry Assn.

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific lobbying issues

Issues related to the regulation of the securities industry.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

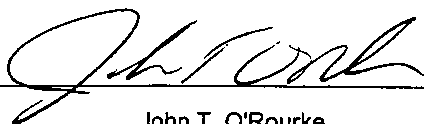
Senate
House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Lazarus, Maggi A.	N/A
O'Rourke, John T.	N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature



Date

7/22/2004

Printed Name and Title

John T. O'Rourke

Registrant Name O'Rourke, John T. Client Name Securities Industry Assn.

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each information as requested. Attach additional page(s) as needed.

15. General issue area code BAN (one per page)

16. Specific lobbying issues

Issues related to the privacy of financial information.

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

Senate
House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Lazarus, Maggi A.	N/A
O'Rourke, John T.	N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature

John T. O'Rourke

Date

7/22/200

Printed Name and Title

John T. O'Rourke

