

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers are Required to Complete This Page

02 FEB 12 PM 3:5

1. Registrant Name Capitol Associates, Inc.			
2. Address <input type="checkbox"/> Check if different than previously reported 426 C Street NE, Washington, DC 20002			
3. Principal Place of Business (if different from line 2) City: _____ State/Zip (or Country) _____			
4. Contact Name	Telephone	E-mail (optional)	5. Senate ID
Debra M. Hardy Havens	(202) 544-1880	dh@capitolassociates.com	8101-303
7. Client Name	<input type="checkbox"/> Self	6. House ID	
Johns Hopkins University		3081-3008	

TYPE OF REPORT 8. Year 2001 Midyear (January 1-June 30) ☐ OR Year End (July 1-Dec

9. Check if this filing amends a previously filed version of this report ☒

10. Check if this is a Termination Report ☐ ⇒ Termination Date _____ 11. No Lobbying Activity ☐

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms	13. Organizations
INCOME relating to lobbying activities for this reporting period was:	EXPENSES relating to lobbying activities for this reporting period were:
Less than \$10,000 <input type="checkbox"/>	Less than \$10,000 <input type="checkbox"/>
\$10,000 or more <input checked="" type="checkbox"/> ⇒ \$ <u>20,000</u> Income (nearest \$20,000)	\$10,000 or more <input type="checkbox"/> ⇒ \$ _____ Expenses (nearest \$20,000)
Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	14. REPORTING METHOD. Check box to indicate accounting method. See Instructions for description.
	<input type="checkbox"/> Method A. Reporting amounts using LDA definition
	<input type="checkbox"/> Method B. Reporting amounts under section 6013 of the Internal Revenue Code
	<input type="checkbox"/> Method C. Reporting amounts under section 162 of the Internal Revenue Code

Signature

Debra M. Hardy Havens

Printed Name and Title Debra M. Hardy Havens, CEO



Registrant Name Capitol Associates, Inc.

Client Name Johns Hopkins University

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each c** information as requested. Attach additional page(s) as needed.

15. General issue area code AGR (one per page)

16. Specific lobbying issues

Farm bill- Research provisions

S.1731- Agriculture, Conservation and Rural Enhancement Act of 2001

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ed Long	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature _____

Printed Name and Title Debra M. Hardy Havens, CEO



LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each** information as requested. Attach additional page(s) as needed.

15. General issue area code BUD (one per page)

16. Specific lobbying issues

H.R. 3061, S. 1536-Departments of Labor, Health and Human Services and Education and Relat Appropriations Act, 2002. Funding for the National Institutes of Health, and Asthma programs.

HJ Res 65- Continuing Appropriations for FY 2002

HJ Res 68- Continuing Appropriations for FY 2002

HJ Res 69- Continuing Appropriations for FY 2002

HJ Res 70- Continuing Appropriations for FY 2002

HJ Res 74- Continuing Appropriations for FY 2002

HJ Res 76- Continuing Appropriations for FY 2002

HJ Res 78- Continuing Appropriations for FY 2002

HJ Res 79- Continuing Appropriations for FY 2002

H.R. 2216- Supplement Appropriations Act, 2001

H.R. 2888- 2001 Emergency Supplement Appropriations Act for Recovery from and Response to Terroris Attacks on the United States

17. House(s) of Congress and Federal agencies contacted .

☐ Check if None

House, Senate and DHHS

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Deb Hardy Havens	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature _____

Printed Name and Title Debra M. Hardy Havens, CEO



Registrant Name Capitol Associates, Inc.

Client Name Johns Hopkins University

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each** information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific lobbying issues

HJ Res. 38 - Disapproving the rule submitted by the Department of Health and Human Services on Decem relating to standards for privacy of individually identifiable health information.

H.R. 526, H.R. 2563, S. 872, S. 1052 - Bipartisan Patient Protection Act of 2001

S.889 - Bipartisan Patients Bill of Rights Act of 2001

H.R. 602, S.318 - Genetic Nondiscrimination in Health Insurance and Employment Act

H.R. 1215 - Medical Information Protection and Research Enhancement Act of 2001

H.R. 1975 - To modify the deadline for initial compliance with the standards and implementation s promulgated under section 1173 of the Social Security Act and for other purposes

S.1588, S. 1684 - A bill to provide a one year extension of the date for compliance by certain covered ent administrative simplification standards for electronic transactions and code sets issued in accordance wit Insurance Portability and Accountability Act of 1996

- Tracked and monitored clinical research subject protection initiatives of the Dept of Health and Human Ser House and the National Bioethics Advisory Commission including financial conflicts of interest, changes to th of Helsinki, research involving children and research conduct.

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House, Senate and DHHS

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Deb Hardy Havens	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature _____

Printed Name and Title Debra M. Hardy Havens, CEO



LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which t
engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each c**
information as requested. Attach additional page(s) as needed.

15. General issue area code LBR (one per page)

16. Specific lobbying issues

- Monitor, track and support Legislation allowing physicians to bargain collectively with health plans
- Track, monitor, report on Legislative activities related to prevention of Needlesticks

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House, Senate, Dept of Labor, Office of Safety and Health Admin

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Deb Hardy Havens	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature _____

Printed Name and Title Debra M. Hardy Havens, CEO



LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each c** information as requested. Attach additional page(s) as needed.

15. General issue area code MED (one per page)

16. Specific lobbying issues

- Tracked and reported on Congressional Hearings on stem cell research.
- S.838-Best Pharmaceuticals for Children Act.
- H.R. 967-Access to Cancer Clinical Trials Act of 2001
- H.R. 1771-Antimicrobial Resistance Prevention Act of 2001
- H. Con.Res. 17-Expressing the sense of the Congress supporting Federal funding of pluripotent stem cel
- S. 723, H.R. 2059- Stem Cell Research Act of 2001
- S. 790, H.R. 1644- Human Cloning Prohibition Act of 2001
- H.R. 2172- Cloning Prohibition Act of 2001
- H.R. 1260 - Ban on Human Cloning Act
- H.R. 1352 - Human Cloning Research Prohibition Act
- H.R. 2096 - Responsible Stem cell Research Act of 2001
- H.R. 2505 - Human Cloning Prohibition Act
- H.R. 2608 - Cloning Prohibition Act
- H.R. 2747 - Stem Cell Research for Patient Benefit Act of 2001
- H.R.3495 - Human Cloning Prevention Act of 2001
- S. 704 - Human Cloning Prohibition Act
- S. 1349 - Responsible Stem Cell Research Act of 2001
- S. 1758 - Human Cloning Prohibition Act of 2001
- Tracked and monitored clinical research subject protection initiatives of the Dept of Health and Human Serv
- House and the National Bioethics Advisory Commission, including financial conflicts of interest, chang
- Declaration of Helsinki, research involving children and research conduct.
- Tracked and reported on Congressional hearings, White House and Dept of Health and Human Servi
- regarding Stem Cell Research.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None
House, Senate and Dept of Health and Human Services

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Deb Hardy Havens	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature _____



LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each** information as requested. Attach additional page(s) as needed.

15. General issue area code MMM (one per page)

16. Specific lobbying issues

- Monitor and track Medicare reforms and Prescription Drug Benefit
- Monitor and track Managed Care Reform issues
- S. 357 - A bill to amend the Social Security Act to preserve and improve the Medicare Program
- S. 358 - A bill to amend the Social Security Act to establish a Medicare Prescription Drug and Supply Program and for other purposes.
- H.R. 803 - To amend title XVIII of the Social Security Act to make the Medicare Program more competitive to extend the solvency of the Medicare Program, to provide for a Prescription Drug Benefit under the Medicare Program, to improve quality of care, to make Medicare Supplement Insurance (Medigap) more affordable and for other purposes.
- S. 10 - A bill to amend title XVIII of the Social Security Act to provide coverage of Outpatient Prescription Drugs under the Medicare Program
- S. 1135 - A bill to amend title XVIII of the Social Security Act to provide comprehensive reform of the Medicare Program, including the provision of coverage of Outpatient Prescription Drugs under such program
- H.R. 1400 - To provide for substantial reductions in the price of Prescription Drugs for Medicare beneficiaries
- S.824 - Medication Errors Reduction Act of 2001
- S.863, H.R. 1804 - Patient Safety Act of 2001
- H.R. 2340 - Patient Safety and Health Care Whistleblower Protection Act of 2001

17. House(s) of Congress and Federal agencies contacted
House and Senate

☐ Check if None

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Deb Hardy Havens	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature _____



Registrant Name Capitol Associates, Inc.Client Name Johns Hopkins University**Information Update Page - Complete ONLY where registration information has changed.**

20. Client new address

21. Client new principal place of business (if different from line 20)

City

State/Zip (or Country)

22. New general description of client's business or activities

LOBBYIST UPDATE23. Name of each previously reported individual who is **no longer** expected to act as a lobbyist for the client

Matthew Williams and Tricia Brooks

ISSUE UPDATE24. General lobbying issues previously reported that **no longer** pertain**AFFILIATED ORGANIZATIONS**

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Bus (city and state or coun

26. Name of each previously reported organization that is **no longer** affiliated with the registrant or client**FOREIGN ENTITIES**

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Owner percent client

28. Name of each previously reported foreign entity that **no longer** owns, or controls, or is affiliated with the registra
or affiliated organization

Signature _____

Date _____

Printed Name and Title Debra M. Hardy Havens, CEO

Form LD-2 (Rev. 6/98)

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Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, DC 20515

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510

LOBBYING REGISTRATION ADDENDUM

Lobbying Disclosure Act of 1995 (Section 5)

Registrant Name Capitol Associates, Inc.

Client Name Johns Hopkins University

Line #	Information to be included
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15. MMM

S. 318, H.R. 602 – Genetic Nondiscrimination in Health Insurance and Employment Act
H.R.1215 – To ensure confidentiality with respect to medical records and health care-related information, a purposes.
S.450 – A bill to amend the Gramm-Leach-Bliley Act to provide for enhanced protection of nonpublic person including health information, and for other purposes.
H.R. 1975 – To modify the deadline for initial compliance with the standards and implementation specification under section 1173 of the Social Security Act, and for other purposes.
S. 836 – A bill to amend part C of title XI of the Social Security Act to provide for coordination of imp administrative simplification standards for health care information.
S.1055 – A bill to require the consent of an individual prior to the sale and marketing of such individual's persona information, and for other purposes.
S. 6 – A bill to amend the Public Health Service Act, the Employee Retirement Income Security Act of 1974, a Revenue Code of 1986 to protect consumers in managed care plans and other health coverage.
S. 283 – A bill to amend the Public Health Service Act, the Employee Retirement Income Security Act of 1974, a Revenue code of 1986 to protect consumers in managed care plans and other health coverage.
S. 872 – A bill to amend the Public Health Service Act, the Employee Retirement Income Security Act of 1974, a Revenue Code of 1986 to protect consumers in managed care plans and other health coverage.
S. 889 – A bill to protect consumers in managed care plans and in other health coverage.
H.R. 526 – To amend the Public Health Service Act, the Employee Retirement Income Security Act of 1974, a Revenue Code of 1986 to protect consumers in managed care plans and other health coverage.
H.R. 2315 – To protect consumers in managed care plans and in other health coverage.
H.R. 2563 – To amend the Public Health Service Act, the Employee Retirement Income Security Act of 1974, a Revenue Code of 1986 to protect consumers in managed care plans and other health coverage.

16. (p4) HCR (continued)

- H.R. 3153, S. 1520 - State Bioterrorism Preparedness Act
- H.R. 3174 - Food Supply Protection Act
- H.R. 3184, S. 1551- Protecting the Food Supply from Bioterrorism Act
- H.R. 3242, S. 1486 - Biological and Chemical Weapons Preparedness Act of 2001
- H.R. 3255 - Bioterrorism Protection Act of 2001
- H.R. 3310, S. 1715 - Bioterrorism Preparedness Act of 2001
- H.R. 3448 - Public Health Security and Bioterrorism Response Act of 2001
- S. 1546 - A bill to provide additional funding to combat Bioterrorism
- S. 1747 - A bill to provide funding to improve the security of the American people by protecting aga bioterrorism

