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SECRETARY OF THE SENATE

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# LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name National Association of Insurance and Financial Advisors (NAIFA)		formerly the National Assn. of Underwriters (NALU)	
2. Address <input type="checkbox"/> Check if different than previously reported 2901 Telestar Court, Falls Church, VA 22042			
3. Principal Place of Business (if different from line 2) City: _____ State/Zip (or Country) _____			
4. Contact Name David A. Winston		Telephone (703) 770-8156	E-mail (optional) dwinston@naifa.org
5. Senate ID # 26901-12			6. House ID # 30246000
7. Client Name <input checked="" type="checkbox"/> Self			

**TYPE OF REPORT** 8. Year 2001 Midyear (January 1-June 30)  OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  ⇨ Termination Date \_\_\_\_\_

11. No Lobbying Activity

## INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms	13. Organizations
<b>INCOME</b> relating to lobbying activities for this reporting period was: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input type="checkbox"/> ⇨ \$ _____ Income (nearest \$20,000)	<b>EXPENSES</b> relating to lobbying activities for this reporting period were: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input type="checkbox"/> ⇨ \$ <u>440,000</u> Expenses (nearest \$20,000)
Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	<b>14. REPORTING METHOD.</b> Check box to indicate expense accounting method. See instructions for description of options <input checked="" type="checkbox"/> Method A. Reporting amounts using LDA definitions only <input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of Internal Revenue Code <input type="checkbox"/> Method C. Reporting amounts under section 162(e) of Internal Revenue Code

Signature



Printed Name and Title

David A. Winston - Vice President, Government Affairs



Registrant Name National Assn. of Insurance and Client Name \_\_\_\_\_

Financial Advisors

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues

H.R. 1836 - Tax RELIEF Act. NAIFA supported provisions related to 1) estate tax relief, 2) pension reform, 3) generation-skipping tax, and 4) self-employed health insurance deduction.

H.R. 831/S.627 - The Long Term Care and Retirement Security Act. NAIFA supports this legislation as another effort to provide incentives for the purchase of and increased access to long term care insurance.


17. House(s) of Congress and Federal agencies contacted  Check if None

House of Representatives  
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
David A. Winston	Vice President, Government Affairs
Morris R. Goff	Director, Government Affairs

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature  Date July 30, 20  
Printed Name and Title David A. Winston - Vice President, Government Affairs



Registrant Name National Assn. of Insurance and Client Name \_\_\_\_\_

Financial Advisors

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the r engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific lobbying issues

H.R. 10 - The Comprehensive Retirement Security and Pension Reform Act. NAIFA supported this legislation.

H.R. 2269 - The Retirement Security Advice Act. NAIFA supports this legislation.

H.R. 1408 - Financial Services Antifraud Network Act. NAIFA supports this legislation.

17. House(s) of Congress and Federal agencies contacted  Check if None

House of Representatives  
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
David A. Winston	Vice President - Government Affairs
Morris R. Goff	Director - Government Affairs

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature *David A. Winston* Date July 30, 2008  
Printed Name and Title David A. Winston - Vice President, Government Affairs



Registrant Name National Assn. of Insurance and Client Name \_\_\_\_\_

Financial Advisors

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific lobbying issues

H.R. 526 / H.R. 2563 / S.283 - Patients' Bill of Rights. NAIFA opposes any provisions of the legislation that raise the issue of whether health insurance agents can be held liable. NAIFA also opposes any provision that might exempt Association Health Plans (AHPs) from state consumer protections and health insurance r


17. House(s) of Congress and Federal agencies contacted  Check if None

House of Representatives  
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Michael L. Kerley	Senior Vice President

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature  Date July 30, 2001  
Printed Name and Title David A. Winston - Vice President, Government Affairs

