

Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, DC 20515

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510



SECRETARY OF THE SENATE

01 FEB 23 AM 8:21

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name Chicago Board Options Exchange			
2. Address <input type="checkbox"/> Check if different than previously reported 400 S. LaSalle Street			
3. Principal Place of Business (if different from line 2) City: Chicago State/Zip (or Country) IL 60605			
4. Contact Name Amy Zisook		Telephone (312) 786-7182	E-mail (optional)
5. Senate ID # 48510-12		6. House ID # 34593000	
7. Client Name <input checked="" type="checkbox"/> Self			

TYPE OF REPORT 8. Year 2000 Midyear (January 1-June 30) OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report ⇨ Termination Date _____

11. No Lobbying Activity

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13	
12. Lobbying Firms INCOME relating to lobbying activities for this reporting period was: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input type="checkbox"/> ⇨ \$ _____ Income (nearest \$20,000) Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	13. Organizations EXPENSES relating to lobbying activities for this reporting period were: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input type="checkbox"/> ⇨ \$ <u>300,000</u> Expenses (nearest \$20,000) 14. REPORTING METHOD. Check box to indicate expense accounting method. See instructions for description of options. <input type="checkbox"/> Method A. Reporting amounts using LDA definitions only <input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code <input checked="" type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code

Signature Amy Zisook
Printed Name and Title Amy Zisook, Vice President of Civic & Governmental Affairs

Registrant Name Exchange Client Name

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code (one per page) SEE ATTACHMENTS

16. Specific lobbying issues

HR 4541 / S. 2697 CFTC/CEA
HR 2441 / S. 2107 Section 31 Fee Reduction

17. House(s) of Congress and Federal agencies contacted Check if None

- Senate
House of Representatives
Treasury
Federal Reserve
SEC

18. Name of each individual who acted as a lobbyist in this issue area

Table with 3 columns: Name, Covered Official Position (if applicable), and New. The table contains 8 empty rows for data entry.

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature Date

Printed Name and Title Amy Zisook, Vice President of Civic & Governmental Affairs

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

21. Client new principal place of business (if different from line 20)

City _____ State/Zip (or Country) _____

22. New general description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client

ISSUE UPDATE

24. General lobbying issues previously reported that no longer pertain

NONE

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Business (city and state or country)
U.S. Securities Market Coalition	440 S. LaSalle Street Suite 2400	Chicago, IL

26. Name of each previously reported organization that is no longer affiliated with the registrant or client

FOREIGN ENTITIES

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Ownership percentage in client

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant, client or affiliated organization

Signature _____ Date _____

Printed Name and Title Amy Zisook, Vice President of Civic & Governmental Affairs

Attachment 1

15. General issue area code AGR (one per page)

HR 4541 / S.2697
CFTC/CEA

Attachment 2

16. General issue area code BAN (one per page)

BAN

HR 2441 / S.2107 SEC 31 Fee Reduction
HR 4541 / S.2697 - CEA

Attachment 3

17. General issue area code FIN (one per page)

No Bills

Attachment 4

18. General issue area code TAX (one per page)

No Bills