

## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

**MORGAN CHASE & CO, J.P.**

2. Address:

270 PARK AVENUE, 29th FLOOR, NEW YORK, NY 10017

3. Principal place of business (if different from line 2):

4. Contact Name: DANIEL J. EKSTEIN

Telephone: 2025858942

E-mail (optional): daniel.j.ekstein@jpmchase.com

Senate ID #: 25807-12

House ID #:

7. Client Name:  Self

## TYPE OF REPORT

8. Year 2004 Midyear (January 1 - June 30):  **OR** Year End (July 1 - December 31):

9. Check if this filing amends a previously filed version of this report:

10. Check if this is a Termination Report:  => Termination Date: \_\_\_\_\_ 11. No Lobbying Activity:

## INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

### 12. Lobbying Firms

**INCOME** relating to lobbying activities for this reporting period was:

Less than \$10,000:

\$10,000 or more:  => Income (nearest \$20,000): \_\_\_\_\_

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

### 13. Organizations

**EXPENSES** relating to lobbying activities for this reporting period were:

Less than \$10,000:

\$10,000 or more:  => Expenses (nearest \$20,000): 1,340,000.00

### 14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

**Method A.** Reporting amounts using LDA definitions only

**Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code

**Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

Registrant Name: MORGAN CHASE & CO, J.P. Client Name: Self

## LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: BAN (one per page)

16. Specific lobbying issues:

- Clarification of anti-trust laws in commercial lending: (Section 106 of the Bank Holding Company Act). - Bank Broker-Dealer Regulation: (To amend an SEC regulation defining, under the Gramm-Leach-Bliley Act, broker-dealer activities that can be performed inside a Bank). - Matricula Card: HR 5025 (Against an amendment to the Treasury Appropriations bill that would have restricted bank acceptance of Mexican Matricula Consular card). - OCC Preemption: SJRes 31, SJRes 32, HR 4236 (Against Congressional attempts to overturn OCC rules preempting the application of state law to national banks). - SBA 7(a) Program: HR 4818, HR 2802 (To Preserve the 7(a) lending program).

17. House(s) of Congress and Federal agencies contacted:  
HOUSE OF REPRESENTATIVES  
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BLOCK, L. THOMAS

Covered Official Position (if applicable): N/A

Name: JENNINGS, GAYLE C.

Covered Official Position (if applicable): N/A

Name: KELLER, JAMES A.

Covered Official Position (if applicable): N/A

Name: ROSTOW, VICTORIA

Covered Official Position (if applicable): N/A

Name: RUHLEN, STEPHEN S

Covered Official Position (if applicable): DEPUTY ASSISTANT TO THE VICE PRESIDENT

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: MORGAN CHASE & CO, J.P. Client Name: Self

### LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: GOV (one per page)

16. Specific lobbying issues:

- Foodstamp program operations: HR 4766, HR 4818 (Against restrictions on the use of offshore operations to administer state food stamp programs). - Postal Reform: S 2468, HR 4341 (To modernize the postal service). - Tobacco Buyout: HR 4520 (Trust and securitization).

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES  
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BLOCK, L. THOMAS

Covered Official Position (if applicable): N/A

Name: JENNINGS, GAYLE C.

Covered Official Position (if applicable): N/A

Name: KELLER, JAMES A.

Covered Official Position (if applicable): N/A

Name: ROSTOW, VICTORIA

Covered Official Position (if applicable): N/A

Name: RUHLEN, STEPHEN S

Covered Official Position (if applicable): DEPUTY ASSISTANT TO THE VICE PRESIDENT

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: MORGAN CHASE & CO, J.P. Client Name: Self

### LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TAX (one per page)

16. Specific lobbying issues:

- Non Qualified Deferred Compensation: HR 4520, S 1637 (Lobbied against restrictions on deferred compensation plans). - Corporate Owned Life Insurance: S 2424 (To grandfather the tax treatment of COLI). - Taxation of Foreign Sales Corporation Leases: HR 4520, S 1637 (To grandfather the tax benefit for Foreign Sales Corporation leases).

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES  
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BLOCK, L. THOMAS

Covered Official Position (if applicable): N/A

Name: JENNINGS, GAYLE C.

Covered Official Position (if applicable): N/A

Name: KELLER, JAMES A.

Covered Official Position (if applicable): N/A

Name: ROSTOW, VICTORIA

Covered Official Position (if applicable): N/A

Name: RUHLEN, STEPHEN S

Covered Official Position (if applicable): DEPUTY ASSISTANT TO THE VICE PRESIDENT

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: MORGAN CHASE & CO, J.P. Client Name: Self

### LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TOR (one per page)

16. Specific lobbying issues:

- Class Action Fairness Act: S 2062 (To amend procedures for the consideration of class action suits).

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BLOCK, L. THOMAS

Covered Official Position (if applicable): N/A

Name: JENNINGS, GAYLE C.

Covered Official Position (if applicable): N/A

Name: KELLER, JAMES A.

Covered Official Position (if applicable): N/A

Name: ROSTOW, VICTORIA

Covered Official Position (if applicable): N/A

Name: RUHLEN, STEPHEN S

Covered Official Position (if applicable): DEPUTY ASSISTANT TO THE VICE PRESIDENT

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: MORGAN CHASE & CO, J.P. Client Name: Self

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Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TRA (one per page)

16. Specific lobbying issues:

- Airline reaccommodation: HR 10, S 2845 (For extension of a provision requiring airlines to "reaccomodate" passengers of bankrupt airlines).

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BLOCK, L. THOMAS

Covered Official Position (if applicable): N/A

Name: JENNINGS, GAYLE C.

Covered Official Position (if applicable): N/A

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Covered Official Position (if applicable): N/A

Name: ROSTOW, VICTORIA

Covered Official Position (if applicable): N/A

Name: RUHLEN, STEPHEN S

Covered Official Position (if applicable): DEPUTY ASSISTANT TO THE VICE PRESIDENT

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Signature: ON FILE Date: Mar 01, 2007

Printed Name and Title: DANIEL J. EKSTEIN, VP -