



**BEAR  
STEARNS**

SECRETARY OF THE SENATE  
Bear, Stearns & Co. Inc.  
303 Madison Avenue  
New York, NY 10179  
Tel (212) 272-2000  
[www.bearstearns.com](http://www.bearstearns.com)

04 AUG 16 11:43 AM '03

August 10, 2003

Secretary of the Senate  
Office of Public Records  
232 Hart Senate Office Building  
Washington DC 20510

**Re: Bear, Stearns & Co. Inc.  
Senate ID No. 5701-12  
Form LD-2**

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Dear Sir:

Please find enclosed one (1) signed Form LD-2 for Bear, Stearns & Co. Inc., as registrant required by the Lobbying Disclosure Act of 1995. I would appreciate it if you would indicate your receipt on the enclosed copy of this letter and return it to me in the enclosed self-addressed, postage-paid envelope.

If you have any questions, please call me at (973) 793-2268

Sincerely,

Philip Feierberg  
Compliance Department

enclosures

lobby-report-federal-1



Clerk of the House of Representatives Legislative Resource Center E-106 Cannon Building Washington, DC 20515	Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510
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**LOBBYING REPORT**

Lobbying Disclosure Act of 1995 (Section 5) – All Filers are Required To Complete This Page

SECRETARY OF THE SENATE  
04 AUG 16 PM 4:34

1. Registrant Name Bear, Stearns & Co. Inc.			
2. Address <input type="checkbox"/> Check if different than previously reported 383 Madison Avenue, New York, NY 10179			
3. Principal Place of Business (if different from line 2) City: _____ State/Zip (or Country) _____			
4. Contact Name	Telephone	E-mail (optional)	5. Senate ID #
Nancy Lopez	973-793-2267	nlopez@bear.com	5701-12
Philip Feierberg	973-793-2268	pfeierberg@bear.com	
7. Client Name <input type="checkbox"/> Self			6. House ID # 31571000

**TYPE OF REPORT** 8. Year 2004 Midyear (January 1- June 30)  or Year End (July 1- December 31) 9. Check if this filing amends a previously filed version of this report 10. Check if this is a Termination Report  ⇨ Termination Date \_\_\_\_\_ 11. No Lobbying Activity **INCOME OR EXPENSES** – Complete Either Line 12 **OR** Line 13

12. Lobbying Firms	13. Organizations
<b>INCOME</b> relating to Lobbying activities for this Reporting period was:  Less than \$10,000 <input type="checkbox"/>  \$10,000 or more <input type="checkbox"/> ⇨ \$ _____ Income (nearest \$20,000)	<b>EXPENSES</b> relating to lobbying activities for reporting period were:  Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input checked="" type="checkbox"/> ⇨ <u>\$340,000</u> Expenses (nearest \$20,000)
Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	<b>14. REPORTING METHOD.</b> Check box to indicate accounting method. See instructions for description.  <input type="checkbox"/> <b>Method A.</b> Reporting amounts using LDA de <input type="checkbox"/> <b>Method B.</b> Reporting amounts under section the internal Revenue Code <input checked="" type="checkbox"/> <b>Method C.</b> Reporting amounts under section Internal Revenue Code

Signature See page 4 of 4

Printed Name and Title See page 4 of 4

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Registrant Name Bear, Stearns & Co. Inc.

Client Name \_\_\_\_\_

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the regi lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** provide info requested. Attached additional page(s) as needed.

15. General Issue area code FIN

16. Specific lobbying issues

H.R. 833 Responsible Lending Act; Section 103, assignee liability.

S. 1928 Predatory Lending Consumer Protection Act of 2003; assignee liability.

H.R. 2420 MutualFund Integrity and Fee Transparence Act; effects on mutual fund and hedge fund busin

H.R. 2179 Securities Fraud Deterrance and Investor Resitution Act; nationalization of securities laws.

S. 1971 Mutual Fund Investor Confidence Restoration Act of 2003; issues related to hedge funds.

S. 1751 Class Action Fairness Act of 2003; passage of legislation.

17. House(s) of Congress and Federal agencies contacted

Check if None

House of Representatives

Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Mary Lynn O'Neill Managing Director	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature See page 4 of 4 Date \_\_\_\_\_

Printed Name and Title See page 4 of 4

Form LD-2 (Rev. 6/98)

Registrant Name Bear, Stearns & Co. Inc. Client Name \_\_\_\_\_

Registrant Name Bear, Stearns & Co. Inc.

Client Name \_\_\_\_\_

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the regi lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** provide info requested. Attached additional page(s) as needed.

- 15. General Issue area code BNK
- 16. Specific lobbying issues  
H.R. 975 Bankruptcy Abuse Prevention and Consumer Protection Act of 2003; passage of legislation, es Title IX and section 414.  
S. 1920 Six-Month Extension of Period for Which Chapter 12 of Title 11, United States Code is Enacted Section 414 as part of bill.
- 17. House(s) of Congress and Federal agencies contacted  Check if None  
 House of Representatives  
 Senate
- 18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Mary Lynn O'Neill Managing Director	

- 19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature See page 4 of 4 Date \_\_\_\_\_

Printed Name and Title See page 4 of 4

Registrant Name Bear, Stearns & Co. Inc.

Client Name \_\_\_\_\_