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Washington, DC 20510

SECRETARY OF THE SENATE

# LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant name <b>The Trustees of Columbia University</b>			
2. Address <input type="checkbox"/> Check if different than previously reported			
535 West 116th Street New York NY 10027	302 Low Library U		
3. Principal place of business (if different than line 2) City State/Zip or Country			
4a. Contact Name Ms. Ellen S. Smith	b. Telephone number (212) 854-3394	c. E-mail ess9@columbia.edu	5. Senate ID # 38585
7. Client Name <input checked="" type="checkbox"/> Self The Trustees of Columbia University			6. House ID # 308870

**TYPE OF REPORT** 8. Year 2005 Midyear (January 1-June 30)  OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  ⇒ Termination Date \_\_\_\_\_ 11. No Lobbying Act

## INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

**12. Lobbying Firms**

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000

\$10,000 or more  ⇒ \$ \_\_\_\_\_

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

**13. Organizations**

EXPENSES relating to lobbying activities for this reporting period were:

Less than \$10,000

\$10,000 or more  ⇒ \$ 120,000

**14. REPORTING METHOD.** Check box to indicate exp accounting method. See instructions for description of opt

**Method A.** Reporting amounts using LDA definitions

**Method B.** Reporting amounts under section 6033(b)(1) Internal Revenue Code

**Method C.** Reporting amounts under section 162(e) of Revenue Code

Edit Form >

Signature Ellen S. Smith Date 2/13/06

0000081513



Registrant Name The Trustees of Columbia University

Client Name The Trustees of Columbia University

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which th engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each co** information as requested. Attach additional page(s) as needed.

15. General issue area code BUD - Budget/Appropriations (one per page)

16. Specific lobbying issues

HR2744 Agriculture Appropriations Act  
HR2862 Science/State/Justice/Commerce Appropriations Act  
HR2863 Defense Appropriations Act  
HR2419 Energy & Water Appropriations Act  
HR3057 Foreign Operations Appropriations Act  
HR2360 Homeland Security Appropriations Act

17. House(s) of Congress and Federal agencies contacted  Check if None

U.S. Senate  
U.S. House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name		Covered Official Position (if applicable)
Ellen S.	Smith	Assistant VP and Director of Government Relations
Matthew	Bianco	Assistant Director of Government Relations
Nick	Moustakas	Sr. Government Relations Associate

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

0000081514

Signature *Ellen S. Smith* 2/12/06  
Filing #9328309e-3307-43de-80fc-771cf7b7a051 - Page 3 of 28

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SIGNATURE Ellen S. Smith

Date 4/15/04

Printed Name and Title Ellen S. Smith, Assistant Vice President and Director of Government Relati

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Registrant Name The Trustees of Columbia University

Client Name The Trustees of Columbia University

**ADDENDUM for General Lobbying Issue Area BUD**

16. Specific lobbying issues (continued from previous page)

HR2361 Interior & Environment Appropriations Act  
HR3010 Labor/HHS/Education Appropriations Act  
H.R.4241 Deficit Reduction Act of 2005  
S.1932 Deficit Reduction Omnibus Reconciliation Act of 2005

All provisions relating to science, healthcare and education funding

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Registrant Name The Trustees of Columbia University

Client Name The Trustees of Columbia University

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code CPT - Copyright/Patent/Trademark (one per page)

16. Specific lobbying issues

H.R. 2795 Patent Act of 2005  
All provisions relating to intellectual property issues with relevance to higher education

17. House(s) of Congress and Federal agencies contacted  Check if None

U.S. Senate  
U.S. House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name		Covered Official Position (if applicable)
Ellen S.	Smith	Assistant VP and Director of Government Relations
Matthew	Bianco	Assistant Director of Government Relations
Nick	Moustakas	Sr. Government Relations Associate

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

0000081516

Printed Name and Title Ellen S. Smith, Asst. Vice President and Director of Government Relations

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Registrant Name The Trustees of Columbia University

Client Name The Trustees of Columbia University

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code EDU - Education (one per page)

16. Specific lobbying issues

HR 609 The College Access & Opportunity Act  
HR3010 Labor/HHS/Education Appropriations Act  
S. 1614 Higher Education Amendments of 2005  
H.R.4241 Deficit Reduction Act of 2005  
S.1932 Deficit Reduction Omnibus Reconciliation Act of 2005  
All provisions relating to higher education funding and policy

17. House(s) of Congress and Federal agencies contacted  Check if None

U.S. Senate  
U.S. House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ellen S. Smith	Assistant VP and Director of Government Relations
Matthew Bianco	Assistant Director of Government Relations
Nick Moustakas	Sr. Government Relations Associate

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

3000081517

Printed Name and Title Ellen S. Smith, Asst. Vice President and Director of Government Relations

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Registrant Name The Trustees of Columbia University

Client Name The Trustees of Columbia University

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code ENG - Energy/Nuclear (one per page)

16. Specific lobbying issues

HR2419 Energy & Water Appropriations Act  
HR6 Energy Policy Act of 2005  
  
All provisions relating to scientific research and education

17. House(s) of Congress and Federal agencies contacted  Check if None

U.S. Senate  
U.S. House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ellen S. Smith	Assistant VP and Director of Government Relations
Matthew Bianco	Assistant Director of Government Relations
Nick Moustakas	Sr. Government Relations Associate

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

3000081518

Printed Name and Title Ellen S. Smith, Asst. Vice President and Director of Government Relations

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Registrant Name The Trustees of Columbia University

Client Name The Trustees of Columbia University

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code HCR - Health Issues (one per page)

16. Specific lobbying issues

H.R. 810 Stem Cell Research Enhancement Act  
HR3010 Labor/HHS/Education Appropriations Act  
H.R.4241 Deficit Reduction Act of 2005  
S.1932 Deficit Reduction Omnibus Reconciliation Act of 2005  
All provisions relating to scientific and public health research and training funding and policy

17. House(s) of Congress and Federal agencies contacted  Check if None

U.S. Senate  
U.S. House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ellen S. Smith	Assistant VP and Director of Government Relations
Matthew Bianco	Assistant Director of Government Relations
Nick Moustakas	Sr. Government Relations Associate

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

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Printed Name and Title Ellen S. Smith, Asst. Vice President and Director of Government Relations

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Registrant Name The Trustees of Columbia University

Client Name The Trustees of Columbia University

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code HOM - Homeland Security (one per page)

16. Specific lobbying issues

HR2360 Homeland Security Appropriations Act  
All provisions relating to scientific research and education

17. House(s) of Congress and Federal agencies contacted  Check if None

U.S. Senate  
U.S. House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ellen S. Smith	Assistant VP and Director of Government Relations
Matthew Bianco	Assistant Director of Government Relations
Nick Moustakas	Sr. Government Relations Associate

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

3000081520

Printed Name and Title Ellen S. Smith, Asst. Vice President and Director of Government Relations

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Registrant Name The Trustees of Columbia University

Client Name The Trustees of Columbia University

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code MED - Medical/Disease Research/Clinical Labs (one per page)

16. Specific lobbying issues

H.R. 810 Stem Cell Research Enhancement Act  
S. 471 Stem Cell Research Enhancement Act  
HR3010 Labor/HHS/Education Appropriations Act  
H.R.4241 Deficit Reduction Act of 2005  
S.1932 Deficit Reduction Omnibus Reconciliation Act of 2005  
All provisions relating to scientific and public health research and training funding and policy

17. House(s) of Congress and Federal agencies contacted  Check if None

U.S. Senate  
U.S. House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ellen S. Smith	Assistant VP and Director of Government Relations
Matthew Bianco	Assistant Director of Government Relations
Nick Moustakas	Sr. Government Relations Associate

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

0000081521

Printed Name and Title Ellen S. Smith, Asst. Vice President and Director of Government Relations

LD-2DS (Rev. 4.06)

Registrant Name The Trustees of Columbia University

Client Name The Trustees of Columbia University

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code MMM - Medicare/Medicaid (one per page)

16. Specific lobbying issues

HR3010 Labor/HHS/Education Appropriations Act  
H.R.4241 Deficit Reduction Act of 2005  
S.1932 Deficit Reduction Omnibus Reconciliation Act of 2005  
  
All provisions relating to financing of hospitals, medical education and physicians

17. House(s) of Congress and Federal agencies contacted  Check if None

U.S. Senate  
U.S. House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ellen S. Smith	Assistant VP and Director of Government Relations
Matthew Bianco	Assistant Director of Government Relations
Nick Moustakas	Sr. Government Relations Associate

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

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Printed Name and Title Ellen S. Smith, Asst. Vice President and Director of Government Relations

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Registrant Name The Trustees of Columbia University

Client Name The Trustees of Columbia University

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code SCI - Science/Technology (one per page)

16. Specific lobbying issues

HR2862 Science/State/Justice/Commerce Appropriations Act  
HR2863 Defense Appropriations Act  
HR2419 Energy & Water Appropriations Act  
HR2360 Homeland Security Appropriations Act  
HR2361 Interior & Environment Appropriations Act  
HR3010 Labor/HHS/Education Appropriations Act

17. House(s) of Congress and Federal agencies contacted  Check if None

U.S. Senate  
U.S. House of Representatives  
Department of Energy  
Department of Health and Human Services

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ellen S. Smith	Assistant VP and Director of Government Relations
Matthew Bianco	Assistant Director of Government Relations
Nick Moustakas	Sr. Government Relations Associate

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

1000081523

Printed Name and Title Ellen S. Smith, Asst. Vice President and Director of Government Relations

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Registrant Name The Trustees of Columbia University

Client Name The Trustees of Columbia University

**ADDENDUM for General Lobbying Issue Area SCI**

16. Specific lobbying issues (continued from previous page)

HR6 Energy Policy Act of 2005

All provisions relating to scientific research funding and policy

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Registrant Name The Trustees of Columbia University

Client Name The Trustees of Columbia University

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code TAX - Taxation/Internal Revenue Code (one per page)

16. Specific lobbying issues

HR 609 The College Access & Opportunity Act  
H.R.4241 Deficit Reduction Act of 2005  
S.1932 Deficit Reduction Omnibus Reconciliation Act of 2005  
  
All provisions relating to tax issues of relevance to not-for-profit institutions and students

17. House(s) of Congress and Federal agencies contacted  Check if None

U.S. Senate  
U.S. House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ellen S. Smith	Assistant VP and Director of Government Relations
Matthew Bianco	Assistant Director of Government Relations
Nick Moustakas	Sr. Government Relations Associate

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

0000081525



Registrant Name The Trustees of Columbia University

Client Name The Trustees of Columbia University

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code TRA - Transportation (one per page)

16. Specific lobbying issues

HR3 Safe, Accountable, Flexible, Efficient Transportation Equity Act  
All provisions relating to urban transportation and transit issues

17. House(s) of Congress and Federal agencies contacted  Check if None

U.S. Senate  
U.S. House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ellen S. Smith	Assistant VP and Director of Government Relations
Matthew Bianco	Assistant Director of Government Relations
Nick Moustakas	Sr. Government Relations Associate

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

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