

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

ASSN FOR ADVANCED LIFE UNDERWRITING

2. Address:

2901 TELESTAR COURT 4th Floor, FALLS CHURCH, VA 22042

3. Principal place of business (if different from line 2):

4. Contact Name: MARC CADIN

Telephone: 7036418122

E-mail (optional): cadin@aalu.org

Senate ID #: 298034-12

House ID #: 37183000

7. Client Name: ☒ Self

TYPE OF REPORT

8. Year 2007 Midyear (January 1 - June 30): ☒ **OR** Year End (July 1 - December 31): ☐

9. Check if this filing amends a previously filed version of this report: ☐

10. Check if this is a Termination Report: ☐ => Termination Date: 11. No Lobbying Activity: ☐

INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000: ☐

\$10,000 or more: ☐ => Income (nearest \$20,000): _____

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than \$10,000: ☐

\$10,000 or more: ☒ => Expenses (nearest \$20,000): 849,841.00

14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

☐ **Method A.** Reporting amounts using LDA definitions only

☐ **Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code

☒ **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: INS (one per page)

16. Specific lobbying issues:

HR3200 National Insurance Act of 2007; HR150 to prevent the nondisclosure of employer-owned life insurance coverage of employees as an unfair trade practice under the Federal Trade Commission Act and for other purposes; IRS private letter rulings potentially concerning Section 264(f)

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

Internal Revenue Service (IRS)

SENATE

Treasury, Dept of

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BARRESI, JANA

Covered Official Position (if applicable): N/A

Name: BROWN, JUSTIN

Covered Official Position (if applicable): N/A

Name: CADIN, MARC R.

Covered Official Position (if applicable): N/A

Name: KORB, TOM

Covered Official Position (if applicable): N/A

Name: QUINN, CHRIS

Covered Official Position (if applicable): N/A

Name: RUSELOWSKI, ERIK

Covered Official Position (if applicable): N/A

Name: STERTZER, DAVID J.

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: RET (one per page)

16. Specific lobbying issues:

S1010 Retirement Security for Life Act of 2007; HR3361 to make technical corrections to the Pension Protection Act of 2006; S1725 Restoring Pension Promises to Workers Act

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

Internal Revenue Service (IRS)

SENATE

Treasury, Dept of

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BARRESI, JANA

Covered Official Position (if applicable): N/A

Name: BROWN, JUSTIN

Covered Official Position (if applicable): N/A

Name: CADIN, MARC R.

Covered Official Position (if applicable): N/A

Name: KORB, TOM

Covered Official Position (if applicable): N/A

Name: QUINN, CHRIS

Covered Official Position (if applicable): N/A

Name: RUSELOWSKI, ERIK

Covered Official Position (if applicable): N/A

Name: STERTZER, DAVID J.

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TAX (one per page)

16. Specific lobbying issues:

HR3170 regarding capital gains and estate tax relief; HR411 to repeal the estate tax; HR2380 to repeal the estate tax; HR1929 Save the Family Farm and Ranch Act of 2007; S1025 Fair Tax Act of 2007; HR46 Small Business Tax Fairness and Simplification Act of 2007; S1111 Fair Flat Tax Act of 2007; HR 1591 bill making emergency supplemental appropriations for the fiscal year ending September 30, 2007; S396 bill making emergency supplemental appropriations for the fiscal year ending September 30, 2007

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

Internal Revenue Service (IRS)

SENATE

Treasury, Dept of

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BARRESI, JANA

Covered Official Position (if applicable): N/A

Name: BROWN, JUSTIN

Covered Official Position (if applicable): N/A

Name: CADIN, MARC R.

Covered Official Position (if applicable): N/A

Name: KORB, TOM

Covered Official Position (if applicable): N/A

Name: QUINN, CHRIS

Covered Official Position (if applicable): N/A

Name: RUSELOWSKI, ERIK

Covered Official Position (if applicable): N/A

Name: STERTZER, DAVID J.

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Signature: ON FILE Date: Aug 13, 2007

Printed Name and Title: MARC CADIN, VICE PRESIDENT OF LEGISLATIVE AFFAIRS -

Information Update Page:

Complete ONLY where registration information has changed.

LOBBYIST UPDATE

23. Name of each previously reported individual who is NO LONGER expected to act as a lobbyist for the client

ISSUE UPDATE

24. General lobbying issues previously reported that NO LONGER pertain

AFFILIATED ORGANIZATIONS

25. Add the following organization(s)

26. Name of each previously reported organization that is NO LONGER affiliated with the registrant or client

FOREIGN ENTITIES

27. Add the following foreign entities

28. Name of each previously reported foreign entity the NO LONGER owns, OR controls, OR is affiliated with the registrant, client or affiliated organization

Signature: ON FILE Date: Aug 13, 2007

Printed Name and Title: -