

Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515	Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510
---	--

SECRETARY OF THE S

# LOBBYING REPORT

05 FEB 10 PM 12

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant name	
Organization	Thelen Reid & Priest LLP
2. Address <input type="checkbox"/> Check if different than previously reported	
Address1	701 Pennsylvania Ave. NW 8th Floor
City	Washington
State	DC
Zip Code	20004
Country	US
3. Principal place of business (if different than line 2)	
City	New York,
State	NY
Zip Code	10022
Country	
4a. Contact Name	b. Telephone number
Prefix Full Name	c. E-mail
Mr. Joshua Mecham	202-508-4000
5. Senate ID #	
	32998-16
7. Client Name <input type="checkbox"/> Self	6. House ID #
Investment Company Institute	3220005

**TYPE OF REPORT** 8. Year 2004 Midyear (January 1-June 30)  OR Year End (July 1-December)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  ⇨ Termination Date \_\_\_\_\_

11. No Lobbying Act

## INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms	13. Organizations
INCOME relating to lobbying activities for this reporting period was:	EXPENSES relating to lobbying activities for this reporting period were:
Less than \$10,000 <input type="checkbox"/>	Less than \$10,000 <input type="checkbox"/>
\$10,000 or more <input checked="" type="checkbox"/> ⇨ \$ <u>60,000</u>	\$10,000 or more <input type="checkbox"/> ⇨ \$ _____
Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	14. REPORTING METHOD. Check box to indicate exp accounting method. See instructions for description of opt
	<input type="checkbox"/> <b>Method A.</b> Reporting amounts using LDA definitions c
	<input type="checkbox"/> <b>Method B.</b> Reporting amounts under section 6033(b)(8) Internal Revenue Code
	<input type="checkbox"/> <b>Method C.</b> Reporting amounts under section 162(e) of Revenue Code

Form C

Printed Name and Title Richard Roberts, Partner



Registrant Name Thelen Reid & Priest LLP Client Name Investment Company Institute

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code FIN - Financial Institutions/Investments/Securities (one per page)

16. Specific lobbying issues *Add page to continue specific issues description for this issue* 

Financial Services legislation

17. House(s) of Congress and Federal agencies contacted  Check if None

US House of Representatives  
US Senate  
SEC

18. Name of each individual who acted as a lobbyist in this issue area *Add a page to continue adding lobbyists for*

First Name	Name Last Name	Suffix	Covered Official Position (if applicable)
Richard	Roberts		
Joshua	Mecham		

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

*Richard Roberts*

*Add a page for a diffe*

