

Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, DC 20515

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510

SECRETARY OF
02 MAR -6

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) -All Filers Are Required to Complete This Page

1. Registrant Name Principal Financial Group			
2. Registrant Address <input type="checkbox"/> Check if different than previously reported Address 1350 I Street, N.W. Suite 1030 City Washington State/Zip (or Country) DC 20005 3305			
3. Principal Place of Business (if different from line 2) City State/Zip (or Country)			
4. Contact Name Stuart Brahs	Telephone 202-682-1280	E-mail (optional) brahs.stuart@principal.com	5. Senate ID # 32178-12
7. Client Name <input checked="" type="checkbox"/> Self			6. House ID # 31588000

TYPE OF REPORT 8. Year 2001 Midyear (January 1-June 30) OR Year End (July 1-Dec

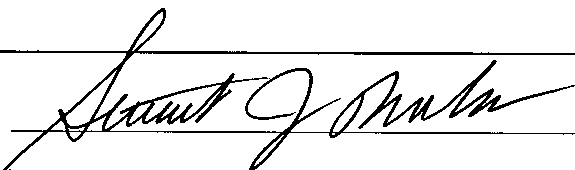
9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report >> Termination Date _____ 11. No Lobby

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms	13. Organizations
INCOME relating to lobbying activities for this reporting period was: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input type="checkbox"/> >> \$ _____ Income (nearest \$20,000) Provide a good faith estimate, rounded to the nearest \$20,000 of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	EXPENSES relating to lobbying activities for this rep period were: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input checked="" type="checkbox"/> >> \$ <u>\$1,550,000.00</u> Expenses (nearest \$20,000) 14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description of <input checked="" type="checkbox"/> Method A. Reporting amounts using LDA definit <input type="checkbox"/> Method B. Reporting amounts under section 6033 the Internal Revenue Code <input type="checkbox"/> Method C. Reporting amounts under section 162(c) Internal Revenue Code

Signature



Date 2/8/2002



Registrant Name: Principal Financial Group

Client Name: Principal Financial Group

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code BAN (one per page)

16. Specific Lobbying issues

- H.R. 1009, to repeal the prohibition on the payment of interest on demand deposits.,
- H.R. 1048, to facilitate transfers between interest-bearing accounts and transactions accounts at depository i for small businesses.,
- H.R. 1355, to merge the deposit insurance funds at the Federal Deposit Insurance Corporations.,
- H.R. 2032, to amend the Truth in Lending Act to protect consumers from certain unreasonable practices of which result in higher fees or rates of interest for credit card holders and for other purposes,
- H.R. 3125, to amend the Truth in Lending Act to impose a temporary cap on credit card interest rates and fo purposes.,
- H.R. 3126, to amend the Truth in Lending Act to impose a temporary cap on credit card interest rates and o purposes. ,
- H.R. 3387, to amend the Fair Credit Reporting Act to extend the limitation on actions and for other purpose

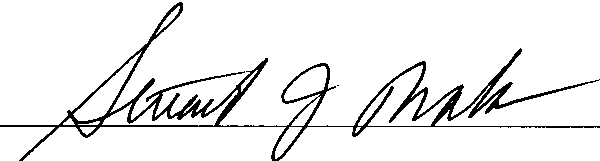
17. House(s) of Congress and Federal agencies contacted Check if None

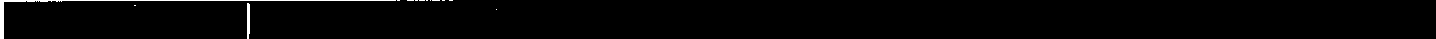
Department of Treasury
FDIC
House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Blumer, Patti	
Brahs, Stuart	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature  Date 2/8/2002



Registrant Name: Principal Financial Group

Client Name: Principal Financial Group

Item	Description	Data
16	Lobbying Issues	H.R. 3607, to amend the Truth in Lending Act to strengthen consumer protection and prevent predatory loan practices and for other purposes.,
16	Lobbying Issues	H.R. 4067, Repeal prohibition of bank business checking interest,
16	Lobbying Issues	H.R.746, Federal Deposit Insurance Corporation Adjustment Act,
16	Lobbying Issues	S.1242, Consumer Credit Score Disclosure Act of 2001.,
16	Lobbying Issues	S.229, Interest on Business Checking Act of 2001,
16	Lobbying Issues	S.403, A bill to improve the National Writing Project.,
16	Lobbying Issues	S.524, Small Business Interest Checking Act of 2001,



Registrant Name: Principal Financial Group

Client Name: Principal Financial Group

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code BNK (one per page)

16. Specific Lobbying issues
H.R.333, Bankruptcy Abuse Prevention and Consumer Protection Act of 2001,
H.R.420, Washington-Lincoln Recognition Act of 2001,

17. House(s) of Congress and Federal agencies contacted Check if None
House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Blumer, Patti	
Brahs, Stuart	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature  Date 2/8/2002



Registrant Name: Principal Financial Group

Client Name: Principal Financial Group

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code CIV (one per page)

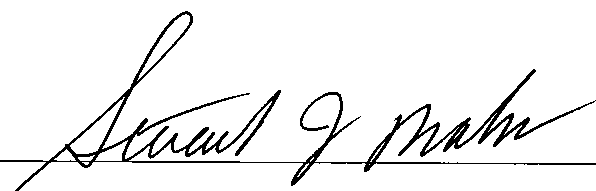
16. Specific Lobbying issues
H.R.583, Privacy Commission Act,
S.19, Protecting Civil Rights for all Americans Act,

17. House(s) of Congress and Federal agencies contacted Check if None
House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Blumer, Patti	
Brahs, Stuart	
Riddle, Lucia	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature  Date 2/8/2002



Registrant Name: Principal Financial Group

Client Name: Principal Financial Group

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code**, provide information as requested. Attach additional page(s) as needed.

15. General issue area code CPI (one per page)

16. Specific Lobbying issues

- H.R.1410, Internet Tax Moratorium and Equity Act,
- H.R.1481, FHA Shutdown Prevention Act,
- H.R.237, Consumer Internet Privacy Enhancement Act,
- H.R.2421, Jurisdictional Certainty Over Digital Commerce Act,
- S.288, Internet Tax Nondiscrimination Act,
- S.512, Internet Tax Moratorium and Equity Act,
- S.589, A bill to make permanent the moratorium on the imposition of taxes on the Internet.,
- S.630, CAN SPAM Act of 2001 Controlling the Assault of Non-Solicited Pornography and Marketing Act of
- S.761, Native American Telecommunications Improvement and Value Enhancement Act,
- S.777, Internet Tax Nondiscrimination Act,

17. House(s) of Congress and Federal agencies contacted

Check if None

House of Representatives
Senate


18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Riddle, Lucia	

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature



Date 2/8/2002



Registrant Name: Principal Financial Group

Client Name: Principal Financial Group

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code CSP (one per page)

16. Specific Lobbying issues

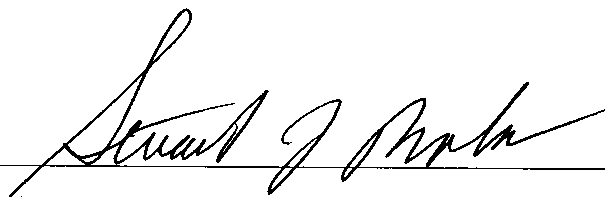
- H.R.1051, Predatory Lending Consumer Protection Act of 2001,
- H.R.1052, Consumer Credit Card Protection Amendments of 2001,
- H.R.1057, Truth in Savings Enhancement Act of 2001,
- H.R.1058, Unsolicited Loan Check Consumer Protection Act of 2001,
- H.R.1059, Consumer Affordable Transaction Account Act of 2001,
- H.R.1478, Personal Information Privacy Act of 2001,
- H.R.1742, 21st Century Teaching Scholarship Act,
- H.R.2036, Social Security Number Privacy and Identity Theft Prevention Act of 2001,
- H.R.2135, Consumer Privacy Protection Act,
- H.R.2136, Confidential Information Protection Act,
- H.R.220, Identity Theft Protection Act of 2001,

17. House(s) of Congress and Federal agencies contacted Check if None
House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Blumer, Patti	
Brahs, Stuart	
Riddle, Lucia	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature  Date 2/8/2002



Registrant Name: **Principal Financial Group**

Client Name: **Principal Financial Group**

Item	Description	Data
16	Lobbying Issues	H.R.3053, Identity Theft Prevention Act of 2001,
16	Lobbying Issues	H.R.3068, Financial Privacy and National Security Enhancement Act,
16	Lobbying Issues	H.R.4380, Administration's Financial Privacy Proposal,
16	Lobbying Issues	H.R.4585, Medical Privacy,
16	Lobbying Issues	H.R.583, Privacy Commission Act,
16	Lobbying Issues	H.RES.159, Expressing the sense of the House of Representatives that machine-readable privacy policies and the Platform for Privacy Preferences Project specification, commonly known as the P3P specification, are important tools in protecting the privacy of Internet,
16	Lobbying Issues	S. 2513, Administration's Financial Privacy Proposal,
16	Lobbying Issues	S.30, Financial Information Privacy Protection Act of 2001,
16	Lobbying Issues	S.324, Social Security Number Privacy Act of 2001,
16	Lobbying Issues	S.450, Financial Institution Privacy Protection Act of 2001,
16	Lobbying Issues	S.451, Social Security Number Protection Act of 2001,
16	Lobbying Issues	S.536, Freedom From Behavioral Profiling Act of 2000,
16	Lobbying Issues	S.851, Citizens' Privacy Commission Act of 2001,



Registrant Name: Principal Financial Group

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LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code**, provide information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific Lobbying issues

**H.R.1267, Investment Competitiveness Act,
H.R.2720, Consumer's Right to Financial Privacy Act,
H.R.2730, National Consumer Privacy Act,
S.1375, Public Good IRA Rollover Act,
S.1511, International Money Laundering Abatement and Anti-Terrorist Financing Act of 2001,**

17. House(s) of Congress and Federal agencies contacted

Check if None

**Department of Treasury
House of Representatives
Senate**

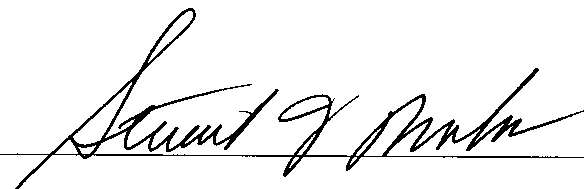
18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Blumer, Patti	
Brahs, Stuart	
Riddle, Lucia	

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature



Date 2/8/2002



Registrant Name: Principal Financial Group

Client Name: Principal Financial Group

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code**, provide information as requested. Attach additional page(s) as needed.

15. General issue area code GOV (one per page)

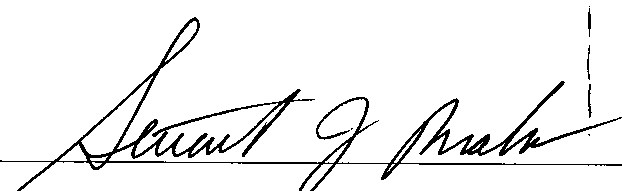
16. Specific Lobbying issues
H.R.1332, Business Method Patent Improvement Act of 2001,
H.R.1333, Patent Improvement Act of 2001,
S.17, Federal Elections Reform Act of 2001,
S.27, Bipartisan Campaign Reform Act of 2001,
S.93, Advancing Truth and Accountability in Campaign Communications Act of 2001,

17. House(s) of Congress and Federal agencies contacted Check if None
House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Blumer, Patti	
Brahs, Stuart	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature  Date 2/8/2002



Registrant Name: Principal Financial Group

Client Name: Principal Financial Group

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific Lobbying issues

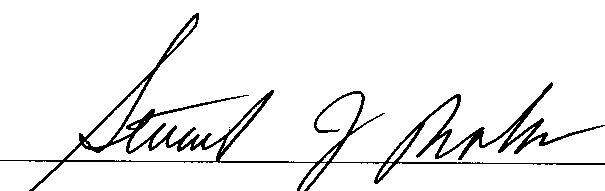
- H.R.1078, Broken Promises Retiree Health Coverage Act of 2001,
- H.R.1111, Equity in Prescription Insurance and Contraceptive Coverage Act of 2001,
- H.R.1181, Health Insurance Affordability and Equity Act of 2001,
- H.R.1194, Harold Hughes-Bill Emerson Substance Abuse Treatment Parity Act of 2001,
- H.R.1215, Medical Information Protection and Research Enhancement Act of 2001,
- H.R.1304, To amend the Internal Revenue Code of 1986 to allow a credit against income tax for recycling or remanufacturing equipment.,
- H.R.1312, To amend the Family and Medical Leave Act of 1993 to permit leave after the death of a spouse for and widowers with minor children.,
- H.R.1331, Fair Care for the Uninsured Act of 2001,
- H.R.16, National Health Insurance Act,

17. House(s) of Congress and Federal agencies contacted Check if None
Department of Health & Human Services
HCFA
House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Blumer, Patti	
Brahs, Stuart	
Riddle, Lucia	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature  Date 2/8/2002



Registrant Name: Principal Financial Group

Client Name: Principal Financial Group

Item	Description	Data
16	Lobbying Issues	H.R.162, Mental Health and Substance Abuse Parity Amendments of 2001,
16	Lobbying Issues	H.R.1663, Health Insurance Continuation Improvement Act of 2001,
16	Lobbying Issues	H.R.1774, Small Business Health Fairness Act of 2001,
16	Lobbying Issues	H.R.1809, Cancer Screening Coverage Act of 2001,
16	Lobbying Issues	H.R.195, To amend the vaccine injury compensation portion of the Public Health Service Act to permit a petition for compensation to be submitted within 48 months of the first symptoms of injury.,
16	Lobbying Issues	H.R.2005, COBRA Coverage Extension and Affordability Act of 2001,
16	Lobbying Issues	H.R.2315, Patients' Bill of Rights Act of 2001,
16	Lobbying Issues	H.R.2363, Mental Illness Consumer-Run Services Support Act,
16	Lobbying Issues	H.R.2367, Patient Care Dispute Resolution Act of 2001,
16	Lobbying Issues	H.R.2457, Wage Equity Act of 2001,
16	Lobbying Issues	H.R.2497, Managed Care Bill of Rights for Consumers Act of 2001,
16	Lobbying Issues	H.R.2563, Bipartisan Patient Protection Act,
16	Lobbying Issues	H.R.2627, Medi-Access Act of 2001 Working American Families Access to Health Care Act of 2001.,
16	Lobbying Issues	H.R.3563, To promote and facilitate expansion of coverage under group health plans, and for other purposes.,
16	Lobbying Issues	H.R.390, COLA Fairness Act of 2001,
16	Lobbying Issues	H.R.4585, Medical Privacy,
16	Lobbying Issues	H.R.526, Bipartisan Patient Protection Act of 2001,
16	Lobbying Issues	H.R.536, Breast Cancer Patient Protection Act of 2001,
16	Lobbying Issues	H.R.580, Comprehensive Insurance Coverage of Childhood Immunization Act of 2001,
16	Lobbying Issues	H.R.602, Genetic Nondiscrimination in Health Insurance and Employment Act,
16	Lobbying Issues	H.R.792, Treatment of Children's Deformities Act of 2001,
16	Lobbying Issues	H.R.967, Access to Cancer Clinical Trials Act of 2001,
16	Lobbying Issues	S.104, Equity in Prescription Insurance and Contraceptive Coverage Act of 2001,
16	Lobbying Issues	S.1052, Bipartisan Patient Protection Act,
16	Lobbying Issues	S.208, WISEWOMAN Expansion Act of 2001,
16	Lobbying Issues	S.24, Health Care Assurance Act of 2001,
16	Lobbying Issues	S.318, Genetic Nondiscrimination in Health Insurance and Employment Act,
16	Lobbying Issues	S.382, Genetic Information Nondiscrimination in Health Insurance Act of 2001,
16	Lobbying Issues	S.569, Health Care Access Improvement Act,
16	Lobbying Issues	S.575, Hospital Length of Stay Act of 2001,
16	Lobbying Issues	S.576, Reconstructive Surgery Act of 2001,
16	Lobbying Issues	S.577, Managed Care Integrity Act of 2001,
16	Lobbying Issues	S.595, Fairness in Treatment: The Drug and Alcohol Addiction Recovery Act of 2001,
16	Lobbying Issues	S.6, Patients' Bill of Rights Act,
16	Lobbying Issues	S.623, Medicare Early Access and Tax Credit Act of 2001,
16	Lobbying Issues	S.651, Health Care Consumers Assistance Fund Act,
16	Lobbying Issues	S.682, Blind Persons Earnings Equity Act of 2001,
16	Lobbying Issues	S.683, Fair Care for the Uninsured Act of 2001,
16	Lobbying Issues	S.782, Americans with Disabilities Notification Act,
16	Lobbying Issues	S.823, Access to Emergency Medical Services Act of 2001,
16	Lobbying Issues	S.836, A bill to amend part C of title XI of the Social Security Act to provide coordination of implementation of administrative simplification standards for health care information.,
16	Lobbying Issues	S.840, Law Enforcement Discipline, Accountability, and Due Process Act of 2001,
16	Lobbying Issues	S.868, Cancer Screening Coverage Act of 2001,
16	Lobbying Issues	S.872, Bipartisan Patient Protection Act,
16	Lobbying Issues	S.889, Bipartisan Patients' Bill of Rights Act of 2001,



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Client Name: Principal Financial Group

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code**, provide information as requested. Attach additional page(s) as needed.

15. General issue area code HOU (one per page)

16. Specific Lobbying issues

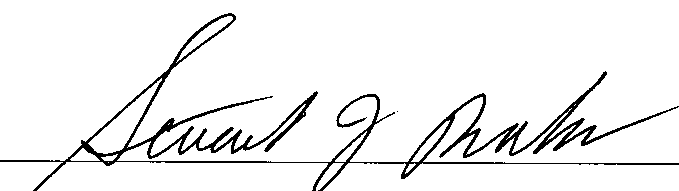
**H.R.1051, Predatory Lending Consumer Protection Act of 2001,
H.R.1052, Consumer Credit Card Protection Amendments of 2001,
H.R.1053, Equal Credit Enhancement and Neighborhood Protection Act of 2001,
H.R.1629, FHA Multifamily Housing Mortgage Loan Limit Adjustment Act of 2001,**

17. House(s) of Congress and Federal agencies contacted Check if None
**Department of Housing & Urban Development
House of Representatives
Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Blumer, Patti	
Brahs, Stuart	
Riddle, Lucia	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature  Date 2/8/2002



Registrant Name: Principal Financial Group

Client Name: Principal Financial Group

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code IMM (one per page)

16. Specific Lobbying issues
H.R.3222, High-tech Work Fairness and Economic Stimulus Act of 2001,

17. House(s) of Congress and Federal agencies contacted Check if None
House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Brahs, Stuart	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature  Date 2/8/2002



Registrant Name: Principal Financial Group

Client Name: Principal Financial Group

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code**, provide information as requested. Attach additional page(s) as needed.

15. General issue area code INS (one per page)

16. Specific Lobbying issues

H.R.1755, Reinsurance Tax Equity Act of 2001,

H.R.3210, Terrorism Risk Protection Act,

H.R.3357, Terrorism Risk Protection Act,

H.R.909, To amend the Internal Revenue Code of 1986 to permit the consolidation of life insurance companies.,

S.795, A bill to amend the Internal Revenue Code of 1986 to permit the consolidation of life insurance companies and other companies.,

S.992, A bill to amend the Internal Revenue Code of 1986 to repeal the provision taxing policy holder dividends of life insurance companies and to repeal the policyholders surplus account provisions.,

17. House(s) of Congress and Federal agencies contacted Check if None

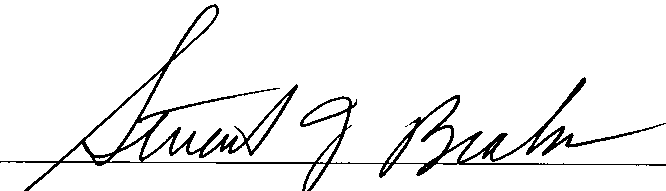
House of Representatives

Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Blumer, Patti	
Brahs, Stuart	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature  Date 2/8/2002



Registrant Name: Principal Financial Group

Client Name: Principal Financial Group

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code LBR (one per page)


16. Specific Lobbying issues
**H.R.1362, Fair Pay Act of 2001,
H.R.3012, To amend the Internal Revenue Code of 1986 to allow any employer maintaining a defined benefit not a governmental plan to treat employee contributions as pretax employer contributions if picked up by th
H.R.3040, COBRA Coverage Act of 2001,
H.R.665, Fair Minimum Wage Act of 2001,
S.489, Family and Medical Leave Clarification Act,
S.624, Workplace Flexibility Act,
S.684, Fair Pay Act of 2001,**

17. House(s) of Congress and Federal agencies contacted Check if None
**Department of Labor
House of Representatives
Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Brahs, Stuart	
Riddle, Lucia	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature  Date 2/8/2002



Registrant Name: Principal Financial Group

Client Name: Principal Financial Group

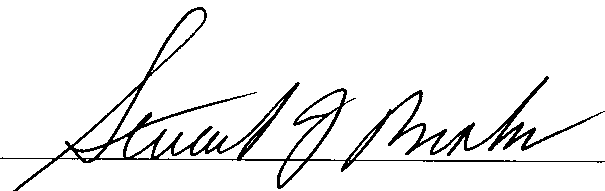
LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code MMM (one per page)
16. Specific Lobbying issues
H.R.121, Medicare Coverage of Replacement Calcitriol Act of 2001,
H.R.1255, Medicare Early Access and Tax Credit Act of 2001,
H.R.148, Medicare HMO Improvement Act of 2001,
H.R.18, Medicare Fraud Prevention and Enforcement Act of 2001,
H.R.344, Social Security Disability Waiting Period Elimination Act of 2001,
H.R.569, Immediate Access to Medicare for the Disabled Act of 2001,
S. 2758, a bill to amend title XVIII of Social Security Act to provide coverage of outpatient perscription dru
medicare program.,
S. 2836, a bill to amend title XVIII of SOcial Security Act to provide medicare beneficiaries with access to aff
outpatient perscription drugs.,
S.125, Prescription Drug Fairness for Seniors Act of 2001,
17. House(s) of Congress and Federal agencies contacted Check if None
Department of Housing & Urban Development
House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Blumer, Patti	
Brahs, Stuart	
Riddle, Lucia	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature  Date 2/8/2002



Registrant Name: **Principal Financial Group**

Client Name: **Principal Financial Group**

Item	Description	Data
16	Lobbying Issues	S.357, Medicare Preservation and Improvement Act of 2001,
16	Lobbying Issues	S.358, Medicare Prescription Drug and Modernization Act of 2001,



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15. General issue area code PHA (one per page)

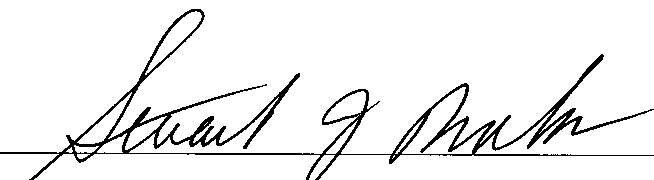
16. Specific Lobbying issues
H.R.1574, Pharmaceutical Products Price Equity Act,

17. House(s) of Congress and Federal agencies contacted Check if None
House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Brahs, Stuart	
Riddle, Lucia	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature  Date 2/8/2002



Registrant Name: Principal Financial Group

Client Name: Principal Financial Group

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code**, provide information as requested. Attach additional page(s) as needed.

15. General issue area code RES (one per page)

16. Specific Lobbying issues
H.R.1105, Homeowners' Escrow Payments Assurance Act,
H.R.2033, Home Ownership Tax Credit Act of 2001,
H.R.3206, Home Ownership Expansion and Opportunities Act of 2001,
S.607, Homeowners Rebate Act of 2001,

17. House(s) of Congress and Federal agencies contacted Check if None
House of Representatives
HUD
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Blumer, Patti	
Brahs, Stuart	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature  Date 2/8/2002



Registrant Name: Principal Financial Group

Client Name: Principal Financial Group

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code RET (one per page)

16. Specific Lobbying issues

H.R.1, no child left behind act of 2001,

H.R.1104, To amend title I of the Employee Retirement Income Security Act of 1974 to provide, in the case of employee welfare benefit plan providing benefits in the event of disability, an exemption from preemption or for State tort actions to reco,

H.R.1368, To amend the Internal Revenue Code of 1986 to remove the requirement of a mandatory beginning distributions from individual retirement plans.,

H.R.14, Bipartisan Commission on Social Security Reform Act of 2001,

H.R.1603, To amend the Internal Revenue Code of 1986 to grant relief to participants in multiemployer plan certain section 415 limits on retirement plans.,

H.R.166, Social Security Strengthening and Protection Act of 2001,

H.R.1774, Small Business Health Fairness Act of 2001,

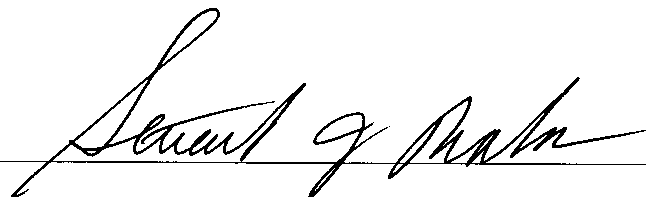
17. House(s) of Congress and Federal agencies contacted Check if None

**Department of Labor
Department of Treasury
House of Representatives
PBGC
Senate
Social Security Administration**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Blumer, Patti	
Brahs, Stuart	
Fredrickson, Debi	
Riddle, Lucia	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature  Date 2/8/2002



Registrant Name: Principal Financial Group

Client Name: Principal Financial Group

Item	Description	Data
16	Lobbying Issues	H.R.210, Social Security Decedent's Family Relief Act of 2001,
16	Lobbying Issues	H.R.219, Social Security Preservation Act of 2001,
16	Lobbying Issues	H.R.2269, Retirement Security Advice Act of 2001,
16	Lobbying Issues	H.R.2638, Social Security Fairness Act of 2001,
16	Lobbying Issues	H.R.3081, To amend the Internal Revenue Code of 1986 to provide a credit a income tax for expenditures for the maintenance of railroad tracks of Class I Class III railroads.,
16	Lobbying Issues	H.R.3156, To permit taxpayers to treat contributions made to retirement pla before 2002 as contributions made to such plans during 2002.,
16	Lobbying Issues	H.R.3365, To amend the Internal Revenue Code of 1986 to allow withdrawal from individual retirement plans without penalty for certain individuals significantly affected by the September 11, 2001, terrorist attacks.,
16	Lobbying Issues	H.R.3509, To amend title I of the Employee Retirement Income Security Act 1974 to provide additional fiduciary protections for participants and benefici under employee stock ownership plans with respect to lockdowns placed on r assets.,
16	Lobbying Issues	H.R.4546, permit persons over 50 to make IRA catch-up payments.,
16	Lobbying Issues	H.R.465, To amend the Internal Revenue Code of 1986 to allow rollover contributions to individual retirement plans from deferred compensation pla maintained by States and local governments and to allow State and local governments to maintain 401(k) plans.,
16	Lobbying Issues	H.R.4747, ERISA reform,
16	Lobbying Issues	H.R.4748, ERISA reform,
16	Lobbying Issues	H.R.4749, ERISA reform,
16	Lobbying Issues	H.R.4837, Social Security privacy protection,
16	Lobbying Issues	H.R.4843, includes provisions of H.R.1102,
16	Lobbying Issues	H.R.739, SSI Modernization Act of 2001,
16	Lobbying Issues	H.R.849, Savings Account for Every American Act of 2001,
16	Lobbying Issues	S.1025, Savings for Working Families Act of 2001,
16	Lobbying Issues	S.1523, Social Security Fairness Act of 2001,
16	Lobbying Issues	S.1582, A bill to amend the Internal Revenue Code of 1986 to delay for 1 yea mandatory beginning date for distributions from individual retirement plans to accelerate the effective date for modifications of the AGI limit for conversi Roth IRAs.,
16	Lobbying Issues	S.1677, Independent Investment Advice Act of 2001,
16	Lobbying Issues	S.1872, A bill to amend the Employee Retirement Income Security Act of 197 require the Pension Benefit Guaranty Corporation to notify plan participant beneficiaries of the commencement of proceedings to terminate such plan.,
16	Lobbying Issues	S.2853, Pre-retirement pension distributions.,
16	Lobbying Issues	S.693, Social Security Beneficiaries Protection Act,
16	Lobbying Issues	S.806, Full Social Security Benefits Guarantee Act,
16	Lobbying Issues	S.858, Small Business Health Fairness Act of 2001,



Registrant Name: Principal Financial Group

Client Name: Principal Financial Group

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific Lobbying issues

- H.R.1552, Internet Tax Nondiscrimination Act,
- H.R.165, To amend the Internal Revenue Code of 1986 to adjust the exclusion amount on the gain from the principal residence for inflation.,
- H.R.1908, To amend the Internal Revenue Code of 1986 to clarify the exemption from tax for small property insurance companies.,
- H.R.198, Prohibition on United Nations Taxation Act of 2001,
- H.R.2082, Small Business Health Insurance Expansion Act of 2001,
- H.R.2696, Auto Safety Assurance Act of 2001,
- H.R.3, Economic Growth and Tax Relief Act of 2001,
- H.R.3000, Low-to-Moderate Income Home Ownership Tax Credit Act,
- H.R.3090, Economic Security and Recovery Act of 2001,

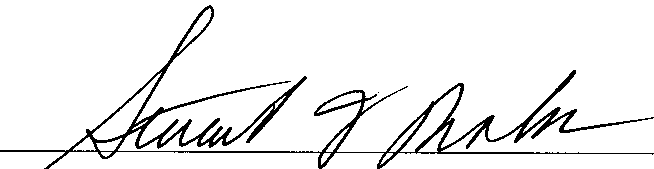
17. House(s) of Congress and Federal agencies contacted Check if None

Department of Treasury
House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Blumer, Patti	
Brahs, Stuart	
Fredrickson, Debi	
Riddle, Lucia	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature  Date 2/8/2002



Registrant Name: Principal Financial Group

Client Name: Principal Financial Group

Item	Description	Data
16	Lobbying Issues	H.R.776, To amend the Internal Revenue Code of 1986 to exempt the deducti charitable contributions from the phaseout of itemized deductions.,
16	Lobbying Issues	H.R.831, Long-Term Care and Retirement Security Act of 2001,
16	Lobbying Issues	S.1282, Mortgage Cancellation Relief Act of 2001,
16	Lobbying Issues	S.1396, A bill to amend the Internal Revenue Code of 1986 to allow a credit against income tax for the purchase of a principal residence by a first-time homebuyer.,
16	Lobbying Issues	S.1504, Internet Tax Moratorium Extension Act,
16	Lobbying Issues	S.1838, A bill to amend the Employee Retirement Income Security Act of 197 the Internal Revenue Code of 1986 to ensure that individual account plans pi workers by limiting the amount of employer stock each worker may hold and encouraging diversification,
16	Lobbying Issues	S.288, Internet Tax Nondiscrimination Act,
16	Lobbying Issues	S.590, Relief, Equity, Access, and Coverage for Health (REACH) Act,
16	Lobbying Issues	S.777, Internet Tax Nondiscrimination Act,
16	Lobbying Issues	S.835, Detroit River International Wildlife Refuge Establishment Act,
16	Lobbying Issues	S.896, Restoring Earnings to Lift Individuals and Empower Families (RELIF Act of 2001,



Registrant Name: Principal Financial Group

Client Name: Principal Financial Group

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TEC (one per page)

16. Specific Lobbying issues
S.2448, a bill enhance the protections of the internet and the critical infrastructure of the United States and f purposes.,

17. House(s) of Congress and Federal agencies contacted Check if None
House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Brahs, Stuart	
Riddle, Lucia	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature  Date 2/8/2002



Registrant Name: Principal Financial Group

Client Name: Principal Financial Group

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TRD (one per page)

16. Specific Lobbying issues

- H.J.RES.50, Disapproving the extension of the waiver authority contained in section 402(c) of the Trade Act respect to the People's Republic of China,
- H.R.1104, To amend title I of the Employee Retirement Income Security Act of 1974 to provide, in the case of an employee welfare benefit plan providing benefits in the event of disability, an exemption from preemption for State tort actions to recover,
- H.R.1446, Standard Trade Negotiating Authority Act of 2001,
- H.R.1467, To withdraw nondiscriminatory treatment (normal trade relations treatment) from the People's Republic of China,
- H.R.1636, To amend the Internal Revenue Code of 1986 to allow allocation of small ethanol producer credit cooperative, and for other purposes,
- H.R.1988, Trade Law Reform Act of 2001,

17. House(s) of Congress and Federal agencies contacted

Check if None

Department of Commerce
Department of Treasury
House of Representatives
Senate
USTR

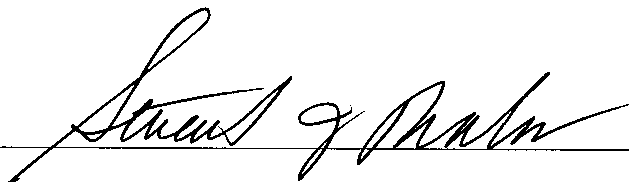
18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Brahs, Stuart	

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature



Date 2/8/2002



Registrant Name: Principal Financial Group

Client Name: Principal Financial Group

Item	Description	Data
16	Lobbying Issues	H.R.2149, Trade Promotion Authority Act of 2001,
16	Lobbying Issues	H.R.2277, To provide for work authorization for nonimmigrant spouses of t traders and treaty investors.,
16	Lobbying Issues	H.R.3005, Bipartisan Trade Promotion Authority Act of 2001,
16	Lobbying Issues	H.R.3010, To amend the Trade Act of 1974 to extend the Generalized System Preferences until December 31, 2002.,
16	Lobbying Issues	H.R.3408, Comprehensive Holocaust Accountability in Insurance Measure,
16	Lobbying Issues	H.R.4444, China PNTR, Foreign Trade,
16	Lobbying Issues	S.136, Fast Track Trade Negotiating Authority Act,
16	Lobbying Issues	S.137, Americas Free Trade Act,
16	Lobbying Issues	S.138, Chile-NAFTA Accession Act,
16	Lobbying Issues	S.140, United Kingdom-NAFTA Accession Act,
16	Lobbying Issues	S.525, Andean Trade Preference Expansion Act,
16	Lobbying Issues	S.586, Chile Fast Track Act of 2001,
16	Lobbying Issues	S.599, Permanent Trade Promotion Authority and Market Access Act of 200
16	Lobbying Issues	S.935, United States-Commonwealth of Australia Free Trade Agreement Act 2001,
16	Lobbying Issues	S.943, United States-New Zealand Free Trade Agreement Act of 2001,
16	Lobbying Issues	S.944, United States-Republic of Korea Free Trade Agreement Act of 2001,

