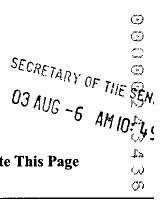
Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515 Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510



LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) -All Filers Are Required to Complete This Page

| | | | Ç)) |
|-------------------------------------------------------------------------|----------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------|
| Registrant Name Washington Council Ernst & You | ınσ | | |
| 2. Registrant Address ☐ Check if different Address 1150 17th Street, NW | nt than previously reported State/Zip (or Country) | Suite 601 DC 20036 USA | |
| 3. Principal Place of Business (if different from | · | | |
| City | State/Zip (or Country) | | |
| | ± | ail (optional) k.giordano@wc.ey.com | 5. Senate ID # 57475-657 |
| 7. Client Name Self Charles Schwab & Company | | | 6. House ID # 35185036 |
| 10. Check if this is a Termination Reportation INCOME OR EXPENSES | | | 11. No Lobby |
| 12. Lobbying Firm | <u>-</u> | 13. Organiz | ations |
| INCOME relating to lobbying activities period was: | | EXPENSES relating to lobbying act period were: | |
| \$10,000 of more \(\begin{align*} | from the client at by any other entity | Less than \$10,000 | for description of sing LDA definition definition for the section 6033 |
| | | ☐ Method C. Reporting amounts u Internal Revenue Co | |

| Signature | Their stratung | Date | 8/1/2003 |
|------------------------|--------------------------|------|----------|
| • | Nick Claudens - Dantensu | | |
| Printed Name and Title | Nick Giordano - Partner | | Pag |

| Reg | istrant Name: | Washington Council Ernst & Young | 9 |
|------------|-----------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------|
| Clie | nt Name: | Charles Schwab & Company | ග |
| enga | iged in lobbyin | - | |
| 15. 16. | general finan investment ac market struc privacy stock option o | ying issues cial services industry issues dvice ture, market data expensing proxy vote disclosure ance reform | 12 12 12 12 12 13 14 15 15 15 15 15 15 15 |
| 17. | Department of | fice of the President | □ Check if None |
| 18. | Name of each | individual who acted as a lobbyist in this i | ssue area |
| | Name | | Covered Official Position (if applicable) |
| | Bazel, Laurei | n | |
| | Doney, John | | |
| | Garrett-Nelso | on, LaBrenda | |
| | Gasper, Gary | 7 | |
| | Gates, Bruce | | |
| | Giles, Thoma | s | Health Counsel, Energy and Commerce Committee |
| | Giordano, Ni | ck | |
| 19. | Interest of eac | h foreign entity in the specific issues listed | on line 16 above |

| Signature | | Date | 8/1/2003 |
|------------------------|-------------------------|------|----------|
| Printed Name and Title | Nick Giordano - Partner | | Pag |

| Regist | rant Name: | Washington Counc | il Ernst & Young | 9 |
|--------------|------------|--------------------------|----------------------------------------------------|-----------------|
| Client Name: | | Charles Schwab & Company | | () () |
| | | | - | |
| Item | Descript | ion | Data | <u>ू</u> स्र |
| 18a | Lobbyis | t Name | Grab, Francis | .22. |
| 18b | Covered | Official Position | Tax & Trade Counsel, Office of Rep. Robert Matsui | w. |
| 18c | New Lol | bbyist | No | |
| | | | | سيم ارير) |
| 18a | Lobbyis | | Koch, Cathy | ÇQ V-V |
| 18b | | Official Position | • | چەرى.» |
| 18c | New Lol | bbyist | No | |
| 18a | Lobbyis | | Koshgarian, Dave | |
| 18b | | Official Position | Chief of Staff, Office of Congressman Ben Cardin | |
| 18c | New Lol | bbyist | No | |
| 18a | Lobbyis | t Name | McGuinness, Marty | |
| 18b | | Official Position | · | |
| 18c | New Lol | bbyist | No | |
| 18a | Lobbyis | t Name | Meltzer, Richard | |
| 18b | | Official Position | · | |
| 18c | New Lol | bbyist | No | |
| 18a | Lobbyis | t Name | Moseley, Phil | |
| 18b | | Official Position | • * | |
| 18c | New Lol | bbyist | No | |
| 18a | Lobbyis | t Name | Phelps, Anne | |
| 18b | Covered | Official Position | Special Asst. to the President for Domestic Policy | |
| 18c | New Lot | obyist | Yes | |
| 18a | Lobbyis | t Name | Porter, John | |
| 18b | | Official Position | · | |
| 18c | New Lol | obyist | No | |
| 18a | Lobbyis | t Name | Relland, Susan | |
| 18b | | Official Position | | |
| 18c | New Lot | obyist | No | |
| 18a | Lobbyis | t Name | Rozen, Bob | |
| 18b | Covered | Official Position | | |
| 18c | New Lot | obyist | No | |
| 18a | Lobbyis | t Name | Steele Flynn, Donna | |
| 18b | Covered | Official Position | | |
| 18c | New Lot | obyist | No | |
| 18a | Lobbyis | | Urban, Tim | |
| 18b | | Official Position | | |
| 18c | New Lot | obyist | No | |
| | | | | |
| | | | | |
| | | | | |

| т | | |
|---|---|---|
| μ | а | o |
| | | |

| Reg | istrant Name: | Washington Council Ernst & Young | <u>ූ</u> |
|------|-----------------------------------------------|------------------------------------------------|------------------------------------------------------------------------------------------------------------------------|
| Clie | nt Name: | Charles Schwab & Company | <u> </u> |
| eng | aged in lobbyin | • | y to reflect the general issue areas in which the registrant; ing period. Using a separate page for each code, provide |
| 15. | General issue | area code TAX (one per page) | (A) .fs |
| 16. | taxation of in issues alternative mestate tax | iternet commerce, general corporate an | ાં d international tax issues, and general financial services |
| 17. | Department | fice of the President | ☐ Check if None |
| 18. | Name of each | individual who acted as a lobbyist in this | issue area |
| | Name | | Covered Official Position (if applicable) |
| | Bazel, Laure | n | |
| | Doney, John | | |
| | Garrett-Nels | on, LaBrenda | |
| ···· | Gasper, Gary | y | |
| | Gates, Bruce | | |
| | Giles, Thoma | ns . | Health Counsel, Energy and Commerce Committee |
| | Giordano, Ni | ck | |
| 19. | Interest of eac | th foreign entity in the specific issues liste | d on line 16 above 🛛 Check if None |

| Signature | | Date | 8/1/2003 | |
|------------------------|-------------------------|------|----------|-------|
| Printed Name and Title | Nick Giordano - Partner | | | _ Pag |

| 18a 18b 18c 18a 18b 18c 18a 18b | Description Lobbyist Name Covered Official Position New Lobbyist Lobbyist Name Covered Official Position New Lobbyist Lobbyist Name Covered Official Position New Lobbyist Lobbyist Name Covered Official Position New Lobbyist | Data Grab, Francis Tax & Trade Counsel, Office of Rep. Robert Matsui No Koch, Cathy No Koshgarian, Dave Chief of Staff, Office of Congressman Ben Cardin | 0 0 4 0 1 1 |
|------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------|
| 8a 8b 8c 8a 8b 8c 8a 8b 8c | Lobbyist Name Covered Official Position New Lobbyist Lobbyist Name Covered Official Position New Lobbyist Lobbyist Name Covered Official Position New Lobbyist | Grab, Francis Tax & Trade Counsel, Office of Rep. Robert Matsui No Koch, Cathy No Koshgarian, Dave |)- () () () () |
| 8b 8c 8a 8b 8c 8a 8b 8c | Covered Official Position New Lobbyist Lobbyist Name Covered Official Position New Lobbyist Lobbyist Name Covered Official Position New Lobbyist | Tax & Trade Counsel, Office of Rep. Robert Matsui No Koch, Cathy No Koshgarian, Dave | 1. 0. 1. 1. |
| 8b 8c 8a 8b 8c 8a 8b 8c | Covered Official Position New Lobbyist Lobbyist Name Covered Official Position New Lobbyist Lobbyist Name Covered Official Position New Lobbyist | Tax & Trade Counsel, Office of Rep. Robert Matsui No Koch, Cathy No Koshgarian, Dave | (_ .f. |
| 8a 8b 8c 8a 8b 8c 8a 8b | Lobbyist Name Covered Official Position New Lobbyist Lobbyist Name Covered Official Position New Lobbyist | No Koch, Cathy No Koshgarian, Dave | .1. 1. |
| 8b | Covered Official Position New Lobbyist Lobbyist Name Covered Official Position New Lobbyist | No Koshgarian, Dave | Ţ |
| 8b | Covered Official Position New Lobbyist Lobbyist Name Covered Official Position New Lobbyist | No Koshgarian, Dave | |
| 8c 8a 8b 8c 8a 8b | New Lobbyist Lobbyist Name Covered Official Position New Lobbyist | Koshgarian, Dave | '., |
| 18a 18b 18c | Lobbyist Name Covered Official Position New Lobbyist | Koshgarian, Dave | |
| 18b 18c 18a 18b | Covered Official Position New Lobbyist | | |
| 18b 18c 18a 18b | Covered Official Position New Lobbyist | | |
| 18c 18a 18b | New Lobbyist | | |
| 18b | | No | |
| 18b | | | |
| | Lobbyist Name | McGuinness, Marty | |
| 100 | Covered Official Position | Nia | |
| | New Lobbyist | No | |
| 182 | Lobbyist Name | Meltzer, Richard | |
| | Covered Official Position | | |
| 18c | New Lobbyist | No | |
| 18a | Lobbyist Name | Moseley, Phil | |
| 18b 4 | Covered Official Position | | |
| 18c | New Lobbyist | No | |
| 18a | Lobbyist Name | Phelps, Anne | |
| | Covered Official Position | Special Asst. to the President for Domestic Policy | |
| 18c i | New Lobbyist | Yes | |
| | Lobbyist Name | Porter, John | |
| | Covered Official Position | | |
| 18c 1 | New Lobbyist | No | |
| | Lobbyist Name | Relland, Susan | |
| | Covered Official Position | N 1 | |
| 18c | New Lobbyist | No | |
| 18a | Lobbyist Name | Rozen, Bob | |
| | Covered Official Position | 13241, 200 | |
| | New Lobbyist | No | |
| 18a | Lobbyist Name | Steele Flynn, Donna | |
| | Covered Official Position | 5 | |
| | New Lobbyist | No | |
| 18a | Lobbyist Name | Urban, Tim | |
| | Covered Official Position | , | |
| 4 | New Lobbyist | No | |

| Е | ۸(| ^ |
|---|----|---|
| 1 | а | ۲ |