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## LOBBYING REGISTRATION

Lobbying Disclosure Act of 1995 (Section 4)

Check if this is an Amended Registration  1. Effective Date of Registration 3/1/01  
 2. House Identification Number \_\_\_\_\_ Senate Identification Number \_\_\_\_\_

### REGISTRANT

3. Registrant Name **R. Duffy Wall & Associates, Inc.**  
 Address **601 13th Street, N.W. Suite 410 South**  
 City **Washington** State **DC** Zip **20005**  
 4. Principal place of business (if different from line 3)  
 City \_\_\_\_\_ State/Zip (or Country) \_\_\_\_\_  
 5. Telephone number and contact name Contact E-Mail (optional)  
**(202) 737-0300 Rod Chandler chandler@rdwa.com**  
 6. General description of registrant's business or activities  
**Government Relations Consulting Firm**

**CLIENT** *A lobbying firm is required to file a separate registration for each client. Organizations employing in-house lobbyists should check the box labeled "Self" and proceed to item 10.  Self*

7. Client Name **mPower**  
 Address **1355 Sansome Street**  
 City **San Francisco** State **CA** Zip **94111**  
 8. Principal place of business (if different from line 7)  
 City \_\_\_\_\_ State/Zip (or Country) \_\_\_\_\_  
 9. General description of client's business or activities \_\_\_\_\_

### LOBBYISTS

10. Name of each individual who has acted or is expected to act as a lobbyist for the client identified on line 7. If any person listed in this section has served as a "covered executive branch official" or "covered legislative branch official" within two years of first acting as a lobbyist for this client, state the executive and/or legislative position(s) in which the person served.

| Name          | Covered Official Position (if applicable) |
|---------------|---|
| Rod Chandler  |   |
| Kelli Emerick |   |
|               |   |
|               |   |

Registrant Name: **R. Duffy Wall & Associates, Inc.**

Client Name: **mPower**

**LOBBYING ISSUES**

11. General lobbying issue areas. Select all applicable codes listed in instructions and on the reverse side of Form LD-1, page 1.

**RET**

12. Specific lobbying issues (current and anticipated)

**mPower opposes a bill that would amend ERISA and permit 301K plan managers to provide investment advice to 401K plan participants**

**AFFILIATED ORGANIZATIONS**

13. Is there an entity other than the client that contributes more than \$10,000 to the lobbying activities of the registrant in a semiannual period and in whole or major part plans, supervises, or controls the registrant's lobbying activities?

- No. Go to line 14.  Yes. Complete the rest of this section for each entity matching the criteria above, then proceed to line 14.

| Name | Address | Principal Place of Business (city and state or country) |
|------|---------|---|
|      |         |   |

**FOREIGN ENTITIES**

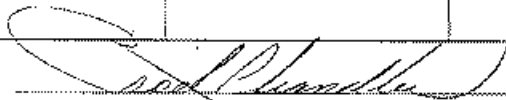
14. Is there any foreign entity that:

- a) holds at least 20% equitable ownership in the client or any organization identified on line 13; or
- b) directly or indirectly, in whole or in major part, plans, supervises, controls, directs, finances, or subsidizes activities of the client or any organization identified on line 13; or
- c) is an affiliate of the client or any organization identified on line 13 and has a direct interest in the outcome of the lobbying activity?

- No. Sign and date the registration.  Yes. Complete the rest of this section for each entity matching the criteria above, then sign and date the registration.

| Name | Address | Principal Place of Business (city and state or country) | Amount of contribution for lobbying activities | Ownership percentage in client |
|------|---------|---|--|--------------------------------|
|      |         |   |  |                                |

Signature

  
**Rod Chandler - President**

Date **3/9/2001**

Printed Name and Title

Form LD-1 (Rev. 06/98)

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