Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, DC 20515

Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510



LOBBYING REPORT

Lobbying Discl	osure Act of 1995 (Section 5) - All Filers ar	e Required to Com	brete 7 us Fit	agez III J
1. Registrant Name				<u></u>
Capitol Assoc	iates, Inc.	*************************************	oa 1001 1001401 540840VIVOOPO14	************************
2. Address \Box	: Check if different than previously reported			
426 C Street, 1	NE, Washington, DC 20002	***************************************	<u>-</u>	444.14214A66414A644444
3. Principal Place	of Business (if different from line 2)			
City:	State/Zip (or C	Country)	*********************	
4. Contact Name	Telephone	E-mail (optional))	5. Senate ID ;
Debra M. Ha	rdy Havens (202) 544-1880	dh@capitolassoc	iates.com	8101-467
7. Client Name Northwestern Mem	Self			6. House ID # 3081 3027
	uu	January 1-June 30) 🗆	OR Vear F	I End (July 1-Dece
	•	- -	OK TON	and (stary 1-12ccc
	filing amends a previously filed version of this rep	•		
10. Check if this	is a Termination Report $\square \Rightarrow$ Termination D	ate	11. No Lob	bying Activity
INCOME	OR EXPENSES - Complete Either Lin	e 12 OR Line 13		
	12. Lobbying Firms	, P	13. Organiz	ations
INCOME relati	ng to lobbying activities for this reporting	EXPENSES relating period were:	to lobbying ac	tivities for this re
Less than \$10,00)o 🗆	Less than \$10,000]	
\$10,000 or more		\$10,000 or more	⇒ \$_ Expenses	(nearest \$20,000
of all lobbying r	Taith estimate, rounded to the nearest \$20,000, elated income from the client (including all registrant by any other entity for lobbying	14. REPORTING M accounting method.		4
	alf of the client).	Method A. Repo	orting amounts	using LDA defini
		Method B. Repo	orting amounts iternal Revenue	
		☐ Method C. Rep	orting amounts	
Signature	no In Heady Haves			
Printed Name and	Title Debra M. Hardy Havens, CEO			

Registrant Name Capitol Associates, Inc.	Client Name Northwestern Memorial Hospital
Togishum 1 tuning	
LOBBYING ACTIVITY. Select as many codes as engaged in lobbying on behalf of the client during the information as requested. Attach additional page(s) a	necessary to reflect the general issue areas in which t reporting period. Using a separate page for each cost needed.
15. General issue area code <u>BUD</u> (one per	page)
16. Specific lobbying issues	
H.R.3061, S.1536 - Departments of Labor, Health a Appropriations Act, 2002. Title II - funding for the	nd Human Services and Education and Related Agenc National Institutes of Health.
HJ Res 65 - Continuing Appropriations for FY2002	
HJ Res 68 - Continuing Appropriations for FY2002	
HJ Res 69- Continuing Appropriations for FY2002	
HJ Res 70 - Continuing Appropriations for FY2002	
HJ Res 74 - Continuing Appropriations for FY2002	
HJ Res 76 - Continuing Appropriations for FY2002	
HJ Res 78 - Continuing Appropriations for FY2002	•
HJ Res 79 - Continuing Appropriations for FY2002	
H.R.2216 - Supplemental Appropriations Act, 2001	
H.R.2888 - 2001 Emergency Supplemental Appropon the United States.	riations Act for Recovery from and Response to Terro
17. House(s) of Congress and Federal agencies con	tacted Check if None
House Senate	
DHHS	병 : •
18. Name of each individual who acted as a lobbyi	
Name	Covered Official Position (if applicable)
Edward Long	F
Ronnie Tepp	
19. Interest of each foreign entity in the specific is	sues listed on line 16 above Check if None
Signature	1

Filing #8f990e76-2582-46f2-a5ab-f289d5e12427 - Page 3 of 12

Printed Name and Title Debra M. Hardy Havens, CEO Form LD-2 (Rev. 06/98)

PAGE 2 of ____

Registrant Name Capitol Associates, Inc.	Client Name_	Northwestern Memori	al Hospital
LOBBYING ACTIVITY. Select as many engaged in lobbying on behalf of the client provide information as requested. Attach a	during the reporting p	eriod. Using a separa	
15. General issue area code MED	_ (one per page)		
<u> </u>		14	
16. Specific lobbying issues		•	
H.Con.Res.17, Expressing the sense of the	Congress supporting	Federal funding of plu	ripotent stem cell re
H.R.1260 - Ban on Human Cloning Act		2 1	•
H.R.1352 - Human Cloning Research Proh	ibition Act	•	
S.732, H.R.2059, Stem Cell Research Act			
H.R2096, Responsible Stem Cell Research	h Act of 2001		
S.790, H.R.1644, Human Cloning Prohibit	ion Act of 2001		
H.R.2172, Cloning Prohibition Act of 2001			
H.R.2505 - Human Cloning Prohibition Ac	t		
H.R.2608 - Cloning Prohibition Act			
H.R.2747 - Stem Cell Research for Patient	Benefit Act of 2001	4.	
H.R.3495 - Human Cloning Prevention Ac	t of 2001		
S.704 - Human Cloning Prohibition Act			
S.1349 - Responsible Stem Cell Research A	ct of 2001		,
S.1758 - Human Cloning Prohibition Act o			
11		() ()	
Tracked and reported on Congressional hea activities regarding stem cell research	<u>-</u>	÷ .	
17. House(s) of Congress and Federal agen	cies contacted	☐ Check if N	one
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House		•	
Senate		;	
DHHS			
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18. Name of each individual who acted as	i ioooyist iii uiis issue	alea	
Name		Covered Official Posi	tion (if applicable)
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Ronnie Tepp	,,,,,,	, , ,	***************************************
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19. Interest of each foreign entity in the sp	ecific issues listed on	line 16 above	Check if None
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Printed Name and Title Debra M. Hardy Havens, CEO

Form LD-2 (Rev. 06/98)

PAGE 3 of 7

Registrant Nam	e Capitor Associates, Inc. Chem P	name <u>Normwestern Memoriai Hospitai</u>
engaged in lob	ACTIVITY. Select as many codes as necessar bying on behalf of the client during the reportination as requested. Attach additional page(s) a	ng period. Using a separate page for each c
15. General iss	sue area code <u>HCR</u> (one per page)	
16. Specific lo	bbying issues	
relating H.R.526, H.R S.889 - Biparti H.R.602, S.31 H.R.1215 - M H.R.1975 - To promu S.1588, S.168 admini Health Tracked and m Services, Whit	sapproving the rule submitted by the Departme to standards for privacy of individually identification. 2563, S.283, S.872, S.1052 - Bipartisan Paties an Patients Bill of Rights Act of 2001 8 - Genetic Nondiscrimination in Health Insuratedical Information Protection and Research Enomodify the deadline for initial compliance with leated under section 1173 of the Social Security 4 - A bill to provide a one year extension of the strative simplification standards for electronic Insurance Portability and Accountability Act of the Social Security and Security an	fiable health information. Int Protection Act of 2001 Ince and Employment Act hancement Act of 2001 In the standards and implementation specificate Act, and for other purposes. In date for compliance by certain covered entity transactions and code sets issued in accordance of 1996. It it is the Department of Health and Hum Commission, including financial conflicts of it
	! - 	
17. House(s) o	f Congress and Federal agencies contacted	☐ Check if None
House Senate	DHHS	
18. Name of e	ach individual who acted as a lobbyist in this is	sue area
Ronnie Tepp	Name	Covered Official Position (if applicable)
Andrew Brecher	i)	,
Debra Hardy Ha	vėns	
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19. Interest of	each foreign entity in the specific issues listed	
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Signature		
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Form LD-2 (Rev. 06/98)

PAGE 4 of 7

Registrant Name Capitol Associates, Inc.	Client Name Northwestern Memorial Hospital
LOBBYING ACTIVITY. Select as many codes as engaged in lobbying on behalf of the client during to provide information as requested. Attach additional	s necessary to reflect the general issue areas in which the he reporting period. Using a separate page for each coll page(s) as needed.
15. General issue area code <u>MMM</u> (one pe	er page)
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17. House(s) of Congress and Federal agencies cor	ntacted
House Senate DHHS	
18. Name of each individual who acted as a lobbyi	ist in this issue area Covered Official Position (if applicable)
Edward Long	h
Ronnie Tepp	
Debra Hardy Havens	
Andrew Brecher	
19. Interest of each foreign entity in the specific is	ssues listed on line 16 above
Signature	
Printed Name and Title <u>Debra M. Hardy Havens, C</u>	EO

26. Name of each previously reported organization that is no longer affiliated with the registrant or client FOREIGN ENTITIES 27. Add the following foreign entities Name Address Principal place of business (city and state or country) for lobbying activities Principal place of business (city and state or country) for lobbying activities 28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registry or affiliated organization				
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