

Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, DC 20515

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510

SECRETARY OF THE SENATE

99 AUG 20 PM 12:14

H. D.

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name United Technologies Corporation			
2. Address <input type="checkbox"/> Check if different than previously reported 1401 Eye Street, N.W., Washington, DC 20005			
3. Principal Place of Business (if different from line 2) City: Hartford, State/Zip (or Country) CT 06101			
4. Contact Name Wade Robert		Telephone (202) 336-7414	E-mail (optional):
5. Senate ID # 39267-12			6. House ID # 30764000
7. Client Name <input checked="" type="checkbox"/> Self			

8. Year 1999 Midyear (January 1-June 30) OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report ⇨ Termination Date _____ 11. No Lobbying Activity

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13	
12. Lobbying Firms INCOME relating to lobbying activities for this reporting period was: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input type="checkbox"/> ⇨ \$ _____ Income (nearest \$20,000) Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	13. Organizations EXPENSES relating to lobbying activities for this reporting period were: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input type="checkbox"/> ⇨ \$ 1,856,674 Expenses (nearest \$20,000) 14. REPORTING METHOD. Check box to indicate expense accounting method. See instructions for description of options. <input type="checkbox"/> Method A. Reporting amounts using LDA definitions only <input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code <input checked="" type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code

Signature

Printed Name and Title Wade Robert, Director, Legislative Affairs

LD-2 (REV. 6/98)

PAGE 1 of 12

Registrant Name United Technologies Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code AER (one per page)

16. Specific lobbying issues
FY 00 Budget - HR 1654
NASA Budget
Defense Budget
Commercial engine sales
Black Hawk Procurement
Vertical Flight Aircraft

17. House(s) of Congress and Federal agencies contacted Check if None
U.S. Senate Department of Defense
U.S. House of Representatives
Executive Office of the President
FAA
Department of Commerce
Department of State

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Jack Humphries		<input type="checkbox"/>
Wade Robert		<input type="checkbox"/>
Jill Yacone		<input type="checkbox"/>
Susan Walsh		<input type="checkbox"/>
John Kopecky		<input type="checkbox"/>
Robert Kenney		<input type="checkbox"/>
Thomas McKeon		<input type="checkbox"/>
Richard Linhart		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature

Date

Printed Name and Title

Registrant Name United Technologies Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code AER (one per page)

16. Specific lobbying issues
See previous page

17. House(s) of Congress and Federal agencies contacted Check if None
See previous page

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Albert William Pollard, Jr.		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____
Printed Name and Title _____

Registrant Name United Technologies Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code AVI (one per page)

16. Specific lobbying issues
EU Non-Addition Rule
FAA Reauthorization
Foreign Repair Stations
Fasteners

17. House(s) of Congress and Federal agencies contacted Check if None
U.S. Senate
U.S. House of Representatives
Department of Commerce
Department of State
Department of Transportation
USTR

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Kim Chamberlain		<input type="checkbox"/>
Eva Collins		<input type="checkbox"/>
Wade Robert		<input type="checkbox"/>
Susan Walsh		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____

Registrant Name United Technologies Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code CAW (one per page)

16. Specific lobbying issues
Climate Change - S 547

17. House(s) of Congress and Federal agencies contacted Check if None
 U.S. House of Representatives
 U.S. Senate
 Executive Office of the President

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	None
Judith Bayer		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____

Registrant Name United Technologies Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code DEF (one per page)

16. Specific lobbying issues

Civil False Claims Reform	Budget Authorization	DAR
FY 00 DoD Budget	JSF	
Commercial Practice Procurement	RD 180	
Depot Maintenance	RL10	
R&D/ Procurement	NASA Budget	
Budget Appropriations		

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. Senate	DTSA
U.S. House of Representatives	NASA
Department of Defense	
Executive Office of the President	
Department of State	
Department of Commerce	

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Kim Chamberlain		<input type="checkbox"/>
Jack Humphries		<input type="checkbox"/>
Joel Marsh		<input type="checkbox"/>
Wade Robert		<input type="checkbox"/>
Jill Yacone		<input type="checkbox"/>
Richard Hellier		<input type="checkbox"/>
Hugh Risseuw		<input type="checkbox"/>
Mike Summers		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____

Registrant Name United Technologies Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code DEF (one per page)

16. Specific lobbying issues
See previous page
CH-53E
Vertical Flight

17. House(s) of Congress and Federal agencies contacted Check if None
U.S. Senate
U.S. House of Representatives
Department of Defense

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	Net
Sean Moylan		<input type="checkbox"/>
Robert E. Kenney		<input type="checkbox"/>
Thomas McKeon		<input type="checkbox"/>
Richard Linhart		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____
Printed Name and Title _____

Registrant Name United Technologies Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code ENV (one per page)

16. Specific lobbying issues
Superfund/RCRA
EPA Budget
Credit for Early Action Legislation
Montreal/Kyoto Protocols

17. House(s) of Congress and Federal agencies contacted Check if None
U.S. Senate
U.S. House of Representatives
Executive Office of the President
EPA - Environmental Protection Agency
Department of State

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Eva Collins		<input type="checkbox"/>
John Mandycyk		<input checked="" type="checkbox"/>
Judith Bayer		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____
Printed Name and Title _____

Registrant Name United Technologies Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues
R&D Tax Credit - S 680/HR 835
Defense FSC - HR 796
Air Condition Incentives

17. House(s) of Congress and Federal agencies contacted Check if None
U.S. Senate
U.S. House of Representatives
United States Treasury
Executive Office of the President

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Kristin Paulson		<input type="checkbox"/>
Wade Robert		<input type="checkbox"/>
John Mandycyk		<input checked="" type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____

Registrant Name United Technologies Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TRD (one per page)

16. Specific lobbying issues
- China NTR
 - EX-IM/OPIC - Foreign Operations Appropriations
 - Export Licenses
 - Defense Export Loan Guarantee
 - Vietnam NTR - HJ RES 58
 - Export Controls
 - Hush-kits/re-engining
 - Market Access Issues

17. House(s) of Congress and Federal agencies contacted
- Check if None
 - U.S. Senate
 - U.S. House of Representatives
 - Executive Office of the President
 - Department of Commerce
 - Department of State
 - Department of Commerce
 - U.S. Trade Rep. Office
 - FAA
 - USTR
 - Department of Transportation

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Kim Chamberlain		<input type="checkbox"/>
Eva Collins		<input type="checkbox"/>
Jack Humphries		<input type="checkbox"/>
Kristin Paulson		<input type="checkbox"/>
Jeremy Preiss		<input type="checkbox"/>
Wade Robert		<input type="checkbox"/>
John Mandyck		<input checked="" type="checkbox"/>
Susan Walsh		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____

Registrant Name United Technologies Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TRD (one per page)

16. Specific lobbying issues
see previous page

17. House(s) of Congress and Federal agencies contacted Check if None
See previous page

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Albert William Pollard, Jr.		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____

Registrant Name United Technologies Corporation Client Name Self

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address
1401 Eye Street, N.W., Washington, DC 20005

21. Client new principal place of business (if different from line 20)
City Hartford, Connecticut State/Zip (or Country) 06101

22. New general description of client's business or activities

LOBBYIST UPDATE
23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client
Matt Chadderdon
Michael Monts
Stanley Green

ISSUE UPDATE
24. General lobbying issues previously reported that no longer pertain
FOR BUD SCI

AFFILIATED ORGANIZATIONS
25. Add the following affiliated organization(s)

Name	Address	Principal Place of Business (city and state or country)

26. Name of each previously reported organization that is no longer affiliated with the registrant or client

FOREIGN ENTITIES
27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Ownership percentage in client

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant, client or affiliated organization

Signature _____ Date _____
Printed Name and Title _____