

Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515	Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510
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SECRETARY OF THE SENATE

05 FEB 23 AM 10:58  
**LOBBYING REPORT**

## Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant name			
Organization	Morgan Stanley		
2. Address <input type="checkbox"/> Check if different than previously reported			
401 9th St. NW, Suite 650			
City	Washington		
State	DC		
Zip Code	20004		
Country	US		
3. Principal place of business (if different than line 2)			
City	State	Zip Code	Country
City	State/Zip or Country	Zip Code	Country
4a. Contact Name	b. Telephone number	c. E-mail	5. Senate ID #
Prefix Full Name			
Mr. Samuel J. Baptista	202-654-2030	sam.baptista@morganstanley.co	25842-1
7. Client Name	<input checked="" type="checkbox"/> Self		6. House ID #
Morgan Stanley			3181300

**TYPE OF REPORT** 8. Year 2004 Midyear (January 1-June 30)  OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  ⇨ Termination Date \_\_\_\_\_ 11. No Lobbying Act

**INCOME OR EXPENSES - Complete Either Line 12 OR Line 13**

<p align="center"><b>12. Lobbying Firms</b></p> <p><b>INCOME</b> relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇨ \$ _____</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p align="center"><b>13. Organizations</b></p> <p><b>EXPENSES</b> relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇨ \$ <u>1,160,000</u></p> <p><b>14. REPORTING METHOD.</b> Check box to indicate exp accounting method. See instructions for description of opt</p> <p><input checked="" type="checkbox"/> <b>Method A.</b> Reporting amounts using LDA definitions</p> <p><input type="checkbox"/> <b>Method B.</b> Reporting amounts under section 6033(b)( Internal Revenue Code</p> <p><input type="checkbox"/> <b>Method C.</b> Reporting amounts under section 162(e) of Revenue Code</p>
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Form C

Printed Name and Title Samuel J. Baptista, Managing Director



Registrant Name Morgan Stanley Client Name Morgan Stanley

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code TRD - Trade (Domestic & Foreign) (one per page)

16. Specific lobbying issues

- EU Financial Conglomerates
- Sarbanes-Oxley Act
- Russia WTO Accession
- Basel Capital Adequacy Accord
- EU Financial Services Action Plan
- Model BIT Agreement

17. House(s) of Congress and Federal agencies contacted  Check if None

- U.S. House of Representatives
- U.S. Senate
- U.S. Department of Commerce
- U.S. Department of the Treasury
- U.S. Trade Representative
- Securities and Exchange Commission
- U.S. Department of Homeland Security
- U.S. Department of State

18. Name of each individual who acted as a lobbyist in this issue area *Add a page to continue adding lobbyists for*

First Name	Name Last Name	Suffix	Covered Official Position (if applicable)
Emily	Altman		
Samuel	Baptista		

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None



Registrant Name Morgan StanleyClient Name Morgan Stanley**ADDENDUM for General Lobbying Issue Area TRD**

## 16. Specific lobbying issues (continued from previous page)

EU Transparency Obligations Directive  
Capital Adequacy Directive 3  
Indonesia Rule of Law  
Thailand FTA  
Visa Issues  
Sovereign Debt-Code of Conduct  
Brussels Treasury Attache  
China Market Access  
Russia Rule of Law  
SEC Registration  
WTO Doha Round - Financial Services, Transparency  
U.S. Panama Free Trade Agreement



Registrant Name Morgan Stanley Client Name Morgan Stanley

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code BAN - Banking (one per page)

16. Specific lobbying issues Add page to continue specific issues description for this issue >

Financial Privacy (HR 1776)  
Deposit Insurance Reform (HR 522; S 229)  
Industrial Loan Banks  
Regulatory Relief (HR 1375)  
Unsolicited E-Mail (HR 2214; HR 2515; S 887)  
Business Checking Accounts (HR 758; S1967)

17. House(s) of Congress and Federal agencies contacted  Check if None

U.S. House of Representatives  
U.S. Senate  
Federal Deposit Insurance Corporation

18. Name of each individual who acted as a lobbyist in this issue area Add a page to continue adding lobbyists for

First Name	Name Last Name	Suffix	Covered Official Position (if applicable)
Raymond	Messina		
Samuel	Baptista		

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None



Registrant Name Morgan Stanley Client Name Morgan Stanley

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each cod** information as requested. Attach additional page(s) as needed.

15. General issue area code BNK - Bankruptcy (one per page)

16. Specific lobbying issues

Add page to continue specific issues description for this issue >

Bankruptcy Reform (HR 975)

17. House(s) of Congress and Federal agencies contacted  Check if None

U.S. House of Representatives  
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area Add a page to continue adding lobbyists for

First Name	Name Last Name	Suffix	Covered Official Position (if applicable)
Raymond	Messina		
Samuel	Baptista		

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None



Registrant Name Morgan Stanley Client Name Morgan Stanley

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each cod** information as requested. Attach additional page(s) as needed.

15. General issue area code TAX - Taxation/Internal Revenue Code (one per page)

16. Specific lobbying issues

Mutual fund Capital Gain Relief  
 Energy Bill (HR 6)  
 Investment Advice Act (HR 1000)  
 Expiring Provisions of Bush Administration Savings Proposal  
 Internet Tax Moratorium (HR 49; HR 3220; S 150)  
 Tax Shelters

17. House(s) of Congress and Federal agencies contacted  Check if None

U.S. House of Representatives  
 U.S. Senate  
 U.S. Department of Labor  
 U.S. Department of the Treasury  
 Internal Revenue Service

18. Name of each individual who acted as a lobbyist in this issue area *Add a page to continue adding lobbyists for*

First Name	Name Last Name	Suffix	Covered Official Position (if applicable)
David F.	Pearce	Jr.	
Samuel	Baptista		

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None



Registrant Name Morgan StanleyClient Name Morgan Stanley**ADDENDUM for General Lobbying Issue Area TAX**

## 16. Specific lobbying issues (continued from previous page)

American Jobs Creation Act of 2004 (HR 4520)  
Corporate Governance  
Charitable Giving Incentives  
Jumpstart Our Business Strength Act of 2004 (S 1637)  
Pension Funding Rules (HR 177; HR 3521)



Registrant Name Morgan Stanley Client Name Morgan Stanley

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each cod information as requested. Attach additional page(s) as needed.

15. General issue area code FIN - Financial Institutions/Investments/Securiti (one per page)

16. Specific lobbying issues *Add page to continue specific issues description for this issue* >

Electronic Commerce Issues  
Financial Information Privacy (S 30; HR 347)  
Online Privacy (HR 4678; S 2201)  
Sarbanes-Oxley Act of 2002 (PL107-204)  
Issues Related to Anti-Money Laundering  
IdentityTheft (HR 2633; S 223)

17. House(s) of Congress and Federal agencies contacted  Check if None

U.S. House of Representatives  
U.S. Senate  
Securities and Exchange Commission  
Commodity Futures Trading Commission  
U.S. Department of the Treasury

18. Name of each individual who acted as a lobbyist in this issue area *Add a page to continue adding lobbyists for*

First Name	Name Last Name	Suffix	Covered Official Position (if applicable)
Raymond	Messina		
Michael	Stein		
Samuel	Baptista		

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None







Registrant Name Morgan StanleyClient Name Morgan Stanley**ADDENDUM for General Lobbying Issue Area FIN**

## 16. Specific lobbying issues (continued from previous page)

Issues Related to Market Reform  
Issues Related to Futures Market Competition  
Issues Related to Derrivatives Regulation  
Issues Related to Public Offerings  
Issues Related to Investment Companies  
Issues Related to Broker Dealers  
Issues Related to Equity Market Structure  
Issues Related to Intellectual Property Reform  
Issues Related to Corporate Governance Reform  
Issues Related to ERISA Reform



Registrant Name Morgan Stanley Client Name Morgan Stanley

**Information Update Page - Complete ONLY where registration information has changed.**

20. Client new address

Address

City

State

Zip Code

Country

21. Client new principal place of business (if different than line 20)

City

State

Zip Code

Country

22. New general description of client's business or activities

**LOBBYIST UPDATE**

23. Name of each previously reported individual who is **no longer** expected to act as a lobbyist for the client

First Name

Last Name

Suffix

First Name

Last Name

S

1

3

2

4

**ISSUE UPDATE**

Find the code to select below.

24. General lobbying issues that **no longer** pertain

**AFFILIATED ORGANIZATIONS**

25. Add the following affiliated organization(s)

Name	Address	Principal place of Business (city and state or country)
	Address	City
	C/S/Z	State Country
	Address	City
	C/S/Z	State

26. Name of each previously reported organization that is **no longer** affiliated with the registrant or client

1

2

3

**FOREIGN ENTITIES**

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Owned per client
	Street Address	City		
	City			
	State/Province Country	State Country		

28. Name of each previously reported foreign entity that **no longer** owns, **or** controls, **or** is affiliated with the registrant, affiliated organization

1

3

5

2

4

6

Add a page for more

Printed Name and Title Samuel J. Baptista, Managing Director

