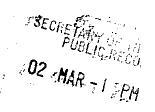
Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 205.15 Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510



LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name		
American Bankers Association Securities	Association	4 104054 12501010100011
2. Address Check if different than previously reported		•
1120 Connecticut Avenue, N.W.		
3. Principal Place of Business (if different from line 2)		
City: Washington State/2	ip (or Country) DC 20036	
4. Contact Name Telephone	E-mail (optional)	5. Senate II
Sarah A. Miller 202-663-53	Smiller@aba.com	4 4-12
7. Client Name Self	, .	6. House ID
	· ·	30467
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Printed Name and Title Sarah A. Miller, General Counsel

LD-2 (REV. 6/98)

	ican bankers ,)		
Registrant Name Secu	ritges Associ	ation (Client Name	Sarah A. J	Miller
LOBBYING ACTIV engaged in lobbying of information as reques	on behalf of the cl	lient during the	e reporting pe	reflect the gene criod. Using a s	eral issue areas in whic separate page for eacl
15. General issue are	a code FIN	(one per p	page)		
16. Specific lobbying	ş issues				
SEE ATTACHED					•
		,			
17. House(s) of Cong	gress and Federal	agencies conta	acted	Check if	None
Securities ar	e Comptroller	of Currenc mmission,	y, Federal Office of	Deposit Ir Thrift Supe	the Federal Reservisurance Corporatervision, Departme
18. Name of each inc	lividual who acte	d as a lobbyist	in this issue	area	
·	Name			Covered	Official Position (if applicable)
Beth L. Cli	imo				
James D. Mc	:Laughlin		***********************	**************************************	4449,798,7944,999,999,999,999,999,999,999,999,99
Sarah A. Mi		/272070A02000000011100444444			,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
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P14400000000000000000000000000000000000					

19. Interest of each for	eign entity in the sp	pecific issues lis	ted on line 16	above 🔲	Check if None
	-1.0				
Signature	-1.0	1	\mathcal{H}	r	Jose 7 /171 ==

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Printed Name and Title	Sarah	A. Miller	·	
•	•	•		•
From I M.7 /Day 6/08)	• •			

Registrant: American Bankers Association Securities Association

16. Specific lobbying issues

Legislation:

Pub L. No 106-102	Implementation of Gramm-Leach-Bliley Financial Modernization Act, Ti-II, V
H.R. 1088	Investor and Capital Markets Fee Relief Act of 2001 Pub. L. No. 107-123
H.R. 1408	Financial Services Anti Fraud Network Act of 2001 Pub. L. No. 107-123
H.R. 2069	Retirement Security Advice Act of 2001
S.143	Competitive Market Supervision Act of 2001
H.R. 333, S. 420	Bankruptcy Abuse Prevention and Consumer Protection Act of 2001, Title
S. 220	Bankruptcy Reform Act of 2001, Title IX
H.R. 11	Financial Contract Netting Improvement Act of 2001

Agencies:

Implementation of Gramm-Leach-Bliley Financial Modernization Act, Pub L. No. 106-102 Titles I, I Implementation of USA Patriot Act, Pub L. No. 107-56, Title III

Form LD-2 (1/96