Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515

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Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510

SECRETARY OF THE SENAL OZ AUG 14 PM 2: 4

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1.	Registrant Name The OB-C Group, LLC					
2.	Registrant Address	nt than previously repor	Suite 6	590 20005	USA	
3.	Principal Place of Business (if different from	n line 2)				
	City	State/Zip (or Country))			
4.	Contact Name Celeste Dembowski	Telephone 202-898-4746	E-mail (option	^{nal)} ki@ob-cgro	up.com	5. Senate ID # 29802-241
7.	Client Name					6. House ID # 30108015
0.	PE OF REPORT 8. Ye Check if this filing amends a previous Check if this is a Termination Report NCOME OR EXPENSE	ously filed version	of this repo	rt 🗆		, , ,
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). 	Check if this filing amends a previous Check if this is a Termination Reposition COME OR EXPENSE	ously filed version ort >> Term S - Complete F	of this repo ination Date Either Line	e 12 OR Li	ne 13	11. No Lobby
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0. III pe Le	Check if this filing amends a previous Check if this is a Termination Report NCOME OR EXPENSE 12. Lobbying Find NCOME relating to lobbying activition was: less than \$10,000 10,000 or more >> \$ \frac{\$12}{\text{lnco}}\$ rovide a good faith estimate, rounder	ously filed version ort >> Termi S - Complete F rms ties for this reporting 20,000.00 ome (nearest \$20,000) d to the nearest	of this repo	PENSES reliod were: s than \$10,000,000 or more REPORTI ounting meth	ne 13 13. O ating to lobby 00 c	11. No Lobby Drganizations Ving activities for this rep Expenses (nearest \$2 D. Check box to indicat actions for description of
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Reg	sistrant Name:	The OB-C	C Group, LI	<u>_C</u>		
Clie	ent Name:	Securities	Industry A	ssociation		
engaged in lobbyir		TIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant ag on behalf of the client during the reporting period. Using a separate page for each code, provide nested. Attach additional page(s) as needed.				
15.	General issue	area code	BAN	(one per page)		
16.	S.1945, Safe	ederal Depo and Fair D	osit Insuran eposit Insur	ce Reform Act of 2 rance Act of 2002, y Protection Act o	entire bill.	
17.	House(s) of (House of Re Senate	_		encies contacted	☐ Check if None	
18.	Name of each	h individual	who acted a	s a lobbyist in this	issue area Covered Official Position (if applicable)	
	Johnson, M	ichael S.				
	Keating, Th	omas				
	McKernan,	Kim F.				
	Mellody, Cl	narles J.				
	Nelson, Pati	ricia A.				
	O'Brien, III	, Lawrence	F.	<u></u>		
	Ruhlen, Ma	ry Ellen				
19.	. Interest of ea	ach foreign e	entity in the s	specific issues listed	d on line 16 above X Check if None	

Registrant Name: The OB-C Group, LLC

Client Name: Securities Industry Association

Item	Description	Data
18a 18b	Lobbyist Name Covered Official Position	Tarplin, Linda E.
18c	New Lobbyist	No

Reg	istrant Name:	The OB-C Group, LL	<u> </u>	
Clie	nt Name:	Securities Industry As	sociation	
eng:	aged in lobbyin		luring the reporting peri	lect the general issue areas in which the registrant od. Using a separate page for each code, provide
15.	General issue	area code FIN	_ (one per page)	
16.	H.R.1088, In structure for H.R.1357, To financing inc H.R.3004, Fi H.R.3162, Un Terrorism (U H.R.3763, Co H.R.3769, In	prehensive Retirement vestor and Capital Mar securities. amend the Internal Retome., entire bill. nancial Anti-Terrorism hiting and Strengthenin SA PATRIOT ACT) A	kets Fee Relief Act, auvenue Code of 1986 to Act of 2001, money la g America by Providin ct of 2001, money laun Accountability, Respon losure Act of 2002, ent	
17.	House(s) of C House of Rep Senate	ongress and Federal ager resentatives	ncies contacted	☐ Check if None
18.	Name of each	individual who acted as		rea overed Official Position (if applicable)
,,	Johnson, Mic	thael S.		
	Keating, Tho	mas		
	McKernan, l	Kim F.		
	Mellody, Ch	arles J.		
	Nelson, Patri	cia A.		
	O'Brien, III,	Lawrence F.		
	Ruhlen, Mar	y Ellen		
19.	Interest of eac	h foreign entity in the sp	ecific issues listed on lir	ne 16 above 🗷 Check if None
Sign	nature			Date 8/14/02

Registrant Name: The OB-C Group, LLC

Client Name:

Securities Industry Association

Item	Description	Data
16	Lobbying Issues	S.143, Competitive Market Supervision Act of 2001, authorizing higher pay for SEC employees, providing new fee structure for securities.
16	Lobbying Issues	S.1510, USA Act of 2001 Uniting and Strengthening America Act, internation money laundering abatement and anti-terrorism financing.
16	Lobbying Issues	S.1511, International Money Laundering Abatement and Anti-Terrorist Fina Act of 2001, international money laundering abatement and anti-terrorism financing.
16	Lobbying Issues	S.2010, Corporate and Criminal Fraud Accountability Act of 2002, entire bill
16	Lobbying Issues	S.2673, Public Company Accounting Reform and Investor Protection Act of 2 entire bill.
16	Lobbying Issues	S.631, Comprehensive Retirement Security and Pension Reform Act of 2001, Expansion.
16	Lobbying Issues	S.676, A bill to amend the Internal Revenue Code of 1986 to extend permaner the subpart F exemption for active financing income., entire bill.
16	Lobbying Issues	S.848, Social Security Number Misuse Prevention Act of 2001, to restrict the social security numbers for identification.
18a	Lobbyist Name	Tarplin, Linda E.
18b 18c	Covered Official Position New Lobbyist	No

Reg	istrant Name:	The OB-C Group, LLC	
Clie	nt Name:	Securities Industry Association	
enga	iged in lobbyin	TVITY. Select as many codes as necessary g on behalf of the client during the reporting tested. Attach additional page(s) as needed.	to reflect the general issue areas in which the registrant g period. Using a separate page for each code, provide
15.	General issue	area code <u>GAM</u> (one per page)	
16.	Specific Lobb H.R.2579, In H.R.556, Uni	ternet Gambling Payments Prohibition A	act, monitoring the legislative process on internet gamb bition Act, monitoring the legislative process on interne
17.	House(s) of C House of Rej Senate	Congress and Federal agencies contacted presentatives	☐ Check if None
18.	Name of each	n individual who acted as a lobbyist in this i	ssue area Covered Official Position (if applicable)
	Johnson, Mi	chael S.	
•	Keating, Th	omas	
	McKernan,	Kim F.	
	Mellody, Ch	arles J.	
	Nelson, Patr	icia A.	
	O'Brien, III	, Lawrence F.	
	Ruhlen, Ma	ry Ellen	
19.	Interest of ea	ch foreign entity in the specific issues listed	
Sio	nature		Date <u>8/14/02</u>

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Registra	ant Name:	The OB-C Group,	LLC	
Client N	Name:	Securities Industry	Association	
Item	Descripti	ion	Data	
18a 18b 18c	Lobbyis Covered New Lol	Official Position	Tarplin, Linda E.	

Registra	ant Name:	The OB-C Group, LLC	
Client N	Vame:	Securities Industry Association	
engaged	i in lobbyinį	IVITY. Select as many codes as necessary g on behalf of the client during the reporting ested. Attach additional page(s) as needed.	to reflect the general issue areas in which the registrant g period. Using a separate page for each code, provide
15. Ge	eneral issue	area code <u>RET</u> (one per page)	
н.	.R.3669, En	ying issues itirement Security Advice Act of 2001, en aployee Retirement Savings Bill of Right nsion Security Act of 2002, entire bill.	tire bill. s, entire bill.
He		Congress and Federal agencies contacted presentatives	☐ Check if None
	ame of each	i individual who acted as a lobbyist in this i	ssue area Covered Official Position (if applicable)
	ohnson, Mi	chael S.	
	Ceating, The		
	IcKernan,		
	1ellody, Ch		
	lelson, Patr		
**		, Lawrence F.	
*	kuhlen, Mai		
	·	ch foreign entity in the specific issues listed	on line 16 above 🔀 Check if None
Signati	ure		Date

Registra	nt Name:]	The OB-C Group, LI	LC	
Client N	ame: §	Securities Industry A	ssociation	
Item	Description		Data	
18a 18b 18c	Lobbyist N Covered O New Lobby	fficial Position	Tarplin, Linda E. No	

Regi	strant Name:	The OB-C Group, LLC	
Clie	nt Name:	Securities Industry Association	
enga	iged in lobbyin	IVITY. Select as many codes as necessary g on behalf of the client during the reporting tested. Attach additional page(s) as needed.	to reflect the general issue areas in which the registrant g period. Using a separate page for each code, provide
15.	General issue	area code <u>TAX</u> (one per page)	
16.		oying issues conomic Growth and Tax Relief Reconcilionic Growth and Tax Relief Act of 2001,	
17.	House(s) of C House of Rej Senate	Congress and Federal agencies contacted presentatives	☐ Check if None
18.	Name of each	n individual who acted as a lobbyist in this is	ssue area Covered Official Position (if applicable)
-			
	Johnson, Mi		
	Keating, The	omas	
	McKernan,	Kim F.	
	Mellody, Ch	arles J.	
	Nelson, Patr	icia A.	
	O'Brien, III,	, Lawrence F.	
	Ruhlen, Mai	ry Ellen	
19.	Interest of ea	ch foreign entity in the specific issues listed	
Sic	nature		Date

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		T. J. Am. A	
Client l	Name: <u>Securiu</u>	s Industry Association	
Item	Description	Data	-
18a 18b	Lobbyist Name Covered Official P	Tarplin, Linda E.	
18c	New Lobbyist	No	

CI:-	istrant Name:	The OB-C Group, LLC	
∪l1€	ent Name:	Securities Industry Association	
eng	aged in lobbyin	TVITY. Select as many codes as necessary g on behalf of the client during the reportinested. Attach additional page(s) as needed	to reflect the general issue areas in which the registrant ag period. Using a separate page for each code, provide
15.	General issue	area code TEC (one per page)	
16.		oying issues solicited Commercial Electronic Mail Ac SPAM Act of 2001, anti-spam legislation	
17.	` '	Congress and Federal agencies contacted presentatives	□ Check if None
18.	Name of each	n individual who acted as a lobbyist in this	issue area
			Covered Official Position (if applicable)
	Johnson, Mi	chael S.	Covered Official Position (if applicable)
	Johnson, Mi		Covered Official Position (if applicable)
		omas	Covered Official Position (if applicable)
	Keating, Th	omas Kim F.	Covered Official Position (if applicable)
	Keating, Th	omas Kim F. arles J.	Covered Official Position (if applicable)
	Keating, Th McKernan, Mellody, Ch Nelson, Patr	omas Kim F. arles J.	Covered Official Position (if applicable)
	Keating, Th McKernan, Mellody, Ch Nelson, Patr	omas Kim F. arles J. icia A. , Lawrence F.	Covered Official Position (if applicable)

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Registrant Name: The OB-C Group, LLC

Client Name: Securities Industry Association

Item	Description	Data
18a	Lobbyist Name	Tarplin, Linda E.
18b	Covered Official Position	AY
18c	New Lobbyist	No