

Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, DC 20515

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510

SECRETARY OF
03 AUG 14 AM

LOBBYING REPORT

Lobbying disclosure Act of 1995 (Section 5) – All Filers Are Required To Complete This Page

1. Registrant Name CNA FINANCIAL CORPORATION			
2. Address <input type="checkbox"/> Check if different than previously reported 818 Connecticut Avenue, NW Suite 1001			
3. Principal Place of Business (if different from line 2) City: Washington State/Zip (or Country) D.C. 20006			
4. Contact Name	Telephone	Email (optional)	5. Senate ID #
Carol Pernick	(202) 296-4662		9580
7. Client Name <input checked="" type="checkbox"/> Self			6. House ID# 317440000

TYPE OF REPORT 8. Year 2003 Midyear (January 1-June 30) **OR** Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report ⇨ Termination Date

11. No Lobbying Activity

INCOME OR EXPENSES –Complete Either Line 12 OR Line 13

<p>12. Lobbying Firms INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇨ \$ _____ Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client.)</p>	<p>13. Organizations EXPENSES relating to lobbying activities for this report Period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇨ \$ <u>500,000</u> Expenses (nearest \$20,000)</p> <p>14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description of opti</p> <p><input checked="" type="checkbox"/> Method A. Reporting amounts using LDA definiti</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6033(i) Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162(e) Internal Revenue Code</p>
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Signature

Carol Pernick

Date: August 14, 2003

Printed Name and Title Carol Pernick, Vice President, Congressional Relations

Name CNA Financial Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue area in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code BAN (one per page)

16. Specific lobbying issues

All issues relating to Financial Services Reform and Bankruptcy Reform
 Issues relating to regulations and policy decisions regarding bank sales of insurance-type product
 The Office of the Comptroller of the Currency

H.R. 2120 Financial Contracts Bankruptcy Reform Act of 2003
 H.R. 1115 Class Action Fairness Act of 2003
 S. 274 Class Action Fairness Act of 2003
 S. 1458 Financial Institution Privacy Protection Act of 2003

17. House(s) of Congress and Federal agencies contacted Check if None

The House of Representatives
 The Senate
 U.S. Department of Treasury

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Carol V. Pernick	Vice President, Relations Congressional	<input type="checkbox"/>
Heather Davis	Vice President, Government Relations	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interests of each foreign entity in the specific issues listed on line 16 above Check if None

Signature Carol Pernick Date: August 14, 2003

Printed Name and Title Carol Pernick, Vice President, Congressional Relations

Name CNA Financial Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue area in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code BUD (one per page)

16. Specific lobbying issues

Issues relating to tax, insurance, health care
H. Con. Res. 95 Budget Resolution

17. House(s) of Congress and Federal agencies contacted

 Check if None

The House of Representatives
The Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Carol V. Pernick	Vice President, Congressional Relations	<input type="checkbox"/>
Heather Davis	Vice President, Government Relations	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interests of each foreign entity in the specific issues listed on line 16 above Check if None

Signature

*Carol Pernick*Date: August 14, 2003Printed Name and Title Carol Pernick, Vice President, Congressional Relations

Name CNA Financial Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue area in which the registrant engaged lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code CSP (one per page)

16. Specific lobbying issues

All issues relating to product liability reform
 All issues relating to tort reform
 All issues relating to civil class action reform
 All issues relating to medical information confidentiality

H.R. 1115 Class Action Fairness Act of 2003
 S. 274 Class Action Fairness Act of 2003
 S. 1458 Financial Institution Privacy Protection Act of 2003

17. House(s) of Congress and Federal agencies contacted Check if None

The House of Representatives
 The Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Carol V. Pernick	Vice President, Congressional Relations	<input type="checkbox"/>
Heather Davis	Vice President, Government Relations	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interests of each foreign entity in the specific issues listed on line 16 above Check if None

Signature

*Carol Pernick*Date: August 14, 2003

Name CNA Financial Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue area in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific lobbying issues

All issues relating to Financial Services Reform and Bankruptcy
 Issues relating to regulations and policy decisions regarding bank sales of insurance-type product:
 the Office of the Comptroller of the Currency

H.R. 2120 Financial Contracts Bankruptcy Reform Act of 2003
 H.R. 1115 Class Action Fairness Act of 2003
 S. 274 Class Action Fairness Act of 2003
 S. 1458 Financial Institution Privacy Protection Act of 2003

17. House(s) of Congress and Federal agencies contacted Check if None

The House of Representatives
 The Senate
 U.S. Department of Treasury

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Carol V. Pernick	Vice President, Congressional Relations	<input type="checkbox"/>
Heather Davis	Vice President, Government Relations	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

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Signature Carol Pernick Date: August 14, 2003

Name CNA Financial Corporation Client Name Self

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15. General issue area code HCR (one per page)

16. Specific lobbying issues

Issues relating to Asbestos
Issues relating to Long-Term Care Insurance

S. 1125 The Fair Act of 2003
H.R. 1114 Asbestos Compensation Act of 2003
H.R. 2096 Long Term Care and Retirement Security Act of 2003
S. 1335 Long Term Care and Retirement Security Act of 2003

17. House(s) of Congress and Federal agencies contacted Check if None

The House of Representatives
The Senate
Department of Health and Human Services
Office of Personnel Management

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Carol V. Pernick	Vice President, Congressional Relations	<input type="checkbox"/>
Heather Davis	Vice President, Government Relations	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interests of each foreign entity in the specific issues listed on line 16 above Check if None

Signature

*Carol Pernick*Date: August 14, 2003

Name CNA Financial Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue area in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code INS (one per page)

16. Specific lobbying issues

Issues relating to Asbestos compensation
 Issues relating to medical malpractice insurance

S. 1125 The Fair Act of 2003
 H.R. 1114 Asbestos Compensation Act of 2003
 H.R. 1116 Medical Malpractice Insurance and Litigation Reform Act
 H.R. 1219 Medical Malpractice and Insurance Reform Act of 2003

17. House(s) of Congress and Federal agencies contacted Check if None

The House of Representatives
 The Senate
 Environmental Protection Agency
 U.S. Department of Treasury

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Carol V. Pernick	Vice President, Congressional Relations	<input type="checkbox"/>
Heather Davis	Vice President, Government Relations	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interests of each foreign entity in the specific issues listed on line 16 above Check if None

Signature Carol Pernick Date: August 14, 2003

Name CNA Financial Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue area in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code MMM(one per page)

16. Specific lobbying issues

Issues relating to Medicare/Medicaid
 Issues relating to Medicare Expansion to seniors aged 55-65

H.R. 2096 Long Term Care and Retirement Security Act of 2003
 S. 1335 Long Term Care and Retirement Security Act of 2003
 H.R. 2469 Medicare Reform Act of 2003

17. House(s) of Congress and Federal agencies contacted Check if None

The House of Representatives
 The Senate
 U.S. Department of Labor

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Carol V. Pernick	Vice President, Congressional Relations	<input type="checkbox"/>
Heather Davis	Vice President, Government Relations	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interests of each foreign entity in the specific issues listed on line 16 above Check if NoneSignature Carol Pernick Date: August 14, 2003Printed Name and Title Carol Pernick, Vice President, Congressional Relations

Name CNA Financial Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue area in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues

Issues relating to proposed taxes affecting insurance

H. Con. Res. 95 Budget Resolution

H.R. 2635 Jobs and Growth Tax Relief Reconciliation Act of 2003

17. House(s) of Congress and Federal agencies contacted Check if None

The House of Representatives
 The Senate
 Executive Office of the President
 U.S. Department of Treasury

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Carol V. Pernick	Vice President, Congressional Relations	<input type="checkbox"/>
Heather Davis	Vice President, Government Relations	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interests of each foreign entity in the specific issues listed on line 16 above Check if None

Signature *Carol Pernick* Date: August 14, 2003

Printed Name and Title Carol Pernick, Vice President, Congressional Relations

Form LD-2 (Rev 02/01)

9

Name CNA Financial Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue area in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code TOR (one per page)

16. Specific lobbying issues

H.R. 1115 Class Action Fairness Act of 2003

S. 274 Class Action Fairness Act of 2003

H.R. 1116 Medical Malpractice Insurance and Litigation Reform Act

H.R. 1219 Medical Malpractice and Insurance Reform Act of 2003

17. House(s) of Congress and Federal agencies contacted

 Check if None

The House of Representatives

The Senate

Environmental Protection Agency

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Carol V. Pernick	Vice President, Congressional Relations	<input type="checkbox"/>
Heather Davis	Vice President, Government Relations	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interests of each foreign entity in the specific issues listed on line 16 above Check if None

Signature

*Carol Pernick*Date: August 14, 2003Printed Name and Title Carol Pernick, Vice President, Congressional Relations

Name CNA Financial Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue area in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code RET (one per page)

16. Specific lobbying issues

H.R. Long Term Care and Retirement Security Act of 2003
S. 1335 Long Term Care and Retirement Security Act of 2003

17. House(s) of Congress and Federal agencies contacted Check if None

The House of Representatives
The Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Carol V. Pernick	Vice President, Congressional Relations	<input type="checkbox"/>
Heather Davis	Vice President, Government Relations	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interests of each foreign entity in the specific issues listed on line 16 above Check if None

Signature Carol Pernick Date: August 14, 2003

