

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

DAVIS & HARMAN LLP

2. Address:

1455 PENNSYLVANIA AVE NW #1200, WASHINGTON, DC 20004

3. Principal place of business (if different from line 2):

4. Contact Name: JANIS MCCLINTOCK

Telephone: 2023472230

E-mail (optional): jkmcclintock@davis-harman.com

Senate ID #: 11674-811

House ID #:

7. Client Name: ☐ Self

VANGUARD GROUP

TYPE OF REPORT

8. Year 2006 Midyear (January 1 - June 30): ☐ **OR** Year End (July 1 - December 31): ☒

9. Check if this filing amends a previously filed version of this report: ☐

10. Check if this is a Termination Report: ☐ => Termination Date: 11. No Lobbying Activity: ☐

INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000: ☐

\$10,000 or more: ☒ => Income (nearest \$20,000): 20,000.00

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than \$10,000: ☐

\$10,000 or more: ☐ => Expenses (nearest \$20,000): _____

14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

- ☐ **Method A.** Reporting amounts using LDA definitions only
☐ **Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code
☐ **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: RET (one per page)

16. Specific lobbying issues:

Retirement Savings Account Act; H.R. 1163/S. 545, Lifetime Savings Account Act; defined contribution plan reform proposals; proposals relating to automatic enrollment and default investments, including S. 875, Save More for Retirement Act, S. 3952/H.R. 6210, Automatic IRA Act of 2006 and S. 2431, Savings Competitiveness Act and H.R. 1508, 401(k) Auto-enrollment Act; H.R. 2830, Pension Protection Act; S. 1359, Retirement Savings and Security Act; S. 1783, Pension Security and Transparency Act; H.R. 4, Pension Protection Act; S. 3951, Women's Retirement Security Act; proposals making technical corrections to The Pension Protection Act. S. 219/S. 1953, National Employee Savings and Trust Equity Guarantee Act; Savings proposals included in the President's FY 2007 Budget and legislation carrying out those proposals including H.R. 1161/S. 547, to provide for ERSAs; H.R. 1162/S. 546,

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

Office of Management & Budget (OMB)

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: DELAPLANE, JAMES

Covered Official Position (if applicable): N/A

Name: MASON, KENT

Covered Official Position (if applicable): N/A

Name: PATE, BARBARA

Covered Official Position (if applicable): LEGISLATIVE DIRECTOR & COUNSEL, REP. PORTMAN

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: DAVIS & HARMAN LLP Client Name: VANGUARD GROUP

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TAX (one per page)

16. Specific lobbying issues:

S. 1112/H.R. 2386, College 529 InvEST Act, H.R. 2830, Pension Protection Act of 2005, and H.R. 4, Pension Protection Act of 2006.

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: DELAPLANE, JAMES

Covered Official Position (if applicable): N/A

Name: PATE, BARBARA

Covered Official Position (if applicable): LEGISLATIVE DIRECTOR & COUNSEL, REP. PORTMAN

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Signature: ON FILE Date: Feb 13, 2007

Printed Name and Title: JAMES M. DELAPLANE, JR., PARTNER -