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## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name <b>The Trustees of the University of Pennsylvania</b>			
2. Address <input type="checkbox"/> Check if different than previously reported <b>3451 Walnut Street, Philadelphia, PA 19104</b>			
3. Principal Place of Business (if different from line 2) City: _____ State/Zip (or Country): _____			
4. Contact Name <b>Carol R. Scheman</b>	Telephone <b>215-898-1388</b>	E-mail (optional) <b>scheman@pobox.upenn.edu</b>	5. Senate ID # <b>38608-12</b>
7. Client Name <input type="checkbox"/> Self	6. House ID # <b>38608</b>		

TYPE OF REPORT 8. Year 2000 Midyear (January 1-June 30) ☒ OR Year End (July 1-December 31) ☐

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ Termination Date \_\_\_\_\_

11. No Lobbying Activity ☐

<b>INCOME OR EXPENSES - Complete Either Line 12 OR Line 13</b>	
<b>12. Lobbying Firms</b>  INCOME relating to lobbying activities for this reporting period was:  Less than \$10,000 <input type="checkbox"/>  \$10,000 or more <input type="checkbox"/> ⇒ \$ _____ Income (nearest \$20,000)  Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	<b>13. Organizations</b>  EXPENSES relating to lobbying activities for this reporting period were:  Less than \$10,000 <input type="checkbox"/>  \$10,000 or more <input checked="" type="checkbox"/> ⇒ \$ <u>\$120,000</u> Expenses (nearest \$20,000)  <b>14. REPORTING METHOD.</b> Check box to indicate expense accounting method. See instructions for description of options. <input type="checkbox"/> Method A. Reporting amounts using LDA definitions only <input checked="" type="checkbox"/> Method B. Reporting amounts under section 6033(b)(6) of the Internal Revenue Code <input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code

Signature



Printed Name and Title Carol R. Scheman, Vice President for Government, Community & Public Affairs

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PAGE 1 of 8

Registrant Name The Trustees of the University of Pennsylvania Client Name Self ID # 38608-12 Senate - 38608 House

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code BUD (one per page)

16. Specific lobbying issues

H.R. 4461/S. 2536 FY 2001 Appropriations for the Department of Agriculture  
H.R. 4733 FY 2001 Appropriations for the Department of Energy  
H.R. 4578 FY 2001 Appropriations for the Department of Interior  
H.R. 4576/S. 2593 FY 2001 Appropriations for the Department of Defense  
H.R. 4577/S. 2553 FY 2001 Appropriations for the Department of Labor, HHS, Education  
H.R. 4635 FY 2001 Appropriations for the Department of Veterans Affairs, Housing and Urban Development and Independent Agencies

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives  
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Carol R. Scheman	Vice President for Government, Community & Public Affairs	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature  Date August 10, 2000

Printed Name and Title Carol R. Scheman, Vice President for Government, Community & Public Affairs

Registrant Name The Trustees of the University of Pennsylvania Client Name Self- ID #38608-12 Senate - # 38608 House

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code EDU (one per page)

16. Specific lobbying issues

S. 1266/H.R. 1995 Elementary and Secondary Education Act  
H.R. 4875 Office of Educational Research & Improvement Reauthorization  
H.R. 3983 Helping to Improve Technology Education and Achievement Act of 2000  
Reauthorization of PL 104-269


17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives  
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Carol R. Scheman	Vice President for Government, Community & Public Affairs	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature  Date August 10, 2000  
Printed Name and Title Carol R. Scheman, Vice President for Government, Community & Public Affairs

Registrant Name The Trustees of the University of Pennsylvania Client Name Self- ID #38608-12 Senate - # 38608 House

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code MED (one per page)

16. Specific lobbying issues

H.R. 1103/S. 1024 Disproportionate share payments to hospitals  
H.R. 4239/S. 2394 Teaching Hospital Preservation Act of 2000  
H.R. 3710/S. 2308 Medicaid Disproportionate Share Relief  
S. Res 253 Biomedical research funding S. 1023/H.R. 1785 GME restoration  
H.R. 2488 Medical Innovation Tax Credit H.R. 1039/S. 1010 Medical Innovation Tax Credit  
S. 1268 21<sup>st</sup> Century Research Laboratories Act H.R. 2241/S. 1263 Hospital Outpatient Preservation Act  
H.R. 1628/S. 381 Medicare coverage-clinical trials H.R. 3580/S. 2018 Medicare inpatient payment restoration

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U.S. House of Representatives  
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Russ Molloy, J.D.	Associate Executive Vice President for Government Relations, University of Pennsylvania Health System	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above

☐ Check if None

Signature  Date August 10, 2000

Printed Name and Title Carol R. Scheman, Vice President for Government, Community & Public Affairs

Registrant Name The Trustees of the University of Pennsylvania Client Name Self- ID #38608-12 Senate - #38608 House

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code MED (one per page)

16. Specific lobbying issues (continued from previous page)

H Con Res 290 Budget Resolution for Fiscal 2001  
H.R. 4577/S 2553 Labor HHS Education Appropriations  
H.R. 4635 VA/HUD Appropriations  
Graduate Medical Education Funding, Medicare/Medicaid Funding, Uninsured Health Care Coverage,  
Research Funding

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives  
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Russ Molloy, J.D.	Associate Executive Vice President for Government Relations, University of Pennsylvania Health System	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature  Date August 10, 2000

Printed Name and Title Carol R. Schuman, Vice President for Government, Community & Public Affairs

Registrant Name The Trustees of the University of Pennsylvania Client Name Self: ID #38608-12 Senate - #38608 House

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code SCI (one per page)

16. Specific lobbying issues

H.R. 2086 Networking and Information Technology Research and Development Act  
S. 1268 The Twenty First Century Research Laboratories Act

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives  
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Carol R. Scheman	Vice President for Government, Community & Public Affairs	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature  Date August 10, 2000

Printed Name and Title Carol R. Scheman, Vice President for Government, Community & Public Affairs

The Trustees of the  
Registrant Name University of Pennsylvania Client Name Self- ID #38608-12 Senate -- # 38608 House

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues

S. 1134 The Affordable Education Act of 1999

S. 1086 A bill to amend the Internal Revenue Code of 1986 to waive the income inclusion on a distribution from an individual retirement account to the extent that the distribution is contributed for charitable purposes.

H.R. 3389 A bill to amend the Internal Revenue Code of 1986 to exclude from the gross income of an employee certain housing incentives provided by such employee's employer to purchase and reside in housing located in qualified urban areas.

H.R. 323 The Employee Educational Assistance Act of 1999

S. 1364 Responsible Fatherhood Act of 1999

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives

U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Carol R. Scheman	Vice President for Government, Community & Public Affairs	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature  Date August 10, 2000

Printed Name and Title Carol R. Scheman, Vice President for Government, Community & Public Affairs

Registrant Name The Trustees of the University of Pennsylvania Client Name Self- ID #38608-12 Senate - # 38608 House

**Information Update Page - Complete ONLY where registration information has changed.**

20. Client new address

21. Client new principal place of business (if different from line 20)

City

State/Zip (or Country)

22. New general description of client's business or activities

**LOBBYIST UPDATE**

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client

**ISSUE UPDATE**

24. General lobbying issues previously reported that no longer pertain

**AFFILIATED ORGANIZATIONS**

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Business (city and state or country)

26. Name of each previously reported organization that is no longer affiliated with the registrant or client

**FOREIGN ENTITIES**

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Ownership percentage in client

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant, client or affiliated organization

Signature



Date August 10, 2000

Printed Name and Title Carol R. Scherman, Vice President for Government, Community & Public Affairs

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