

## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

**YALE UNIVERSITY**

2. Address:

2 WHITNEY AVENUE PO BOX 208255, NEW HAVEN, CT 06520-8255

3. Principal place of business (if different from line 2):

Country: City: State/Zip(or Country):

4. Contact Name: RICHARD JACOB

Telephone: (203) 436-2893

E-mail (optional): Richard.Jacob@yale.edu

Senate ID #: 42023-12

House ID #: 31346000

7. Client Name:  Self

## TYPE OF REPORT

8. Year 2006 Midyear (January 1 - June 30):  **OR** Year End (July 1 - December 31):

9. Check if this filing amends a previously filed version of this report:

10. Check if this is a Termination Report:  => Termination Date: 11. No Lobbying Activity:

## INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

### 12. Lobbying Firms

**INCOME** relating to lobbying activities for this reporting period was:

Less than \$10,000:

\$10,000 or more:  => Income (nearest \$20,000): \_\_\_\_\_

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

### 13. Organizations

**EXPENSES** relating to lobbying activities for this reporting period were:

Less than \$10,000:

\$10,000 or more:  => Expenses (nearest \$20,000): 280,000.00

### 14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

**Method A.** Reporting amounts using LDA definitions only

**Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code

**Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

Registrant Name: YALE UNIVERSITY Client Name: Self

## LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: BUD (one per page)

16. Specific lobbying issues:

American Competitiveness Initiative | Provisions concerning basic research in the physical sciences at NSF, NIST, and the Department of Energy's Office of Science. H.R. 5672/(unnumbered Senate bill) | FY07 Science, State, Justice and Commerce Appropriations Act | Provisions concerning funding levels for aeronautics and space science research at NASA, research and related activities at NSF, and core research programs at NIST. H.R. 5631/(unnumbered Senate bill) | FY07 Defense Appropriations Act | Provisions concerning funding levels for DARPA and basic research. H.R. 5427/(unnumbered Senate bill) | FY07 Energy and Water Appropriations Act | Provisions concerning funding levels for the Department of Energy's Office of Science. H.R. 5647/S. 3708 | FY07 Labor, HHS, and Education Appropriations Act | Provisions concerning funding levels for NIH and student financial aid programs. H.R. 5386/(unnumbered Senate bill) | FY07 Interior Appropriations Act | Provisions concerning funding levels for the National Endowment for the Humanities and the National Endowment for the Arts. H.Res.5631 | continuing resolution through 11/17/06 | provisions concerning funding for NIH, Pell and the American Competitiveness Initiative. H.J.Res.100 | continuing resolution through 12/8/06 | provisions concerning funding for NIH, Pell and the American Competitiveness Initiative.

17. House(s) of Congress and Federal agencies contacted:

US HOUSE OF REPRESENTATIVES  
US SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: HAAS, KARA  
Covered Official Position (if applicable):  
Name: JACOB, RICHARD  
Covered Official Position (if applicable):

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: YALE UNIVERSITY Client Name: Self

### LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: CPT (one per page)

16. Specific lobbying issues:

H.R. 2795, Patent Act of 2005 | Provisions concerning patents. S. 3818, Patent Reform Act of 2006 | Provisions concerning patents. S. 4040, Public Research in the Public Interest Act of 2006 | Provisions concerning licensing.

17. House(s) of Congress and Federal agencies contacted:

US HOUSE OF REPRESENTATIVES  
US SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: HAAS, KARA

Covered Official Position (if applicable):

Name: JACOB, RICHARD

Covered Official Position (if applicable):

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: YALE UNIVERSITY Client Name: Self

### LOBBYING ACTIVITY

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15. General issue area code: DEF (one per page)

16. Specific lobbying issues:

H.R. 5122/S. 2766, the National Defense Authorization Act for Fiscal Year 2007 I Provisions concerning DOD funding for the SMART program, which provides scholarships for students, and basic research funding.

17. House(s) of Congress and Federal agencies contacted:

US HOUSE OF REPRESENTATIVES  
US SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: HAAS, KARA

Covered Official Position (if applicable):

Name: JACOB, RICHARD

Covered Official Position (if applicable):

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: YALE UNIVERSITY Client Name: Self

### LOBBYING ACTIVITY

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15. General issue area code: EDU (one per page)

16. Specific lobbying issues:

H.R. 609, the College Access and Opportunity Act of 2005 | Provisions concerning federal student aid, international education, reporting requirements and oversight rules. S. 1614, the Higher Education Amendments of 2005 | Provisions concerning federal student aid, international education, reporting requirements and oversight rules. Recommendations of the Commission on the Future of Higher Education | provisions concerning student financial aid, accreditation, data collection and student tracking.

17. House(s) of Congress and Federal agencies contacted:

Department of Education  
US HOUSE OF REPRESENTATIVES  
US SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: HAAS, KARA

Covered Official Position (if applicable):

Name: JACOB, RICHARD

Covered Official Position (if applicable):

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: YALE UNIVERSITY Client Name: Self

### LOBBYING ACTIVITY

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15. General issue area code: LAW (one per page)

16. Specific lobbying issues:

H.R. 4239/S. 3880, the Animal Terrorism Enterprise Act I Provisions concerning enhanced authority to prosecute and convict those involved in animal terrorism involving researchers or their families.

17. House(s) of Congress and Federal agencies contacted:

US HOUSE OF REPRESENTATIVES  
US SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: HAAS, KARA

Covered Official Position (if applicable):

Name: JACOB, RICHARD

Covered Official Position (if applicable):

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: YALE UNIVERSITY Client Name: Self

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15. General issue area code: MED (one per page)

16. Specific lobbying issues:

H.R. 810, the Stem Cell Enhancement Act of 2005 | Provisions concerning embryonic stem cell research. H.R. 6164, the National Institutes of Health Reform Act of 2006 | Provisions concerning authorized funding levels and the structure of the National Institutes of Health.

17. House(s) of Congress and Federal agencies contacted:

US HOUSE OF REPRESENTATIVES  
US SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: HAAS, KARA  
Covered Official Position (if applicable):  
Name: JACOB, RICHARD  
Covered Official Position (if applicable):

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: YALE UNIVERSITY Client Name: Self

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15. General issue area code: SCI (one per page)

16. Specific lobbying issues:

S. 2197, Promoting America's Competitive Edge Act | Energy | Provisions concerning authorizations for the Department of Energy's Office of Science, programs to support education activities at the national laboratories, and awards for early career researchers. S. 2198, Promoting America's Competitive Edge | Education | Provisions concerning authorizations for basic research, student scholarships, undergraduate education, teacher training and visas. S. 2802, the American Innovation and Competitiveness Act | Provisions concerning authorizations for basic research, new programs at NASA and grant programs to promote high risk, high reward research. H.R. 5357, the Research for Competitiveness Act | Provisions concerning the competitive grant program for high risk research. H.R. 5358, the Science and Mathematics Education for Competitiveness Act | Provisions concerning stipends for graduate students. H.R. 5356, the Early Career Research Act | Provisions concerning early career awards.

17. House(s) of Congress and Federal agencies contacted:

Executive Office of the President  
US HOUSE OF REPRESENTATIVES  
US SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: HAAS, KARA  
Covered Official Position (if applicable):  
Name: JACOB, RICHARD  
Covered Official Position (if applicable):

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Signature: ON FILE Date: Feb 14, 2007

Printed Name and Title: Richard Jacob, Associate Vice President for Federa -