

Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, DC 20515

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510

AMENDED REPORT

02 JUL -2 AM 9:

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name Ross & Hardies			
2. Address <input type="checkbox"/> Check if different than previously reported 150 North Michigan Avenue, Suite 2500, Chicago, Illinois 60601			
3. Principal Place of Business (if different from line 2) City: Chicago State/Zip (or Country) Illinois 60601			
4. Contact Name Scott Hodes	Telephone 312/750-2750	E-mail (optional) scott.hodes@rosshardies.com	5. Senate ID 337
7. Client Name <input type="checkbox"/> Self Investment Company Institute			6. House ID 31892-0

TYPE OF REPORT 8. Year 2001 Midyear (January 1-June 30) ☐ OR Year End (July 1-I

9. Check if this filing amends a previously filed version of this report ☒

10. Check if this is a Termination Report ☐ ⇨ Termination Date _____

11. No Lobby

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000 ☐

\$10,000 or more ☒ ⇨ \$ 12,000.00
Income (nearest \$20,000)

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this period were:

Less than \$10,000 ☐

\$10,000 or more ☐ ⇨ \$ _____
Expenses (nearest \$)

14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description

☒ Method A. Reporting amounts using LDA del

☐ Method B. Reporting amounts under section Internal Revenue Code

☐ Method C. Reporting amounts under section Internal Revenue Code

Signature

Printed Name and Title Scott Hodes, Partner

LD-2 (REV. 6/98)

Registrant Name Scott Hodes Client Name Investment Company Institute

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the client was engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code area as requested. Attach additional page(s) as needed.

15. General issue area code Tax (one per page)

16. Specific lobbying issues

1. H. R. 168, Capital Gains Distributions. All provisions.
2. H. R. 2269, ERISA Reform, All provisions.
3. H. R. 865, Community Reinvestment Act. All provisions.

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U. S. House of Representatives

U. S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

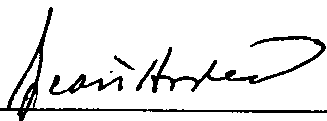
Name

Covered Official Position (if applicable)

Scott Hodes

Partner

Signature



Date 6/20/2002

Printed Name and Title Scott Hodes, Partner

Form LD-2 (Rev. 6/98)

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