

Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, DC 20515
Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant name Investment Company Institute			
2. Address <input type="checkbox"/> Check if different than previously reported 1401 H Street, NW, Suite 1200 Washington DC 20005 US			
3. Principal place of business (if different than line 2) City _____ State/Zip or Country _____			
4a. Contact Name Mr. Lawrence R. Maffia		b. Telephone number (202) 326-5857	c. E-mail lmaffia@ici.org
7. Client Name <input checked="" type="checkbox"/> Self Investment Company Institute			5. Senate ID # 21028-12
			6. House ID # 3111700

TYPE OF REPORT 8. Year 2005 Midyear (January 1-June 30) OR Year End (July 1-December)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report ⇨ Termination Date _____ 11. No Lobbying Activity

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms INCOME relating to lobbying activities for this reporting period was: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input type="checkbox"/> ⇨ \$ _____ Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).		13. Organizations EXPENSES relating to lobbying activities for this reporting were: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input checked="" type="checkbox"/> ⇨ \$ <u>2,300,000</u> 14. REPORTING METHOD. Check box to indicate expert accounting method. See instructions for description of options: <input type="checkbox"/> Method A. Reporting amounts using LDA definitions on Internal Revenue Code <input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) Internal Revenue Code <input checked="" type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Revenue Code	
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Signature *Lawrence R. Maffia* Date 8/16/05
 Printed Name and Title Lawrence R. Maffia, Executive Vice President & COO

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Printed Name and Title Lawrence R. Mania, Executive Vice President & COO

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Printed Name and Title Lawrence R. Maffia, Executive Vice President & COO

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Printed Name and Title Lawrence R. Maffia, Executive Vice President & COO

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Registrant Name Investment Company Institute

Client Name Investment Company Institute

ADDENDUM for General Lobbying Issue Area FIN

16. Specific lobbying issues (continued from previous page)

H.R. 2990, Credit Rating Agency Duopoly Act of 2005.
S. 1037, Mutual Fund Transparency Act of 2005, provisions related to mutual fund regulation.

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Registrant Name Investment Company Institute

Client Name Investment Company Institute

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code RET - Retirement (one per page)

16. Specific lobbying issues

H.R. 1161/S.547, Employer Retirement Savings Accounts.
H.R. 1162/S. 546, Retirement Savings Accounts.
H.R. 2830, Pension Protection Act of 2005, provisions related to investment advice.
S. 219, National Employees Savings & Trust Equity Guarantee Act of 2005, provisions related to pensions.
S. 1359, Retirement Savings for Life Act of 2005, provisions dealing with IRAs and pension reform.

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. House of Representatives
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Daniel F. C. Crowley	
Dean R. Sackett III	
Leslie Kramerich	
Donald C Auerbach	
Peter J. Gunas III	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

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Printed Name and Title Lawrence H. Manna, Executive Vice President & COO

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Registrant Name Investment Company Institute

Client Name Investment Company Institute

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code TAX - Taxation/Internal Revenue Code (one per page)

16. Specific lobbying issues

H.R. 819/S. 381, Retirement Security for Life Act of 2005, provisions related to annuities.
H.R. 1163/S. 545, Lifetime Savings Accounts
H.R. 1956, Business Activity Tax
H.R. 2121, Generating Retirement Ownership Through Long-Term Holding Act of 2005, provisions related to mutual fund capital gain distributions.
H.R. 2386, College 529 Invest in Education Savings for Tomorrow Act of 2005, provisions on 529 plans.

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. House of Representatives
U.S. Senate
U.S. Department of Treasury

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Daniel F. C. Crowley	
Dean R. Sackett III	
Leslie Kramerich	
Donald C. Auerbach	
Peter J. Gunas III	
Cathy Barre	
Keith Lawson	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

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Printed Name and Title Lawrence R. Maffia, Executive Vice President & COO

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Registrant Name Investment Company Institute

Client Name Investment Company Institute

ADDENDUM for General Lobbying Issue Area TAX

16. Specific lobbying issues (continued from previous page)

H.R. 2951, Lifetime Pension Annuity for You Act of 2005, provisions related to annuities.
S. 1112, College 529 Invest Act of 2005, provisions related to 529 plans.

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Registrant Name Investment Company Institute

Client Name Investment Company Institute

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

21. Client new principal place of business (if different than line 20)

City

State/Zip

22. New general description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is **no longer** expected to act as a lobbyist for the client

Frances

Stadler

David

Kemps

Julie

Domenick

Michael

Stern

ISSUE UPDATE

24. General lobbying issues that **no longer** pertain

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Name	Address	Principal place of Business (city and state or country)

26. Name of each previously reported organization that is **no longer** affiliated with the registrant or client

FOREIGN ENTITIES

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Owns perce client

28. Name of each previously reported foreign entity that **no longer** owns, **or** controls, **or** is affiliated with the registrant, c
affiliated organization

Signature



Date

8/5/2005

Printed Name and Title Lawrence R. Maffia, Executive Vice President & COO

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