

Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515	Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510
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SECRETARY OF THE SEN.
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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name					
.....New York Bankers Association.....					
2. Address		<input type="checkbox"/> Check if different than previously reported			
99 Park Avenue, 4th Floor, New York, NY 10016-1502					
3. Principal Place of Business (if different from line 2)					
City:		State/Zip (or Country)			
4. Contact Name		Telephone		E-mail (optional)	
.....Roberta Kotkin.....	212-297-1684.....	rkotkin@nyba.com.....	
7. Client Name		<input checked="" type="checkbox"/> Self		5. Senate ID:	
				29163	
				6. House ID #	
				314320	

TYPE OF REPORT 8. Year 2002 Midyear (January 1-June 30) OR Year End (July 1-D

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report ⇒ Termination Date _____

11. No Lobby

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000

\$10,000 or more ⇒ \$ _____
Income (nearest \$20,000)

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this period were:

Less than \$10,000

\$10,000 or more ⇒ \$ 60,000.00
Expenses (nearest \$ _____)

14. REPORTING METHOD. Check box to indicate accounting method. See instructions for descriptive

Method A. Reporting amounts using LDA de

Method B. Reporting amounts under section Internal Revenue Code

Method C. Reporting amounts under section Internal Revenue Code

Signature _____

Printed Name and Title _____

LD-2 (REV. 6/98)

Registrant Name New York Bankers Association Client Name Self

SECRET
FEB 21

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code and provide the information as requested. Attach additional page(s) as needed.

15. General issue area code BAN (one per page)

16. Specific lobbying issues Implementing regulations under the Gramm-Leach-Bliley Act, especially privacy, merchant banking, real estate brokerage & management; securities push-out provisions; Bankruptcy Reform (S.420, H.R.333); Federal budget and tax legislation (S.605, H.R.1220, H.R.1263, S.936, H.R.1836); high cost housing legislation & regulation (H.R.4250, S.2415, S.2405); regulatory burden relief legislation (S.576, H.R.3611, H.R.5640); Deposit Insurance Reform legislation (S.128, S.227, S.1945, H.R.557, H.R.746, H.R.1293, H.R.1350, H.R.1899, H.R.3717); Interest on Business Checking Accounts (S.229, S.601, H.R.974, H.R.1009); Interest on National Reserves legislation (H.R.974, H.R.1009); Small Business Administration Reauthorization Legislation (H.R.450); Regulations implementing the affiliatesharing provisions of the Fair Credit Reporting Act; Securities Fee Legislation (H.R.1088, S.143); Pension Reform legislation, H.R.10; Regulations on interstate trust activities, trust taxation and Credit System lending. (CONT'D)

- 17. House(s) of Congress and Federal agencies contacted
 - U.S. Senate
 - U.S. House of Representative
 - Federal Reserve Board
 - Federal Deposit Insurance Corporation
 - Internal Revenue Service
 - U.S. Treasury Department

- Check if None
 - Office of Thrift Supervision
 - Office of the Comptroller of the Currency
 - Farm Credit System
 - Securities and Exchange Commission
 - Small Business Administration

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Michael P. Smith	
William J. Bosies, Jr.	
Roberta Kotkin	
Karen Jannetty	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature Roberta Kotkin Date 2/12/03

Printed Name and Title Roberta Kotkin, General Counsel & Chief Administrative Officer

Form LD-2 (Rev.6/98)

Page

New York Bankers Association
Federal Lobbying Report
Year-End (July 1-December 31, 2002)

Question 16. (continued)

Privacy legislation (H.R. 2036, S.848, H.R.718, H.R.1017, S.30), Predatory Lend Legislation (H.R.2531, S.2438) and Regulation (implementing HOEPA and HMDA), Le Prohibiting Real Estate Brokerage and Management (H.R.3424, S.1839), CRA Regula anti-terrorism and anti-money laundering legislation (H.R. 3162, the USA PATRIO and regulation; Economic Stimulus legislation (H.R.3090), Terrorism Insurance L (H.R.3210,S.1743,S.1744,S.1751,S.2600), Small Business Disaster Assistance Legi (H.R.3230,S.1499), the Farm bill, including New England dairy compact provision (H.R.2646,S.1731), Risk-Based capital regulations(Basel II), Corporate Governan Legislation (H.R.3763,S.2673) and regulation, Treasury-General Government Appro (H.R.2590,S.1398), Restoring the SBA7(a) Loan Program Ceiling (S.3172)

