

Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, DC 20515

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510

SECRETARY OF
02 AUG 14 PM

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) – All Filers Are Required To Complete This Page

1. Registrant Name Hecht, Spencer & Associates			
2. Address <input type="checkbox"/> Check if different than previously reported 499 South Capitol Street, SW, #507			
3. Principal Place of Business (if different from line 2) City: Washington		State/Zip (or Country) D.C. 20003	
4. Contact Name Nelle Grauel Flanders	Telephone (202) 554-2881	E-mail (optional) N/A	5. Senate II 1796
7. Client Name <input type="checkbox"/> Self J.C. Penney Company, Inc.			6. House II 3126

TYPE OF REPORT 8. Year 2002 Midyear (January 1-June 30) OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report ⇒ Termination Date _____ 11. No Lobby

INCOME OR EXPENSES – Complete Either Line 12 OR Line 13

12. Lobbying Firms	13. Organization
<p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇒ \$ <u>40,000</u> Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p>EXPENSES relating to lobbying activities for this period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇒ Expenses (nearest \$20,000)</p> <p>14. REPORTING METHOD. Check box to indicate reporting method. See instructions for description:</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definition</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 60 Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 16 Internal Revenue Code</p>

Signature _____

Printed Name and Title _____

LD-2 (REV.6/98)

Registrant Name Hecht, Spencer & Associates Client Name J.C. Penney Company, Inc.

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which t engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each c** information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues

- H.R.1303 – To amend the Internal Revenue Code of 1986 to clarify the rules relating to lessee const allowances and to contributions to the capital of retailers – entire bill
- H.R.1410 & S.512 – Internet Tax Moratorium & Equity Act of 2001 – entire bill
- H.R.1836 – Economic Growth and Tax Relief Reconciliation Act of 2001, Title 6 – all provisions relating to pension reform
- H.R.2526 – Internet Tax Fairness Act of 2001 – entire bill
- S.664 – New Economy Tax Fairness Act of 2001 – entire bill

17. House(s) of Congress and Federal agencies contacted Check if None

- U.S. Senate
- U.S. House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<u>William H. Hecht</u>	
<u>Timothy P. Hecht</u>	
<u>Franklin C. Phifer, Jr.</u>	
<u>Rodney R. Grams</u>	<u>Former Member U.S. Senate</u>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____

Registrant Name Hecht, Spencer & Associates Client Name J.C. Penney Company, Inc.

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each issue area and provide the information as requested. Attach additional page(s) as needed.

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15. General issue area code HCR (one per page)

16. Specific lobbying issues

- H.R.2563 & S.1052 – Bipartisan Patient Protection Act of 2001 – all provisions relating to ERISA p
- H.R.2315 – Patient’s Bill of Rights Act of 2001 – all provisions relating to ERISA preemption
- H.R.2768 – Medicare Regulatory and Contracting Improvement Act of 2001
 - all provisions relating to ERISA preemption
- S.1738 – Medicare Appeals, Regulatory and Contracting Improvement Act of 2001
 - all provisions relating to ERISA preemption

17. House(s) of Congress and Federal agencies contacted

Check if None

- U.S. Senate
- U.S. House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<u>William H. Hecht</u>	
<u>Timothy P. Hecht</u>	
<u>Franklin C. Phifer, Jr.</u>	
<u>Rodney R. Grams</u>	<u>Former Member U.S. Senate</u>

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature _____ Date _____

Printed Name and Title _____

Registrant Name Hecht, Spencer & Associates Client Name J.C. Penney Company, Inc.

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15. General issue area code TRD (one per page)

16. Specific lobbying issues

H.R.3009 & S.525 – Andean Trade Promotion and Drug Eradication Act – entire bill
H.R.2149 – Trade Promotion Authority Act of 2001 – entire bill
H.R.3005 – Bipartisan Trade Promotion Authority Act of 2001 – entire bill

17. House(s) of Congress and Federal agencies contacted

Check if None

U.S. Senate
U.S. House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<u>William H. Hecht</u>	
<u>Timothy P. Hecht</u>	
<u>Franklin C. Phifer, Jr.</u>	
<u>Rodney R. Grams</u>	<u>Former Member U.S. Senate</u>

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature _____ Date _____

Registrant Name Hecht, Spencer & Associates Client Name J.C. Penney Company, Inc.

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15. General issue area code BUD (one per page)

17. Specific lobbying issues

Department of Labor, Health & Human Services & Education Appropriations FY03
– funding for 21st Century Community Learning Centers

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. Senate
U.S. House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<u>William H. Hecht</u>	
<u>Timothy P. Hecht</u>	
<u>Franklin C. Phifer, Jr.</u>	
<u>Rodney R. Grams</u>	<u>Former Member U.S. Senate</u>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title

Registrant Name **Hecht, Spencer & Associates** Client Name **J.C. Penney Company, Inc.**

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which t engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each c** information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

18. Specific lobbying issues

- H.R.3762 – Pension Security Act – all provisions relating to ERISA requirements
- S.1992 – Protecting America's Pensions Act of 2002 – all provisions relating to ERISA requirement
- S.1971 – National Employee Savings and Trust Equity Guarantee Act
– all provisions relating to ERISA requirements

17. House(s) of Congress and Federal agencies contacted Check if None

- U.S. Senate
- U.S. House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
William H. Hecht	
Timothy P. Hecht	
Franklin C. Phifer, Jr.	
Rodney R. Grams	Former Member U.S. Senate

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Form LD-2(Rev.6/98)

Registrant Name Hecht, Spencer & Associates Client Name J.C. Penney Company, Inc.

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which t engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each c** information as requested. Attach additional page(s) as needed.

15. General issue area code LBR (one per page)

19. Specific lobbying issues

All issues relating to Presidential authorities under the Taft-Hartley Act

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. Senate
U.S. House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<u>William H. Hecht</u>	
<u>Timothy P. Hecht</u>	
<u>Franklin C. Phifer, Jr.</u>	
<u>Rodney R. Grams</u>	<u>Former Member U.S. Senate</u>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature  Date August 14, 2002

Printed Name and Title Timothy F. Hecht, Vice President

Form LD-2(Rev.6/98)