

**LOBBYING REPORT**

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name CSX Corporation			
2. Address <input type="checkbox"/> Check if different than previously reported 1331 Pennsylvania Avenue, NW; Suite 560 South; Washington, DC 20004			
3. Principal Place of Business (if different from line 2) City: _____ State/Zip (or Country): _____			
4. Contact Name Shannon Mercalf	Telephone (202) 783-8124	E-mail (optional)	5. Senate ID # 11424
7. Client Name <input checked="" type="checkbox"/> Self			6. House ID # 32054000

**TYPE OF REPORT** 8. Year 1999 Midyear (January 1-June 30)  OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  → Termination Date \_\_\_\_\_

11. No Lobbying Activity

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13	
<p><b>12. Lobbying Firms</b></p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> → \$ _____  <small>(Income (nearest \$20,000))</small></p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p><b>13. Organizations</b></p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> → \$ <u>1,000,000</u>  <small>Expenses (nearest \$20,000)</small></p> <p><b>14. REPORTING METHOD.</b> Check box to indicate expense accounting method. See instructions for description of options.</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definitions only</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code</p> <p><input checked="" type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code</p>

Signature Arnold I. Havens

Printed Name and Title Arnold I. Havens; Vice President - Federal Affairs

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code   MAR   (one per page)

16. Specific lobbying issues

- S.1217 – Commerce, Justice, State Appropriations Bill - Maritime Security Program
- H.R.1260 & S.1947 – Support for Harbor Investment Program Act (Harbor Dredging Tax)
- H.R.2159 -- United States-Flag Merchant Marine Revitalization Act of 1999 (Capital Construction Fund)
- H.R.265 – Shipping Income Reform Act of 1999
- S.1032 & H.R.248 – Freedom To Transport Act of 1999 (Jones Act - Domestic Maritime Cabotage)
- S.920 & H.R.819 – Federal Maritime Commission Authorization
- S.507 & H.R.1480 – Water Resources Development Authorization

17. House(s) of Congress and Federal agencies contacted  Check if None

United States House of Representatives  
United States Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Peter J. Finnerty Vice President, Maritime Affairs		<input type="checkbox"/>
Arnold I. Havens Vice President, Federal Affairs		<input type="checkbox"/>
Diane S. Liebman Vice President, Railroad Federal Affairs		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if none

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

17. General issue area code MAR (cont.) (one per page)

18. Specific lobbying issues

- S.1089 & H.R.820 -- Coast Guard Authorization
- S.937 & H.R.1401 -- Maritime Administration Authorization Act for Fiscal Years 2000 and 2001
- Ocean Shipping Reform Act of 1998 and Ocean Carrier Immunity
- S.1059 -- National Defense Authorization Act for Fiscal Year 2000
- H.R.3225 & S.1858 -- National Security Sealift Enhancement Act of 1999
- Sale of Sea-Land, Inc. to Maersk

17. House(s) of Congress and Federal agencies contacted  Check if None

United States House of Representatives  
 United States Senate  
 Maritime Administration

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Peter J. Finnerty Vice President, Maritime Affairs		<input checked="" type="checkbox"/>
Arnold I. Havens Vice President, Federal Affairs		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if none

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_

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15. General issue area code RRR (one per page)

16. Specific lobbying issues

- S.621& H.R.2784 -- Railroad Competition and Service Improvement Act
- S.747 -- The Surface Transportation Board Reauthorization and Improvement Act
- S.98 -- The Surface Transportation Board Reauthorization Act of 1999
- H.R.2450 -- Federal Rail Safety Act
- H.R. 2450 -- Railroad Safety Reform Act of 1999
- S.712 -- Look, Listen and Live Stamp Act (money for Operation Lifesaver)
- Executive Actions -- Surface Transportation Board Nominations; CSX Merger issues

17. House(s) of Congress and Federal agencies contacted  Check if None

- United States House of Representatives
- United States Senate
- Department of Transportation
- The White House

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Arnold E. Havens Vice President, Federal Affairs		<input type="checkbox"/>
Diane S. Liebman Vice President, Railroad Federal Affairs		<input type="checkbox"/>
Michael R. Smythers Director, Federal Affairs		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if none

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_

Registrant Name: **CSX Corporation**

Client Name: **Self**

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

17. General issue area code RRR (Cont.) (one per page)

18. Specific lobbying issues

- S.1590 -- Surface Transportation Board Improvement Act of 1999
- H.R.3163 -- Surface Transportation Board Reauthorization Act of 1999, DOT's STB Reauthorization legislation
- H.R.3398 -- Surface Transportation Board Modernization Act
- H.R. 3446 -- Surface Transportation Board Reform Act of 1999
- H.R. 3091 -- To Provide for the Protection of Train Employees

17. House(s) of Congress and Federal agencies contacted  Check if None

- United States House of Representatives
- United States Senate
- Department of Transportation
- The White House

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Arnold I. Havens		<input type="checkbox"/>
Vice President, Federal Affairs		<input type="checkbox"/>
Diane S. Liebman		<input type="checkbox"/>
Vice President, Railroad Federal Affairs		<input type="checkbox"/>
Michael R. Smythers		<input type="checkbox"/>
Director, Federal Affairs		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if none

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_

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15. General issue area code TRD (one per page)

16. Specific lobbying issues

- S.371 & H.R.984 -- Central American and Caribbean Relief Act
- S.262 & H.R.435 -- Miscellaneous Trade and Technical Corrections Act of 1999
- H. J. RES. 57 & S. J. Res 27 -- Normal Trade Relations with China

17. House(s) of Congress and Federal agencies contacted  Check if None

- U.S. House of Representatives
- United States Senate
- United States Trade Representative

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Peter J. Finnerty Vice President, Maritime Affairs		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if none

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_

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15. General issue area code TAX (one per page)

16. Specific lobbying issues

S.820 & H.R. 1001 -- Transportation Tax Equity and Fairness Act; Repeal of the 4.3 cent motor fuel excise tax on railroads and inland waterway transportation
H.R.2060 -- Railway Safety and Funding Equity Act of 1999

17. House(s) of Congress and Federal agencies contacted [ ] Check if None

U.S. House of Representatives
United States Senate

18. Name of each individual who acted as a lobbyist in this issue area

Table with 3 columns: Name, Covered Official Position (if applicable), New. Rows include Arnold J. Havens, Diane S. Liebman, Michael R. Smythers.

19. Interest of each foreign entity in the specific issues listed on line 16 above [ ] Check if none

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_

Registrant Name: **CSX Corporation**

Client Name: **Self**

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15. General issue area code TOR (one per page)

16. Specific lobbying issues

**H.R.1875 & S.353 – Interstate Class Action Jurisdiction Act of 1999**

**H.R. 1283 & S.758 – Fairness in Asbestos Compensation Act of 1999**

17. House(s) of Congress and Federal agencies contacted  Check if None

United States House of Representatives  
United States Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Diane S. Liebman Vice President, Railroad Federal Affairs		<input type="checkbox"/>
Michael R. Smythers Director, Federal Affairs		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if none

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_

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15. General issue area code NAT (one per page)

16. Specific lobbying issues

**Mountaintop Mining moratorium offered by Senators Byrd and McConnell**

17. House(s) of Congress and Federal agencies contacted  Check if None

United States Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Arnold I. Havens Vice President, Federal Affairs		<input type="checkbox"/>
Diane S. Liebman Vice President, Railroad Federal Affairs		<input type="checkbox"/>
Michael R. Smythers Director, Federal Affairs		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if none

Signature *Arnold I. Havens* Date 2/11/00  
 Printed Name and Title Arnold I. Havens, Vice President - Federal Affairs