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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name Charles Schwab & Co., Inc.			
2. Address <input type="checkbox"/> Check if different than previously reported 555 12th Street, NW Suite 740			
3. Principal Place of Business (if different from line 2) City: Washington State/Zip (or Country) DC 20004			
4. Contact Name Marianne Kerin	Telephone (202) 638-3750	E-mail (optional) marianne.kerin@schwab.co	5. Senate ID # 3463
7. Client Name <input checked="" type="checkbox"/> Self			6. House ID # 3395

TYPE OF REPORT 8. Year 2002 Midyear (January 1-June 30) ☒ OR Year End (July 1-Dec)

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ Termination Date _____

11. No Lobbying

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

<p>12. Lobbying Firms</p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇒ \$ _____ Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p>13. Organizations</p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇒ \$ <u>460,000.00</u> Expenses (nearest \$20,000)</p> <p>14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description of</p> <p><input checked="" type="checkbox"/> Method A. Reporting amounts using LDA definition</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 602 Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 16 Internal Revenue Code</p>
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Signature

Printed Name and Title Frank J. Kelly, Senior Vice President & Head, Government Affairs

-

Registrant Name Charles Schwab & Co., Inc. Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code CSP (one per page)

16. Specific lobbying issues

HR 718, Unsolicited Commercial Electronic Mail Act of 2001
 HR 1017, Anti-Spamming Act of 2001
 HR 4678, Consumer Privacy Protection Act of 2002
 S 2201, Online Personal Privacy Act
 S 630, CAN SPAM Act of 2002

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U.S. House of Representatives
 U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Frank Kelly	
Kim Quish (formerly Kim Famiglietti)	
Michael Townsend	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature

Frank J. Kelly

Date 8/14/2002

Printed Name and Title

Frank J. Kelly, Senior Vice President & Head, Government Affairs

-

Registrant Name Charles Schwab & Co., Inc. Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific lobbying issues

HR 168, To amend the Internal Revenue Code of 1986 to allow individuals an exclusion from gross income for certain amounts of capital gains distributions from regulated investment companies.
 HR 3763, Corporate Auditing Accountability, Responsibility and Transparency Act of 2202
 HR 3162, Uniting and Strengthening America by Providing Appropriate Tools Required to Intercept and Obstruct Terrorism (USA Patriot Act) of 2001
 HR 3529, Economic Security and Worker Assistance Act
 HR 3529, Economic Security and Worker Assistance Act
 HR 1017, Anti-Spamming Act of 2001
 HR 4076, Consumer Privacy Protection Act of 2002

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U.S. House of Representatives
 U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Frank Kelly	
Kim Quish (formerly Kim Famiglietti)	
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☒ Check if None

Signature

Frank J. Kelly

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Printed Name and Title

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15. General issue area code FIN (one per page)

16. Specific lobbying issues

S 2673, Public Company Accounting Reform and Investor Protection Act of 2002
S 2201, Online Personal Privacy Act
S 630, CAN SPAM Act of 2002

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U.S. House of Representatives
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name

Covered Official Position (if applicable)

Frank Kelly

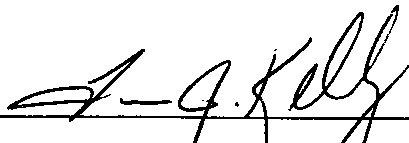
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Signature



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