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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name Ryan, Phillips, Utrecht, & MacKinnon			
2. Address <input type="checkbox"/> Check if different than previously reported 1133 Connecticut Avenue, NW, Suite 300			
3. Principal Place of Business (if different from line 2) City: Washington State/Zip (or Country) DC 20036			
4. Contact Name Rodney Hoppe	Telephone 202-293-1177	E-mail (optional)	5. Senate ID
7. Client Name <input type="checkbox"/> Self New York Stock Exchange			6. House ID 30680

TYPE OF REPORT 8. Year 2003 Midyear (January 1-June 30) OR Year End (July 1-Dec)

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☒ Termination Date 12/01/03 11. No Lobbying

INCOME OR EXPENSES – Complete Either Line 12 OR Line 13

12. Lobbying Firms
INCOME relating to lobbying activities for this reporting period was:
Less than \$10,000 ☐
\$10,000 or more ☒ \$ 40,000
Income (nearest \$20,000)
Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations
EXPENSES relating to lobbying activities for this reporting period were:
Less than \$10,000 ☐
\$10,000 or more ☐ \$ 0
Expenses (nearest \$20,000)

14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description of methods.
☐ **Method A.** Reporting amounts using LDA definition
☐ **Method B.** Reporting amounts under section 6011 Internal Revenue Code
☐ **Method C.** Reporting amounts under section 162 Internal Revenue Code

Signature

Rodney C. Hoppe

Date

2/17/04

Printed Name and Title ✓ Rodney Hoppe, Partner

LD-2 (REV. 6/98)

P/

Registrant Name Ryan, Phillips, Utrecht & MacKinnonClient Name New York Stock Exchange

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific lobbying issues

Issues related to regulation of the nations securities markets

17. House(s) of Congress and Federal Agencies contacted

☐ Check if None

U.S. House

U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Matt Berzok	
Rodney Hoppe	
Jeffrey M. MacKinnon	
William D. Phillips	
Mark Planning	
Thomas M. Ryan	
Joseph V. Vasapoli	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature

Date

Printed Name and Title Rodney Hoppe- Partner

