

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

VANDERBILT UNIV

2. Address:

405 Kirkland Hall, Nashville, TN 37240

3. Principal place of business (if different from line 2):

4. Contact Name: JENNIE MCCLENDON

Telephone: 6153432574

E-mail (optional): jennie.mcclendon@vanderbilt.edu

Senate ID #: 39861-24

House ID #: 301690000

7. Client Name: ☒ Self

TYPE OF REPORT

8. Year 2007 Midyear (January 1 - June 30): ☒ **OR** Year End (July 1 - December 31): ☐

9. Check if this filing amends a previously filed version of this report: ☐

10. Check if this is a Termination Report: ☐ => Termination Date: 11. No Lobbying Activity: ☐

INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000: ☐

\$10,000 or more: ☐ => Income (nearest \$20,000): _____

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than \$10,000: ☐

\$10,000 or more: ☒ => Expenses (nearest \$20,000): 120,000.00

14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

☐ **Method A.** Reporting amounts using LDA definitions only

☒ **Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code

☐ **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

Registrant Name: VANDERBILT UNIV Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: BUD (one per page)

16. Specific lobbying issues:

Defense basic research authorization funding National Endowment for the Humanities funding Department of Defense basic research programs National Institutes of Health funding Financial aid funding NSF funding in the FY 07 continuing resolution Innovation and competitiveness legislation NASA and NSF appropriations Education research funding 2007 Emergency Supplemental Spending bill NIH and HHS program funding FY 08 Federal funding of Embryonic Stem Cell Research NEH funding Medical Free Electron Laser funding Higher education reconciliation legislation HEA reauthorization and funding in FY 08 appropriations for student aid programs

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BASS, MEL

Covered Official Position (if applicable): DIRECTOR, FEDERAL RELATIONS - MEDICAL CENTER

Name: VINCENT, GEOFF

Covered Official Position (if applicable): ASST VICE CHANCELLOR, FEDERAL RELATIONS

Name: WEST, CHRISTINA

Covered Official Position (if applicable): ASSOCIATE DIRECTOR, FEDERAL RELATIONS

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: VANDERBILT UNIV Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: CPT (one per page)

16. Specific lobbying issues:

Patent legislation/reform Illegal downloading

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BASS, MEL

Covered Official Position (if applicable): DIRECTOR, FEDERAL RELATIONS - MEDICAL CENTER

Name: VINCENT, GEOFF

Covered Official Position (if applicable): ASST VICE CHANCELLOR, FEDERAL RELATIONS

Name: WEST, CHRISTINA

Covered Official Position (if applicable): ASSOCIATE DIRECTOR, FEDERAL RELATIONS

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: VANDERBILT UNIV Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: DEF (one per page)

16. Specific lobbying issues:

Medical Free Electron Laser funding Defense basic research authorization funding Department of Defense basic research programs Innovation-competitiveness legislation

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: VINCENT, GEOFF

Covered Official Position (if applicable): ASST VICE CHANCELLOR, FEDERAL RELATIONS

Name: WEST, CHRISTINA

Covered Official Position (if applicable): ASSOCIATE DIRECTOR, FEDERAL RELATIONS

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: VANDERBILT UNIV Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: EDU (one per page)

16. Specific lobbying issues:

Student loan issues (preferred vendor lists and school as lender program) Research aimed at students with development disabilities National Endowment for the Humanities funding Priorities for student financial aid Student safety legislation College accreditation proposals Education research HR 1469 - Paul Simon Study Abroad Act - support request Higher education reconciliation legislation HEA bill concerns HEA reauthorization and funding in FY 08 appropriations for student aid programs

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: VINCENT, GEOFF

Covered Official Position (if applicable): ASST VICE CHANCELLOR, FEDERAL RELATIONS

Name: WEST, CHRISTINA

Covered Official Position (if applicable): ASSOCIATE DIRECTOR, FEDERAL RELATIONS

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: VANDERBILT UNIV Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: HOM (one per page)

16. Specific lobbying issues:

Potential collaboration with Nashville firm on DHS research opportunities

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: VINCENT, GEOFF

Covered Official Position (if applicable): ASST VICE CHANCELLOR, FEDERAL RELATIONS

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: VANDERBILT UNIV Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: IMM (one per page)

16. Specific lobbying issues:

VISA provisions included in immigration bill

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: WEST, CHRISTINA

Covered Official Position (if applicable): ASSOCIATE DIRECTOR, FEDERAL RELATIONS

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: VANDERBILT UNIV Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: LBR (one per page)

16. Specific lobbying issues:

Living wages for campus employees

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area:

Name: WEST, CHRISTINA

Covered Official Position (if applicable): ASSOCIATE DIRECTOR, FEDERAL RELATIONS

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: VANDERBILT UNIV Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: MED (one per page)

16. Specific lobbying issues:

Federal Funding of Embryonic Stem Cell Research University research and appropriations Budget Resolution for FY 08 (NIH and HHS)

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BASS, MEL

Covered Official Position (if applicable): DIRECTOR, FEDERAL RELATIONS - MEDICAL CENTER

Name: VINCENT, GEOFF

Covered Official Position (if applicable): ASST VICE CHANCELLOR, FEDERAL RELATIONS

Name: WEST, CHRISTINA

Covered Official Position (if applicable): ASSOCIATE DIRECTOR, FEDERAL RELATIONS

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: VANDERBILT UNIV Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: MMM (one per page)

16. Specific lobbying issues:

2007 Emergency Supplemental Spending Bill Legislation efforts to protect the original District Court Judgment obtained in Cookeville case (Medicare DSH)

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BASS, MEL

Covered Official Position (if applicable): DIRECTOR, FEDERAL RELATIONS - MEDICAL CENTER

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: VANDERBILT UNIV Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: SCI (one per page)

16. Specific lobbying issues:

Innovation-competitiveness legislation NASA/NSF appropriations Support for HR 1867 (NSF Reauthorization) and opposition to Campbell & Garrett Amendments Research funding priorities NSF/DOE funding - FY 07 continuing resolution Defense basic research

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: VINCENT, GEOFF

Covered Official Position (if applicable): ASST VICE CHANCELLOR, FEDERAL RELATIONS

Name: WEST, CHRISTINA

Covered Official Position (if applicable): ASSOCIATE DIRECTOR, FEDERAL RELATIONS

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: VANDERBILT UNIV Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TAX (one per page)

16. Specific lobbying issues:

Opposition to Kyl amendment to Minimum Wage Bill (HR 2)

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: VINCENT, GEOFF

Covered Official Position (if applicable): ASST VICE CHANCELLOR, FEDERAL RELATIONS

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Signature: ON FILE Date: Aug 08, 2007

Printed Name and Title: MICHAEL J. SCHOENFELD, VICE CHANCELLOR, PUBLIC AFF -

Information Update Page:

Complete ONLY where registration information has changed.

LOBBYIST UPDATE

23. Name of each previously reported individual who is NO LONGER expected to act as a lobbyist for the client

ISSUE UPDATE

24. General lobbying issues previously reported that NO LONGER pertain

AFFILIATED ORGANIZATIONS

25. Add the following organization(s)

26. Name of each previously reported organization that is NO LONGER affiliated with the registrant or client

FOREIGN ENTITIES

27. Add the following foreign entities

28. Name of each previously reported foreign entity the NO LONGER owns, OR controls, OR is affiliated with the registrant, client or affiliated organization

Signature: ON FILE Date: Aug 08, 2007

Printed Name and Title: -