Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515 Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510

SECRETARY OF THE SEMALE

## **LOBBYING REPORT**

05 FEB 14 PM 4: 35

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

Registrant Name  Clark & Weinstock	
2. Registrant Address	ouite 410 South OC 20005
3. Principal Place of Business (if different from line 2)  City New York, State/Zip (or Country)	NY 10017
i, Commer i varia	(optional) 5. Senate ID # 9443-2055
7. Client Name  Self  Investment Company Institute	6. House ID # 316-98135
10. Check if this is a Termination Report   NCOME OR EXPENSES - Complete Either	Line 12 OR Line 13
12. Lobbying Firms  INCOME relating to lobbying activities for this reporting period was:  Less than \$10,000 □	13. Organizations  EXPENSES relating to lobbying activities for this reperiod were:  Less than \$10,000 □
\$10,000 or more  >> \$\frac{\$10,000.00}{\text{lncome (nearest \$20,000)}}\$  Provide a good faith estimate, rounded to the nearest \$20,000 of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	\$10,000 or more

Signature		<del></del>	 	
Printed Name and Title	Vin Weber - Partner		 	P

Regis	strant Name:	Clark & V	Veinstock	<u></u>				
Clier	nt Name:	Investmen	t Company	Institute	<u> </u>			
enga	ged in lobbyin	g on behalf	of the client	codes as necessa during the repor page(s) as need	ting period. Usi	e general iss ing a separ	sue areas in wl	nich the registrant each code, provide
15.	General issue	area code	BAN	(one per page	e)			
16.	Specific Lobbying issues Independent Director issues, specifically those contained in H.R. 2420, Mutual Funds Integrity and Fee Tra of 2003							
17.	House(s) of C Senate	Congress and	l Federal age	encies contacted			☐ Check if No	one
18.	Name of each	h individual	who acted as	s a lobbyist in th	is issue area			
	Name				Covered	Official Po	osition (if appl	icable)
	Bonham, Ko	ent		<u> </u>			<u> </u>	·
	Fazio, Vic							
	Godes, Niles	5	· <u>·</u>					<u></u>
	Kutler, Ed							
	Weber, Vin						· · · · · · · · · · · · · · · · · · ·	
		<u> </u>				<u></u>	<u></u>	
				<u> </u>				
19.	Interest of ea	ach foreign e	entity in the s	specific issues li	sted on line 16 a	above	<b>⊠</b> Checl	k if None

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Date 2/11/2005

Signature	V	<u>,                                      </u>	
Printed Name and Title	Vin Weber - Partner		I