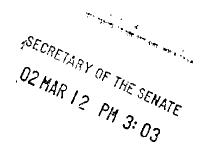
Clerk of the House of Representatives Secretary of the Senate Legislative Resource Center B-106 Cannon Building Washington, DC 20515

Office of Public Records 232 Hart Building Washington, DC 20510



## **LOBBYING REPORT**

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

Registrant Name     Rockwell Collins, Inc.	
Address Check if different than previously reported     400 Collins Road NE, Cedar Rapids, IA 52498-0001	
Principal Place of Business (if different from line 2)  City: State/2	Cip (or Country)
4. Contact Name Telephone  M. K. McDonald (703) 516-8230	E-mail (optional) 5. Senate ID#
7. Client Name  Self  Rockwell Automation	6. House ID# 356710
TYPE OF REPORT 8. Year 2001 Midyear 9. Check if this filing amends a previously filed version of this 10. Check if this is a Termination Report □ ⇒ Termination INCOME OR EXPENSES - Complete Either	Date 11. No Lobbying A
12. Lobbying Firms	13. Organizations
INCOME relating to lobbying activities for this reporting period was:  Less than \$10,000   \$10,000 or more   \$\simega \sum_{\text{location}} \frac{\$102,000.00}{\text{location}}\$  Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	EXPENSES relating to lobbying activities for this report period were:  Less than \$10,000
Signature MAHO meld	
Printed Name and Title M: K: MeDonald, Vice Pre	sident, Government Operations

Registrant Name Rockwell Collins, Inc.	Client Name	Rockwell Automatio
LOBBYING ACTIVITY. Select as many codes as necess engaged in lobbying on behalf of the client during the report information as requested. Attach additional page(s) as needed	rting period. Using a s	ral issue areas in which t eparate page for each c
15. General issue area codeCHM (one per page	ge)	
16. Specific lobbying issues		
Chemical Demilitarization Treaty Defense Authorization and Appropriations		
17. House(s) of Congress and Federal agencies contacts	☐ Check if N	one
U.S. House of Representatives U.S. Senate Department of Defense		·
18. Name of each individual who acted as a lobbyist in this i	ssue area.	
	red Official Position (if applicab	ole)
Martha L. Cogdell		
Michael K. McDonald		
		-
19. Interest of each foreign entity in the specific issues listed	l on line 16 above.	
Signature	Date	February 11, 2002
Printed Name and Title Michael K. McDonald, Vice Pre	esident, Government Op	perations
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Registrant Name	Rockwell Collins, Inc.	Client Name	Rockwell Automatio
engaged in lobbyi	TIVITY. Select as many codes as ring on behalf of the client during the uested. Attach additional page(s) as r	reporting period. Using a	eral issue areas in which t separate page for each c
15. General issue	area code CPT (one p	er page)	
16. Specific lobby	ying issues		
Departments of Co Patent Office Ope Patent Reform	ommerce Authorization and Appropri rations	ations	
17. House(s) of C	Congress and Federal agencies contact	s Check if N	None
U.S. House of Rep	presentatives		
U.S. Senate	mmerce, Patent and Trademark Office	_	
Department of Co	inneree, I atent and Tragemark Office	5	
18. Name of each	individual who acted as a lobbyist in		
<del></del>	Name	Covered Official Position (if applica	ible)
Linda C. Sadler	- Louis - Loui		
Michael K. McDona	ald	A	
	<u> </u>		
		-	
19. Interest of eac	ch foreign entity in the specific issues	listed on line 16 above.	☐ Check if None
Signature		Date	February 11, 2002
Printed Name and	Title Michael K. McDonald Via	ce President, Government O	nerations
Form LD-2 (Rev. 6/98)	The second of the		
== (1.07.070)			Page <u>3</u>

Registrant Name Rockwell Collins, Inc.	Client Name	Rockwell Automatic
LOBBYING ACTIVITY. Select as many codes as necesting aged in lobbying on behalf of the client during the reponsion as requested. Attach additional page(s) as need	orting period. Using a se	al issue areas in which te eparate page for each o
5. General issue area code ENG (one per pa	age)	
6. Specific lobbying issues		
Department of Energy Budget Renewable Energy & Conservation Programs		
7. House(s) of Congress and Federal agencies contacts	Check if No	one
J.S. House of Representatives J.S. Senate		
Department of Energy		
	vered Official Position (if applicable	e)
Martha L. Cogdell		
Michael K. McDonald		N1.
9. Interest of each foreign entity in the specific issues liste	ed on line 16 above.	☐ Check if None
Signature	Date	February 11, 2002
Printed Name and Title Michael K. McDonald, Vice Printed Name and Title	resident, Government Op	<u> </u>

Registrant Name	Rockwell Collins, Inc.	<u> </u>	Client Name	Rockwell Automatic
engaged in lobbying	FIVITY. Select as many coding on behalf of the client during uested. Attach additional page	ng the reporting per	eflect the generation. Using a se	al issue areas in which to parate page for each o
15. General issue	area code ENV	(one per page)		
16. Specific lobby	ring issues			
Superfund Reform Waste Remediatio Trade & Environm Clean Water Act n products and mach MP&M Rule (EPA	n —Recycling nent ational effluent limitations gui ninery facilities.	delines and pretreati	nent standards f	or wastewater discharge
17. House(s) of C	ongress and Federal agencies of	contacts	Check if No	one
U.S. House of Rep	presentatives			
U.S. Senate Environmental Pro	staction Agancy			
	Name	Covered Officia	l Position (if applicabl	e)
Martha L. Cogdell			49-44	
Michael K. McDona	ıld			
			<u> </u>	
19. Interest of eac	h foreign entity in the specific	issues listed on line	16 above.	
Signature			Date	February 11, 2002
Printed Name and	Title Michael K. McDon	ald, Vice President,	Government Op	erations
Form LD-2 (Rev. 6/98)			<del></del>	Page_
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Registrant Name Rockwell Collins, Inc.	Client Name	Rockwell Automation
LOBBYING ACTIVITY. Select as many codes as engaged in lobbying on behalf of the client during the information as requested. Attach additional page(s) as	reporting period. Using a s	ral issue areas in which the separate page for each co
15. General issue area code HCR (one	per page)	
16. Specific lobbying issues		
Health Care (numerous bills — Patients' Bill of Right Section 127 – Education Reimbursement	s, Mental Health Parity, Med	icare Reform)
Medical Confidentiality Genetic Discrimination Prescription Drug Benefits Stock Options / Stock Purchase		
17. House(s) of Congress and Federal agencies contac	ts Check if N	None
U.S. House of Representatives U.S. Senate Department of Labor Department of Health and Human Services		
18. Name of each individual who acted as a lobbyist in	1 this issue area.	
Name	Covered Official Position (if applica	ble) N
Martha L. Cogdell		
Michael K. McDonald		
		·
19. Interest of each foreign entity in the specific issues	s listed on line 16 above.	Check if None
Signature	Date _	February 11, 2002
Printed Name and Title Michael K. McDonald, V	ice President, Government O	perations
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Registrant Name Rockwell Collins, Inc.	Client Name	Rockwell Automatic
LOBBYING ACTIVITY. Select as many codes a engaged in lobbying on behalf of the client during t information as requested. Attach additional page(s) a	he reporting period. Using a se	al issue areas in which teparate page for each c
15. General issue area code IMM (one	e per page)	
16. Specific lobbying issues		
Personnel Mobility Spousal Work Permits		
17. House(s) of Congress and Federal agencies conta	acts	one
U.S. House of Representatives U.S. Senate U.S. Trade Representative		
8. Name of each individual who acted as a lobbyist i	in this issue area.  Covered Official Position (if applicable	е)
Martha L. Cogdell		
Michael K. McDonald		
		<del>.</del>
	- · •	
19. Interest of each foreign entity in the specific issu	es listed on line 16 above.	☐ Check if None
Signature	Date	February 11, 2002
Printed Name and Title Michael K. McDonald,	Vice President, Government Ope	erations
Form LD-2 (Rev. 6/98)		Page

Registrant Name Rockwell Collins, Inc.	Client Name	Rockwell Automatic
LOBBYING ACTIVITY. Select as many codes as nece engaged in lobbying on behalf of the client during the rep information as requested. Attach additional page(s) as need	porting period. Using a	eral issue areas in which separate page for each
15. General issue area code LBR (one per p	page)	
16. Specific lobbying issues		
National Labor Relations Board Reform Fair Labor Standards Act Reform Pay Equity Ergonomic Regulations Family Medical Leave Act		
17. House(s) of Congress and Federal agencies contacts	Check if I	None
U.S. House of Representatives U.S. Senate Department of Labor		
	overed Official Position (if applica	able)
Martha L. Cogdell  Michael K. McDonald		1
Wichael K. Webonald		
19. Interest of each foreign entity in the specific issues list	ed on line 16 above.	
Signature	Date _	February 11, 2002
Printed Name and Title Michael K. McDonald, Vice F	President, Government O	perations
Form LD-2 (Rev. 6/98)		Page _

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Registrant Name	Rockwell C	ollins, Inc.		Client Name	Rockwell Automatio
	ing on behalf o	of the client	during the reporting		al issue areas in which t eparate page for each c
15. General issue	area code _	RET	(one per page)		
16. Specific lobby	ying issues				
Pension Reform Social Security Re Prescription Drug					
17. House(s) of C	Congress and F	ederal agenc	ies contacts	Check if No	one
U.S. House of Rep U.S. Senate	presentatives				
18. Name of each	ı individual wh	no acted as a	lobbyist in this issue	area.	
	Name		Covered O	fficial Position (if applicab	le)
Martha L. Cogdell					
Michael K. McDon	ald				
	<u> </u>				
19. Interest of each	ch foreign enti	ty in the spec	cific issues listed on	line 16 above.	☐ Check if None
Signature				Date	February 11, 2002
Printed Name and	l Title Mi	chael K. Mcl	Donald, Vice Preside	ent, Government Op	erations
Form LD-2 (Rev. 6/98)				1	Page

Registrant Name	Rockwell Collins, Inc.	Client Name	Rockwell Automation
engaged in lobbyi	<b>FIVITY.</b> Select as many codes ng on behalf of the client during uested. Attach additional page(s)	the reporting period. Using a s	ral issue areas in which the eparate page for each co
15. General issue	area code <u>TRD</u> (o	ne per page)	
16. Specific lobby	ring issues		
Trade Promotion A Export Controls Renewal of Expor Trade Agreements Trade Financing Sanctions	t Administration Act		
17. House(s) of C	ongress and Federal agencies cor	ntacts	one
U.S. House of Rep U.S. Senate The White House United States Trad Department of Sta Department of Con Export-Import Ban 18. Name of each	le Representative te mmerce	st in this issue area.	
	Name	Covered Official Position (if applicate	vle) N
Martha L. Cogdell			
Michael K. McDona	ld		
19. Interest of eac	h foreign entity in the specific iss	ues listed on line 16 above.	Check if None
Signature		Date	February 11, 2002
Printed Name and	Title Michael K. McDonald	, Vice President, Government Op	perations
Form LD-2 (Rev. 6/98)			Page 1

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