

Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, DC 20515

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510

SECRETARY OF THE SENATE
02 MAR 12 PM 3:03

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name Rockwell Collins, Inc.			
2. Address <input type="checkbox"/> Check if different than previously reported 400 Collins Road NE, Cedar Rapids, IA 52498-0001			
3. Principal Place of Business (if different from line 2) City: _____ State/Zip (or Country) _____			
4. Contact Name M. K. McDonald		Telephone (703) 516-8230	E-mail (optional)
7. Client Name <input type="checkbox"/> Self Rockwell Automation		5. Senate ID # 68296- 6. House ID # 356710	

TYPE OF REPORT 8. Year 2001 Midyear (January 1-June 30) ☐ OR Year End (July 1-December 31) ☐

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ ⇒ Termination Date _____

11. No Lobbying Activities ☐

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms	13. Organizations
INCOME relating to lobbying activities for this reporting period was:	EXPENSES relating to lobbying activities for this reporting period were:
Less than \$10,000 <input type="checkbox"/>	Less than \$10,000 <input type="checkbox"/>
\$10,000 or more <input checked="" type="checkbox"/> ⇒ \$ <u>\$102,000.00</u> Income (nearest \$20,000)	\$10,000 or more <input type="checkbox"/> ⇒ \$ _____ Expenses (nearest \$20,000)
Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description of method.
	<input type="checkbox"/> Method A. Reporting amounts using LDA definition
	<input type="checkbox"/> Method B. Reporting amounts under section 6033(c) Internal Revenue Code
	<input type="checkbox"/> Method C. Reporting amounts under section 162(e) Internal Revenue Code

Signature

Printed Name and Title

M. K. McDonald, Vice President, Government Operations



Registrant Name Rockwell Collins, Inc.

Client Name Rockwell Automation

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant was engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code.** Provide information as requested. Attach additional page(s) as needed.

15. General issue area code CHM (one per page)

16. Specific lobbying issues

Chemical Demilitarization Treaty
Defense Authorization and Appropriations

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives
U.S. Senate
Department of Defense

18. Name of each individual who acted as a lobbyist in this issue area.

Name	Covered Official Position (if applicable)
Martha L. Cogdell	
Michael K. McDonald	

19. Interest of each foreign entity in the specific issues listed on line 16 above. ☒ Check if None

Signature _____ Date February 11, 2002

Printed Name and Title Michael K. McDonald, Vice President, Government Operations

[REDACTED]

Registrant Name Rockwell Collins, Inc.

Client Name Rockwell Automation

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant was engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code CPT (one per page)

16. Specific lobbying issues

Departments of Commerce Authorization and Appropriations

Patent Office Operations

Patent Reform

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives

U.S. Senate

Department of Commerce, Patent and Trademark Office

18. Name of each individual who acted as a lobbyist in this issue area.

Name	Covered Official Position (if applicable)
Linda C. Sadler	
Michael K. McDonald	

19. Interest of each foreign entity in the specific issues listed on line 16 above. ☒ Check if None

Signature _____ Date February 11, 2002

Printed Name and Title Michael K. McDonald, Vice President, Government Operations

[REDACTED]

Registrant Name Rockwell Collins, Inc.

Client Name Rockwell Automation

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant was engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code ENG (one per page)

16. Specific lobbying issues

Department of Energy Budget
Renewable Energy & Conservation Programs

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives
U.S. Senate
Department of Energy

18. Name of each individual who acted as a lobbyist in this issue area.

Name	Covered Official Position (if applicable)	N
Martha L. Cogdell		
Michael K. McDonald		

19. Interest of each foreign entity in the specific issues listed on line 16 above. ☒ Check if None

Signature _____ Date February 11, 2002

Printed Name and Title Michael K. McDonald, Vice President, Government Operations

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Registrant Name Rockwell Collins, Inc.

Client Name Rockwell Automation

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15. General issue area code ENV (one per page)

16. Specific lobbying issues

Superfund Reform

Waste Remediation —Recycling

Trade & Environment

Clean Water Act national effluent limitations guidelines and pretreatment standards for wastewater discharges

products and machinery facilities.

MP&M Rule (EPA Proposal)

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives

U.S. Senate

Environmental Protection Agency

18. Name of each individual who acted as a lobbyist in this issue area.

Name	Covered Official Position (if applicable)	N
Martha L. Cogdell		
Michael K. McDonald		

19. Interest of each foreign entity in the specific issues listed on line 16 above. ☒ Check if None

Signature _____ Date February 11, 2002

Printed Name and Title Michael K. McDonald, Vice President, Government Operations

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Registrant Name Rockwell Collins, Inc.

Client Name Rockwell Automation

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15. General issue area code HCR (one per page)

16. Specific lobbying issues

Health Care (numerous bills — Patients' Bill of Rights, Mental Health Parity, Medicare Reform)
Section 127 – Education Reimbursement

Medical Confidentiality
Genetic Discrimination
Prescription Drug Benefits
Stock Options / Stock Purchase

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives
U.S. Senate
Department of Labor
Department of Health and Human Services

18. Name of each individual who acted as a lobbyist in this issue area.

Name	Covered Official Position (if applicable)
Martha L. Cogdell	
Michael K. McDonald	

19. Interest of each foreign entity in the specific issues listed on line 16 above. ☒ Check if None

Signature _____ Date February 11, 2002

Printed Name and Title Michael K. McDonald, Vice President, Government Operations

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Registrant Name Rockwell Collins, Inc.

Client Name Rockwell Automation

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15. General issue area code IMM (one per page)

16. Specific lobbying issues

Personnel Mobility
Spousal Work Permits

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives

U.S. Senate

U.S. Trade Representative

8. Name of each individual who acted as a lobbyist in this issue area.

Name	Covered Official Position (if applicable)
Martha L. Cogdell	
Michael K. McDonald	

19. Interest of each foreign entity in the specific issues listed on line 16 above. ☒ Check if None

Signature _____ Date February 11, 2002

Printed Name and Title Michael K. McDonald, Vice President, Government Operations

Registrant Name Rockwell Collins, Inc.

Client Name Rockwell Automation

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15. General issue area code LBR (one per page)

16. Specific lobbying issues

National Labor Relations Board Reform

Fair Labor Standards Act Reform

Pay Equity

Ergonomic Regulations

Family Medical Leave Act

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives

U.S. Senate

Department of Labor

18. Name of each individual who acted as a lobbyist in this issue area.

Name	Covered Official Position (if applicable)
Martha L. Cogdell	
Michael K. McDonald	

19. Interest of each foreign entity in the specific issues listed on line 16 above. ☒ Check if None

Signature _____ Date February 11, 2002

Printed Name and Title Michael K. McDonald, Vice President, Government Operations

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Registrant Name Rockwell Collins, Inc.

Client Name Rockwell Automator

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant was engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code RET (one per page)

16. Specific lobbying issues

Pension Reform

Social Security Reform

Prescription Drug Program

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives

U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area.

Name	Covered Official Position (if applicable)
Martha L. Cogdell	
Michael K. McDonald	

19. Interest of each foreign entity in the specific issues listed on line 16 above. ☒ Check if None

Signature _____ Date February 11, 2002

Printed Name and Title Michael K. McDonald, Vice President, Government Operations

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Registrant Name Rockwell Collins, Inc.

Client Name Rockwell Automation

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15. General issue area code TRD (one per page)

16. Specific lobbying issues

Trade Promotion Authority
Export Controls
Renewal of Export Administration Act
Trade Agreements and Treaties
Trade Financing
Sanctions

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives
U.S. Senate
The White House
United States Trade Representative
Department of State
Department of Commerce
Export-Import Bank

18. Name of each individual who acted as a lobbyist in this issue area.

Name	Covered Official Position (if applicable)	N
Martha L. Cogdell		
Michael K. McDonald		

19. Interest of each foreign entity in the specific issues listed on line 16 above. ☒ Check if None

Signature _____ Date February 11, 2002

Printed Name and Title Michael K. McDonald, Vice President, Government Operations

[REDACTED]