

LOBBYING REPORT

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Lobbying Disclosure Act of 1995 (Section 5) - All Filers are Required to Complete This Page

1. Registrant Name Capitol Associates, Inc.			
2. Address <input type="checkbox"/> Check if different than previously reported 426 C Street, NE, Washington, DC 20002			
3. Principal Place of Business (if different from line 2) City: _____ State/Zip (or Country): _____			
4. Contact Name Debra M. Hardy Havens	Telephone 202-544-1880	E-mail (optional) dh@capitolassociates.com	5. Senate ID # 8101-303
7. Client Name Johns Hopkins University	<input type="checkbox"/> Self	6. House ID # 30813008	

TYPE OF REPORT 8. Year 2000 Midyear (January 1-June 30) ☒ OR Year End (July 1-December 31) ☐

9. Check if this filing amends a previously filed version of this report ☒

10. Check if this is a Termination Report ☐ ⇒ Termination Date _____ 11. No Lobbying Activity ☐

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13	
12. Lobbying Firms INCOME relating to lobbying activities for this reporting period was: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input checked="" type="checkbox"/> ⇒ <u>\$120,000</u> Income (nearest \$20,000) Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	13. Organizations EXPENSES relating to lobbying activities for this reporting period were: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input type="checkbox"/> ⇒ \$ _____ Expenses (nearest \$20,000) 14. REPORTING METHOD. Check box to indicate expense accounting method. See Instructions for description of options. <input type="checkbox"/> Method A. Reporting amounts using LDA definitions only <input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code <input type="checkbox"/> Method C. Reporting amounts under section 162(c) of the Internal Revenue Code

Signature Debra M. Hardy Havens

Printed Name and Title Debra M. Hardy Havens, CEO

Registrant Name: Capitol Associates, Inc. Client Name: Johns Hopkins University

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code BUD (one per page)

16. Specific lobbying issues

Funding for medical research, salary cap for research investigators, facilities construction and public health programs.

HR 4577, Making appropriations for the Department of Labor, Health and Human Services, Education and related Agencies for fiscal year ending September 30, 2001.

S. 2553, Making appropriations for the Department of Labor, Health and Human Services, Education and related Agencies for fiscal year ending September 30, 2001.

S. Amdt. 3708 to H.R. 4577, to increase funds for CDC to provide grants regarding childhood asthma -- tracked, monitored, reported consideration of legislation.

Tracked and reported on Congressional Hearings and White House and DHHS oversight of gene therapy research and clinical research.

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House

Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Marguerite Donoghue Baxter		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature _____

Printed Name and Title Debra M. Hardy Havens, CEO

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Registrant Name Capitol Associates, Inc. Client Name Johns Hopkins University

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific lobbying issues

S.573 - Medical Information Privacy and Security Act. Tracked, monitored, and reported on provisions related to the conduct of medical research and informed consent.

S. 578 - Health Care PIN Act. Tracked, monitored, and reported on provisions related to the conduct of medical research and informed consent.

S.881 - Medical Information Protection Act of 1999. Tracked, monitored, and reported on provisions related to the conduct of medical research and informed consent.

H.R. 1057 - Medical Information Privacy and Security Act. Tracked, monitored, and reported on provisions related to the conduct of medical research and informed consent.

H.R. 2404 - Personal Medical Information Protection Act of 1999 - Tracked, monitored, and reported on provisions related to the conduct of medical research and informed consent.

H.R. 2470 - Medical Information Protection and Research Enhancement Act of 1999. Tracked, monitored, and reported on provisions related to the conduct of medical research and informed consent.

H.R. 1941 - Health Information Privacy Act. Tracked, monitored, and reported on provisions related to the conduct of medical research and informed consent.

H.R. 2455 - Consumer Health and Research Technology (CHART) Protection Act. Tracked, monitored, and reported on provisions related to the conduct of medical research and informed consent.

H.R. 10/ S. 900 - Financial Services Act of 1999 Title III, Subtitle D, The Confidentiality of Health and Medical Information. Opposed the inclusion of medical privacy provisions in this legislation

Tracked and monitored rule-making process for medical records privacy.

S. 805. Children's Asthma Relief Act of 1999 - tracked, monitored, reported consideration of legislation.

S. 2868. Children's Public Health Act of 2000 - tracked, monitored, reported introduction of legislation

S. 2731. Public Health Threats and Emergencies Act of 2000, tracked, monitored and reported on the introduction of the legislation.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House

Senate

Department of Health and Human Services

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New...
Marguerite Donoghue Baxter		<input type="checkbox"/>
Sara Milo		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature _____

Printed Name and Title Debra M. Hardy Havens, CEO

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Registrant Name Capitol Associates, Inc. Client Name Johns Hopkins University

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code MED (one per page)

16. Specific lobbying issues

Tracked and reported on Congressional Hearings on stem cell research.

HR 1798: Clinical Research Enhancement Act of 1999

Met with sponsors of legislation to urge Committee and floor consideration of legislation. Tracked, monitored and reported consideration of legislation.

S. 296 Federal Research Investment Act- A bill to ensure that Federal funding levels for fundamental, scientific, and pre-competitive engineering research increase to equal approximately 2.6 percent of the total annual Federal budget. Tracked, monitored, and reported, consideration and mark-up of legislation.

Tracked and monitored legislation relative to birth defects and the capacity of our public health system.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House

Senate

Department of Health and Human Services

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Maryguerre Donoghue Baxter		<input type="checkbox"/>
Sara Milo		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature _____

Printed Name and Title Debra M. Hardy Havens, CEO

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Registrant Name Capitol Associates, Inc. Client Name Johns Hopkins University

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15. General issue area code MMM (one per page)

16. Specific lobbying issues

Monitor and track Medicare reforms, and prescription drug benefit.

HR 4680, Medicare Rx 2000 Act.

HR 4770, Medicare Guaranteed and Defined Rx Benefit and Health Provider Relief Act of 2000.

S. 2807, Medicare Prescription Drug and Modernization Act of 2000.

S. 2758, Medicare Outpatient Drug Act of 2000.

S. 2753, Medicare Expansion Plan

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Debra M. Hardy Havens		<input checked="" type="checkbox"/>
Marguerite Donoghue Baxter		<input checked="" type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature _____

Printed Name and Title Debra M. Hardy Havens, CEO

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LOBBYING REGISTRATION ADDENDUM
Lobbying Disclosure Act of 1995 (Section 5)

Registrant Name Capitol Associates, Inc.

Client Name Johns Hopkins University

Line #	Information to be included
15.	HCR
16.	S. 1344 Patients' Bill of Rights Act of 1999- a bill to reform managed care. Tracked, monitored, reported, and advocated for reimbursement for clinical trials, access to speciality care provisions. HR 2990, Quality Care for the Uninsured Act of 1999. HR 2723, Patient Protection Bill/Bipartisan Consensus Managed Care Improvement Act of 1999.