

Clerk of the House of Representatives  
Legislative Resource Center  
B-106 Cannon Building  
Washington, DC 20515

Secretary of the Senate  
Office of Public Records  
232 Hart Building  
Washington, DC 20510

SECRETARY OF THE  
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# LOBBYING REGISTRATION

Lobbying Disclosure Act of 1995 (Section 4)

**Check One:**  New Registrant  New Client for Existing Registrant  Amendment

1. Effective Date of Registration 09/

2. House Identification 30720

Senate Identification 33682

**REGISTRANT**  Organization  Individual

3. Registrant Organization ROPES & GRAY LLP

Address ONE METRO CENTER Address2 700 12TH STREET, NORTHWEST SUIT

City WASHINGTON State DC Zip 20005 - 3948 Cou

4. Principal place of business (if different than line 3)

City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_ - \_\_\_\_\_ Cou

5. Contact name and telephone number

International Number

Contact Mr. STEVEN M. ROSENTHAL Telephone (202) 508-4652 E-mail Steven.Rosenthal@RopesGray

6. General description of registrant's business or activities

## CLIENT

*A Lobbying Firm is required to file a separate registration for each client. Organizations employing in-house lobbyists should labeled "Self" and proceed to line 10.*  Self

7. Client name VANGUARD GROUP INC.

Address 400 DEVON PARK DRIVE

City WAYNE State PA Zip 19087 - Cou

8. Principal place of business (if different than line 7)

City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_ - \_\_\_\_\_ Cou

9. General description of client's business or activities

## LOBBYISTS

10. Name of each individual who has acted or is expected to act as a lobbyist for the client identified on line 7. If any pers this section has served as a "covered executive branch official" or "covered legislative branch official" within two years o a lobbyist for the client, *state the executive and/or legislative position(s) in which the person served.*

Name			Covered Official Position (if applicable)
First	Last	Suffix	
STEVEN	ROSENTHAL		

000100472

v5.0.0m	

### LOBBYING ISSUES

11. General lobbying issue areas. Select all applicable codes listed in instructions and on the reverse side of Form LD-1,  
TAX

12. Specific lobbying issues (current and anticipated)

### AFFILIATED ORGANIZATIONS

13. Is there an entity other than the client that contributes more than \$10,000 to the lobbying activities of the registrant in a semiannual period and in whole or in major part plans supervises or controls the registrant's lobbying activities?

No --> Go to line 14.

Yes --> Complete the rest of this section for each entity matching criteria above, then proceed to line 14.

Name	Address				Principal Place of Business
	Street	State/Province	Zip Code	Country	
	City				City
					State
					Country
					City
					State
					Country
					City
					State
					Country

### FOREIGN ENTITIES

14. Is there any foreign entity

- a) holds at least 20% equitable ownership in the client or any organization identified on line 13; or
- b) directly or indirectly, in whole or in major part, plans, supervises, controls, directs, finances or subsidizes at the client or any organization identified on line 13; or
- c) is an affiliate of the client or any organization identified on line 13 and has a direct interest in the outcome of lobbying activity?

No --> Sign and date the registration.

Yes --> Complete the rest of this section for each entity matching the criteria above, then sign the registration.

Name	Address			Principal place of business (city and state or country)	Amount of contribution for lobbying activities
	Street	State/Province	Country		
	City			City	
				State	
				Country	
				City	
				State	
				Country	

Signature

*[Handwritten Signature]*

Date

11/6

Printed Name and Title

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