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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers are Required to Complete This Page **01 AUG -6 AM 9:**

1. Registrant Name Capitol Associates, Inc.			
2. Address <input type="checkbox"/> Check if different than previously reported 426 C Street, NE, Washington, DC 20002			
3. Principal Place of Business (if different from line 2) City: _____ State/Zip (or Country) _____			
4. Contact Name	Telephone	E-mail (optional)	5. Senate ID #
Debra M. Hardy Havens	(202) 544-1880	dh@capitolassociates.com	8101-303
7. Client Name	<input type="checkbox"/> Self	6. House ID #	
John Hopkins University		30813008	

TYPE OF REPORT 8. Year 2001 Midyear (January 1-June 30) ☒ OR Year End (July 1-December 31) ☐

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ ⇒ Termination Date _____ 11. No Lobbying Activity ☐

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms	13. Organizations
INCOME relating to lobbying activities for this reporting period was:	EXPENSES relating to lobbying activities for this reporting period were:
Less than \$10,000 <input type="checkbox"/>	Less than \$10,000 <input type="checkbox"/>
\$10,000 or more <input checked="" type="checkbox"/> ⇒ \$ <u>20,000</u> Income (nearest \$20,000)	\$10,000 or more <input type="checkbox"/> ⇒ \$ _____ Expenses (nearest \$20,000)
Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	14. REPORTING METHOD. Check box to indicate accounting method. See Instructions for description of method.
	<input type="checkbox"/> Method A. Reporting amounts using LDA definition
	<input type="checkbox"/> Method B. Reporting amounts under section 603 of the Internal Revenue Code
	<input type="checkbox"/> Method C. Reporting amounts under section 162 of the Internal Revenue Code

Signature

Debra M. Hardy Havens

Printed Name and Title Debra M. Hardy Havens, CEO



Registrant Name Capitol Associates, Inc.

Client Name John Hopkins University

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the client was engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code BUD (one per page)

16. Specific lobbying issues

Monitor, track increased funding for research programs

H.R. — Department of Labor, Health and Human Services & Education and related agencies Appropriations Act, FY 2002

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House

Senate

DHHS

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Debra Hardy Havens	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature _____

Printed Name and Title Debra M. Hardy Havens, CEO

[REDACTED]

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant was engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific lobbying issues

Track, monitor legislation and regulations regarding medical records.

H.R. 1215-To ensure confidentiality with respect to medical records and healthcare, related information for other purposes.

S.705- A bill to establish a health information technology grant program for hospitals and other facilities.

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House
Senate
DHHS

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Debra Hardy Havens	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature _____

Printed Name and Title Debra M. Hardy Havens, CEO



LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the client was engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code LBR (one per page)

16. Specific lobbying issues

Monitor, track and support legislation allowing physicians to bargain collectively with health plans.

Track, monitor and report on legislative activities related to prevention of needlesticks,

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House

Senate

Dept of Labor, Office of Safety and Health Administration

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Debra Hardy Havens	
Matthew Williams	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature _____

Printed Name and Title Debra M. Hardy Havens, CEO

[REDACTED]

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code and information as requested. Attach additional page(s) as needed.

15. General issue area code MED (one per page)

16. Specific lobbying issues

- Tracked and monitored clinical research subject protection initiatives of the Dept of Health and Human Services, the White House and the National Bioethics Advisory Commission, including financial conflicts of interest to the Declaration of Helsinki, research involving children and research conduct.
- Tracked and reported on Congressional Hearings on stem cell research.
- S.838-Best Pharmaceuticals for Children Act.
- H.R. 967-Access to Cancer Clinical Trials Act of 2001
- H.R. 1771-Antimicrobial Resistance Prevention Act of 2001
- H. Con.Res. 17-Expressing the sense of the Congress supporting Federal funding of pluripotent stem cell research.
- S. 723, H.R. 2059- Stem Cell Research Act of 2001
- S. 790, H.R. 1644- Human Cloning Prohibition Act of 2001
- H.R. 2172- Cloning Prohibition Act of 2001

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House

Senate

Dept of Health and Human Services

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Debra Hardy Havens	
Tricia Brooks	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature _____

Printed Name and Title Debra M. Hardy Havens, CEO



LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the client was engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code MMM (one per page)

16. Specific lobbying issues

Monitor and track Medicare reforms, and prescription drug benefit.

Monitor and track managed care reform issues.

- S.357 - A bill to amend the Social Security Act to preserve and improve the medicare program

- S.358 : A bill to amend the Social Security Act to establish a Medicare Prescription Drug and Supplemental Benefits and for other purposes.

- H.R.803 - To amend title XVIII of the Social Security Act to make the Medicare Program more competitive and extend the solvency of the Medicare Program, to provide for a prescription drug benefit under the Medicare Program, to improve quality of care, to make Medicare supplemental insurance (Medigap) more affordable, and for other purposes.

- S. 10 - A bill to amend title XVIII of the Social Security Act to provide coverage of outpatient prescription drugs under the medicare program.

- S. 1135 - A bill to amend title XVIII of the Social Security Act to provide comprehensive reform of the medicare program including the provision of coverage of outpatient prescription drugs under such program.

- H.R. 1400 - To provide for substantial reductions in the price of prescription drugs for Medicare beneficiaries.

- S. 824 - Medication Errors Reduction Act of 2001

- S. 863, H.R. 1804 - Patient Safety Act of 2001

- H.R. 2340 - Patient Safety and Health Care Whistleblower Protection Act of 2001

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House

Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Debra Hardy Havens	
Tricia Brooks	
Matthew Williams	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☐ Check if None

Signature

Printed Name and Title Debra M. Hardy Havens, CEO



LOBBYING REGISTRATION ADDENDUM

Lobbying Disclosure Act of 1995 (Section 5)

Registrant Name Capitol Associates, Inc.

Client Name John Hopkins University

Line # Information to be included

15. MMM

16.

S. 318, H.R. 602 – Genetic Nondiscrimination in Health Insurance and Employment Act

H.R.1215 – To ensure confidentiality with respect to medical records and health care-related information, a purposes.

S.450 – A bill to amend the Gramm-Leach-Bliley Act to provide for enhanced protection of nonpublic personal including health information, and for other purposes.

H.R. 1975 – To modify the deadline for initial compliance with the standards and implementation specifications under section 1173 of the Social Security Act, and for other purposes.

S. 836 – A bill to amend part C of title XI of the Social Security Act to provide for coordination of implementation administrative simplification standards for health care information.

S.1055 – A bill to require the consent of an individual prior to the sale and marketing of such individual identifiable information, and for other purposes.

S. 6 – A bill to amend the Public Health Service Act, the Employee Retirement Income Security Act of 1974, and Revenue Code of 1986 to protect consumers in managed care plans and other health coverage.

S. 283 – A bill to amend the Public Health Service Act, the Employee Retirement Income Security Act of 1974, and Internal Revenue code of 1986 to protect consumers in managed care plans and other health coverage.

S. 872 – A bill to amend the Public Health Service Act, the Employee Retirement Income Security Act of 1974, and Internal Revenue Code of 1986 to protect consumers in managed care plans and other health coverage.

S. 889 – A bill to protect consumers in managed care plans and in other health coverage.

H.R. 526 – To amend the Public Health Service Act, the Employee Retirement Income Security Act of 1974, and Revenue Code of 1986 to protect consumers in managed care plans and other health coverage.

H.R. 2315 – To protect consumers in managed care plans and in other health coverage.

H.R. 2563 – To amend the Public Health Service Act, the Employee Retirement Income Security Act of 1974, and Revenue Code of 1986 to protect consumers in managed care plans and other health coverage.

Addendum

