Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, DC 20515

Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510

SECRETARY OF THE COLOR OF THE C

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name Caterpillar Inc.		

2. Address		
100 NE Adams Street		
3. Principal Place of Business (if different from line 2)		
City: Peoria State/Z	ip (or Country) IL 61629-1430	
4. Contact Name Telephone	E-mail (optional)	5. Senate ID
Douglas P. Crew (309) 675-5248	crew_douglas_p@cat.com	8475–12
7. Client Name Self	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	6. House ID
		3131900
10. Check if this is a Termination Report □ ⇒ Termination INCOME OR EXPENSES - Complete Either		1. No Lobby
12. Lobbying Firms	13. Organiza	ions
INCOME relating to lobbying activities for this reporting period was:	EXPENSES relating to lobbying active period were:	ities for this
Less than \$10,000 🔲	Less than \$10,000 🔲	
#10.000 D	\$10,000 or more □	00
\$10,000 or more		ises (nearest \$
Provide a good faith estimate, rounded to the nearest \$20,000,	14. REPORTING METHOD. Check accounting method. See instructions for	
of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying	Method A. Reporting amounts using LDA de	
activities on behalf of the client).	Method B. Reporting amounts ur Internal Revenue Cod	
	Method C. Reporting amounts un Internal Revenue Cod	
		· · · · · · · · · · · · · · · · · · ·
Signature Many Sue Sue Sue		

Filing #7dd5cfa2-5d90-4b16-b867-438249c84674 - Page 1 of 36

LD-2 (REV. 6/98)

Sizorait Name_	Caterpillar	Inc.	Client Nam	:c	Self
2-2-4 01 1000)	and our destrict di	the client	codes as necessary during the reporting page(s) as needed	OF THE	effect the general issue areas in which the region. Using a separate page for each code, p
i. General issu	e area code	<u>rw</u>	(one per page)		
s. Specific lab	•				
			for Stock Opt lard for Stock		
.7. House(s) o U. S. Hou U. S. Sen		Federal age	ಪ್ರದೇಶ ರಾಗತ್ರದೇಶ		Check if None
White Hou					
18. Name of e	ach individual w	tho acted as	s a lobbyist in this	issue	area
	Nam	=			Covered Official Position (if applicable)
Ed Kaleta		- 		Was	shington Manager, Governmental Af

	,			·	
******************************					<u> </u>

19. Interest of	each foreign entir	y in the spec	cific issues listed on	line t	6 above Check if None
Signature					Date
Printed Name					rnmental Affairs

egistran Name_	Caterpillar Inc.	Client Name_	Self
waster or room	TTIVITY. Select as many coring on behalf of the client during the client during a control of the client during the control of the client and the control of the client and the control of	united the renorting n	reflect the general issue areas in which the reg eriod. Using a separate page for each code, p
lő. General issu	se area code <u>RET</u> (c	one per page)	
l6. Specific lob	bying issues		
Oppose S.	1992 America's Pension	Act of 2002	
17. House(s) o	f Congress and Federal agenc	ies contacted	Check if None
18. Name of e	ach individual who acted as :	a lobbýist in this iss	ue area
	Narre		Covered Official Position (if applicable)
Ed Kaleta		Wa	shington Manager, Governmental Affa
	-		
*****************		· · · · · · · · · · · · · · · · · · ·	
19. Interest of	each foreign entity in the specif	l To issues listed on line	e 16 above
Signature			Date_
Printed Name	and Title Douglas P. C	rew, Manager, G	overnmental Affairs

00020351212

:gistrant Name_	Caterpillar Inc.	Client Name	Self
raagen ni roool	TTVTTY. Select as many ing on behalf of the client of quested. Attach additional	furning the reporting pe	reflect the general issue areas in which the reginated. Using a separate page for each code, p
	e area code LBR		
6. Specific lab Oppose S.2	bying issues 2184 – Ergonomics Rule	e Bill	
17. House(s) o U. S. Hous U. S. Sen Dept. of	ate	ncies contacted	Check if None
18. Name of e	ach individual who acted a: Name	s a lobbyist in this isso	ic area Covered Official Position (if applicable)
Ed Kaleta		Wa	ashington Manager, Governmental Aff
*************************	T = 0.000 = 0.		
to the second	***************************************		
····			
·····			
*************************	***************************************		
1 0111-1111111111111111111111	***************************************		
19. Interest of	each foreign entity in the spec	ific issues listed on line	16 above
Signature			Date
	and Title Douglas P. Cr		•

`≈gistrant Name	Caterpillar	Inc.	Client Name	Self
LOBBYING ACT mgaged in lobbyin nformation as req	id au ceurii oi ch	e client during t	he reporting pe	reflect the general issue areas in which the reg mod. Using a separate page for each code, p
15. General issue	area code DEF	(опе ре	c bađe)	
16. Specific labby	ring issues			
Support Def	ense Appropri	ations - H.R	. 5010	
17. House(s) of	Congress and Fed	eral agencies co	intacted	Check if None
U.S. House U.S. Senat				
18. Name of eac	th individual who	acted as a lobb	ýist in this issu	ie area
	Name			Covered Official Position (if applicable)
Ed Kaleta	***************************************	······································	Was	hington Manager, Governmental Affa
***************************************		· · · · · · · · · · · · · · · · · · ·		
•				

·				
19. Interest of e	ach foreign entity is	n the specific issu	l ses listed on line	16 above Check if None
Signature_				Date
Printed Name ar	Doug1			overnmental Affairs

egistranı Nam	c_Caterpillar	Inc.	Client Name	Self	
udadea iu la	ACTIVITY. Sei bbying on behalf of s requested. Attack	of the client du	ring the reporting	o reflect the gene period. Using a s	ral issue areas in which the regi separate page for each code, p
5. General i	ssue area code	HCR (o	ne per page)		
Suppor Oppose Oppose Oppose Suppor	loobying issues t H.R. 4954 - S.1052 Patien H.R. 526 Pati HIPPA Privacy t S.812 - Grea t HR1862 - Gre	ts' Bill of ents' Bill of Regulations ter Access	Rights of Rights s to Affordable	Pharmaceutic	
U. S. U. S.	Senate and Human Ser			☐ Check is	None
18. Name	of each individual	who acted as a	ı loboyist in this is	isue area	
	Na	ırre		Co ver	ed Official Position (if applicable)
Ed Kal	eta		,	Washington Ma	nager, Governmental Affa
************************	**************************************				
***************************************	······				***************************************
19. Interes	it of each foreign en	tity in the specif		ne 16 above	
Signature_					Date
	me and Title Dot				
s smied (49	mie min time		,		

OBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the responded is lobbying on behalf of the client during the reporting period. Using a separate page for each code, it formation as requested. Anach additional page(s) as needed. 5. General issue area codeENG	gistrant Name <u>Caterpillar Inc.</u>	Client Name_	Self
Electricity Restructuring - Support Energy Bill (HR 4) 17. House(s) of Congress and Federal agencies contacted	יפיפון עו נוססאתופ פנו ספטצון פן נויה כוופעל קות	ung the renorting r	reflect the general issue areas in which the regretiod. Using a separate page for each code, p
Electricity Restructuring — Support Energy Bill (HR 4) 17. House(s) of Congress and Federal agencies contacted	5. General issue area code ENG (on	e per page)	
Energy Bill (HR 4) 17. House(s) of Congress and Federal agencies contacted U. S. House U. S. Senate Dept. of Energy EPA 18. Name of each individual who acted as a lobbyist in this issue area Name Covered Official Position (if applicable) William N. Ball Washington Manager, Governmental Aff 19. Interest of each foreign entity in the specific issues listed on line 16 above Date Date	6. Specific loobying issues		
U. S. House U. S. Senate Dept. of Energy EPA 18. Name of each individual who acted as a lobbyist in this issue area Name Covered Official Position (if applicable) William N. Ball Washington Manager, Governmental Aff Washington Manager, Governmental Aff 19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None Signature Date		ort	
Name Covered Official Position (if applicable) Washington Manager, Governmental Aff Washington Manager, Governmental Aff 19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None Signature Date	U. S. House U. S. Senate Dept. of Energy	es contacted	☐ Check if None
William N. Ball Washington Manager, Governmental Aff Washington Manager, Governmental Aff 19. Interest of each foreign entity in the specific issues listed on line 16 above Date Date	18. Name of each individual who acted as a	lobbýist in this iss	ue area
19. Interest of each foreign entity in the specific issues listed on line 16 above	Name		Covered Official Position (if applicable)
19. Interest of each foreign entity in the specific issues listed on line 16 above	William N. Ball	W	ashington Manager, Governmental Aff
19. Interest of each foreign entity in the specific issues listed on line 16 above			
19. Interest of each foreign entity in the specific issues listed on line 16 above	• .		
19. Interest of each foreign entity in the specific issues listed on line 16 above			
SignatureDate	***************************************		
Date	19. Interest of each foreign entity in the specific	: issues listed on line	e 16 above
	Signature		Date

Form LD-2 (Rev.&98)

gistraint NameCater	pillar Inc.	Cliest Name	Self
ιληδέα τις ισσολικία συ	TY. Select as many c a behalf of the client d ed. Attach additional	uring the reporting per	effect the general issue areas in which the regrided. Using a separate page for each code, p
i. General issue area	code Tax (one per page)	
Capital Cost R International	issues cal for Active Fir Recovery/Depreciat Tax Simplificatio ulus (H.R. 3529 &	tion Reform (No b on	
17. House(s) of Cong U. S. House U. S Senate Treasury USTR White Hosue State Dept. Commerce Dept.	gress and Federal agen	cies contacted	Check if None
18. Name of each inc	dividual who acted as	a lobbyist in this issue	ar t a
	Name		Covered Official Position (if applicable)
William N. Bal	<u> </u>	Wash	nington Manager, Governmental Affa
***************************************	**************************************	***************************************	

19. Interest of each fo	oreign entity in the speci	fic issues listed on line I	16 above
Signature	<u> </u>		Date

٠

egistrant Name Caterpillar Inc.	Client Name	Self
OBBYING ACTIVITY. Select as many congaged in lobbying on behalf of the client du nformation as requested. Anach additional professional	ring the renoming ne	reflect the general issue areas in which the reg riod. Using a separate page for each code, p
5. General issue area code SCI (or		
l 6. Specific lobbying issues		
Department of Energy ARES Program	m Interior Appr	opriations (FY 2002) - Support
17. House(s) of Congress and Federal agence U. S. House	ries contacted	Check if None
U. S. Senate Department of Energy		
18. Name of each individual who acted as a	. lobbyist in this issu	ie area
Name		Covered Official Position (if applicable)
William N. Ball	Was	shington Manager, Governmental Affa
400 - 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1		
••••••		

***************************************		***************************************
19. Interest of each foreign entity in the specifi	ic issues listed on line	16 above
Signature		Date
Printed Name and Title Douglas P. Cre		

Form LD-2 (Rev.6/98)

gistrant Name	Caterpillar	Inc.	_ Client Name	Self
RAREM IN LOCOAL	IIVITY. Selecting on behalf of quested. Attach	the client during	the reporting pe	effect the general issue areas in which the regi clod. Using a separate page for each code, p
i. General issue	area code	TRD (one p	per page)	
5. Specific labb	ying issues			
Bi-Partisa Trade Act [†]	n Trade Promo of 2002 (H. R	otion Authori R. 3009)	ty Act of 200	Ol (H.R. 3005)
I7. House(s) of U.S. House U.S. Senat	='	ederal agencies	contacted	Check if None
18. Name of ea	ch individual wh	no acted as a lot	obýist in this issue	
William N.			Wo	Covered Official Position (if applicable)
		**************************************	***************************************	shington Manager, Governmental Aff
	•			

*****************	*******************************	·····		
10 7			**	5
19. matest of e	ach foreign entity	in the specific is	sues listed on line !	16 above
Signature	ach foreign entity	in the specific is	sues listed on line	DateDate

00020351219

zistrati: Name_	Caterpillar	Inc.	Cliest Na	umeSe	elf
BaBea in rood	lais ou peuvit of	t the client	codes as necessa during the report I page(s) as need	ing period. Using	eneral issue areas in which the re a separate page for each code,
i. General issa	e area code	TRD	(one per page)		
—Suppo —Oppos —Suppo —Suppo	for issue marting TPA ing PBOR orting deferra	al for Achensive I	ctive Finance Energy legisl		ovision
USTR U. S. F U. S. S White F Commerce	louse Senate Souse Se Department	_	moies contacted		k if None
io. rame or	Nam		is a todoyist in th	ī	overed Official Position (if applicable)
Matthew	R. Pendergr				ve Communicator
***************************************	***************************************				**************************************
	•				

		·	·		
19. Interest o	f each foreign enti	ty in the spe	ecific issues listed	l on line 16 above	☐ Check if None
Signature				_	Date
Printed Name	and TitleDou	glas P.	Crew, Manager	, Government	

- - -

gistran: Name_	Caterpillar Inc.	Client Nam	Self
rgager or roody	TIVITY. Select as many ing on behalf of the client quested. Anach additions	Curing the reporting	to reflect the general issue areas in which the reg g period. Using a separate page for each code, p
•	e area code ENV		
6. Specific lob	bying issues		
Various D	iesel Engine Issues		
•	f Congress and Federal ag Transportation	encies contacted	Check if None
Office of	Management & Budget ntal Protection Agenuse		
18. Name of e	ach individual who acted a	s a lobbýist in this	issue area
	Name		Covered Official Position (if applicable)
William C	. Lane		Washington Director, Governmental Afi

		-	

19. Interest of	each foreign entity in the spe	ecific issues listed on	line 16 above
Signature			Date
Printed Name	and Title Douglas P.	Crew, Manager,	Governmental Affairs

egistránt Name_	Caterpillar	Inc.	Client Name	Self	
יייפיאבים ווני נסטסא	and ou ocurit of	the client	codes as necessary during the reportin I page(s) as needed	r neriod lisin	general issue areas in which the reg g a separate page for each code, p
15. General issu	e area code	TRD	(one per page)		
16. Specific lob	bying issues				
Support F Support V Support N Support F Support W Oppose Tr Support C	ormalized Tra unding for EX TO Doha ROund ade Restricti ustoms Reform	to improde Relational Relationship Relations	with Jordan, Sove relations with Viet k, OPIC, TDA and Negotiation airly Trade Steation and Fundi	rith Cuba mam nd World Ba mel (Section	
U. S. House U. S. Hou U. S. Sen White Hou State Dep	f Con gress and F se ate se	ederal age	moies contacted		ck if None
Treasury Commerce USTR	Dept.	ho acted a	s a lobbyist in this i	ssue area	
	Name				Covered Official Position (if applicable)
William C.	Lane		1	Washington	Director, Governmental Aff
** 4 Combinated Good and an Eq. 2 cod a continuous p. 4					

19. Interest of	each foreign entity	in the spe	=ific issues listed on !	ine 16 above	Check if None
Signature					Date
Printed Name a	and Title Doug	las P. (Crew, Manager,	Government	

gistradit Name_	Caterpillar Inc.	Client Name '	Se1f
isaken in 1000	CTIVITY. Select as many co ying on behalf of the client du equested. Attach additional p	uring the reporting pe	effect the general issue areas in which the regi riod. Using a separate page for each code, p
5. General iss	ue area code ENG (o	one per page)	
6. Specific la	bbying issues		
Arctic Clean	g America's Future Energ : National Wildlife Refu Coal Technology R&D native Fuels Vehicle Com	ıge	(HR4) - Support Program/Green School Buses
17. House(s) (of Congress and Federal agenc	ries contacted	Check if None
U. S. Ho U. S. Se U. S. De			
18. Name of	each individual who acted as a	lobbyist in this issue	e area
	Name		Covered Official Position (if applicable)
Rita L.	Castle	Is	ssues Analysis Manager
	***************************************	**************************************	
004 dana a dag g g dg o r dan a a a g g d do an			***************************************
		***************************************	······································

******************************	***************************************	***************************************	***************************************
19. Interest of	feach foreign entity in the specifi	c issues listed on line 1	6 above Check if None
Signature			Date_
Printed Name	and Title Douglas P. Cre	_	

Registrant Name	Caterpillar Inc.	Client Name	Self
cusased in 1000à.	TIVITY. Select as many co- ing on behalf of the client dur quested. Attach additional pa	ang the reporting per	effect the general issue areas in which the regiod. Using a separate page for each code, p
15. General issue	e area code SCI (or	ne per page)	
16. Specific labb	pying issues		
HDDE Pr LDDE Pr Advance		· S	003) - Support
17. House(s) of	Congress and Federal agenci	es contacted	Check if None
U.S.Hou U.S.Sen Dept. of	ate		
18. Name of ea	ch individual who acted as a	lobbyist in this issue	area
	Name		Covered Official Position (if applicable)
Rita L. C	astle 	Iss	ues Analysis Manager

***************************************	······································		
pam ne addasan ad a mby ng papa.			

19. Interest of e	ach foreign entity in the specific	issues listed on line 16	Sabove Check if None
Signature			Date
Printed Name as			vernmental Affairs

egistrant Name_	Caterpillar	Inc.	_Client Name	Self
ngaged in lobby	CTIVITY. Selecting on behalf of equested. Attach	the client during	the reporting per	eflect the general issue areas in which the regiod. Using a separate page for each code, p
5. General issu	se area code	TOR (one po	er page)	
.6. Specific lob	bying issues			
Interstat	e Goods Job Gr e Class Actio Reform - Supp	on Fairness A		Act - Oppose
17. House(s) o	f Congress and Fe	ederal agencies c	ontacted	Check if None
U.S. How U.S. Ser Dept. of Administ	nate Justice			
18. Name of e	ach individual wh	no acted as a lobb	yist in this issue	area
	Name			Covered Official Position (if applicable)
Rita L.	Castle		Iss	nues Analysis Manager
.000.000.000.000.000.000.000.000	***************************************			

*************************	······································			
	*****************************	***************************************		
19. Interest of	each foreign entity	in the specific issu	les listed on line 1	6 above
Signature				Date
Printed Name	and Title Doug	glas P. Crew,	Manager, Go	overnmental Affairs

Form LD-2 (Rev.6/98)

egistr <mark>i</mark> nt Name_	Caterpillar	Inc.	Client Name	Self
OBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the regingaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, particularly and additional page(s) as needed.				
15. General issu	ie area code	NAT (one pe	er page)	
16. Specific lob	bying issues			
				m/Millsite Issues - Support al Lands - Support
U. S. Ho U. S. Se	use	Federal agencies co	ontacted	☐ Check if None
18. Name of e	ach individual w	vho acted as a lobb	yist in this issue a	urea
	Nam	re		Covered Official Position (if applicable)
Rita L.	Castle)	Iss	sues Analysis Manager
044404440	*********			
9 7 7 8 8 8 2 2 4 8 7 7 7 7 7 9 9 9 8 8 4 8 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2				
- ************************************	-			***************************************
A + 444 + 44 + 4 + 4 + 4 + 4 + 4 + 4 + 4				
**********************	*******************************		•	· · · · · · · · · · · · · · · · · · ·
6 = 0 = 10 + 10 + 10 + 10 + 10 + 10 + 10			******	***************************************
19. Interest of	each foreig n entit	y in the specific issu	es listed on line 16	above
Signature				Date
Printed Name	and Title	ouglas P. Crew	, Manager, Go	overnmental Affairs

Registrant Name	Caterpillar Inc.	Client Name	Self
engaged in 1000A	ITVITY. Select as many on behalf of the client of the clie	luring the reporting p	reflect the general issue areas in which the regieriod. Using a separate page for each code, p
15. General issue	area code ENG	(one per page)	
16. Specific lobb	ying issues		
Electric	ity Restructuring — S	Support	
17. House(s) of	Congress and Federal ager	ncies contacted	Check if None
U.S.Hou U.S.Ser Dept. of EPA	nate		
18. Name of eac	ch individual who acted as	a lobbyist in this issu	e area
	Name		Covered Official Position (if applicable)
Rita L. C	astle	I	ssues Analysis Manager
Richard S	. Brent	D:	irector, Government Affairs

***************************************			** ***********************************
***************************************	***************************************		
***************************************	***************************************		***************************************
19. Interest of ea	ich foreign entity in the speci	fic issues listed on line	16 above
Signature	Large Par		Date 5/13/02_
Printed Name an	d Title Douglas P.	Crew, Manager, G	overnmental Affairs

From I Tr 1/Day Amos