

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

HEALTHCARE ASSN OF NEW YORK STATE

2. Address:

1 EMPIRE DR, RENSSELAER, NY 12144

3. Principal place of business (if different from line 2):

4. Contact Name: STEVEN KROLL

Telephone: 518-431-7600

E-mail (optional): SKROLL@HANYS.ORG

Senate ID #: 17900-12

House ID #: 31271000

7. Client Name: ☒ Self

TYPE OF REPORT

8. Year 2002 Midyear (January 1 - June 30): ☒ **OR** Year End (July 1 - December 31): ☐

9. Check if this filing amends a previously filed version of this report: ☐

10. Check if this is a Termination Report: ☐ => Termination Date: 11. No Lobbying Activity: ☐

INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000: ☐

\$10,000 or more: ☐ => Income (nearest \$20,000): _____

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than \$10,000: ☐

\$10,000 or more: ☒ => Expenses (nearest \$20,000): 320,000.00

14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

☒ **Method A.** Reporting amounts using LDA definitions only

☐ **Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code

☐ **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: MMM (one per page)

16. Specific lobbying issues:

Fiscal Year 2003 Budget Resolution S.839,H.R.4954,H.R.1556 The American Hospital Preservation Act S.885,H.R.4954,H.R.1609 The Rural & Small Community Hospital Area Wage and Base Payment Improvement Act S.2547 The Hospital Outpatient Fair Payment Act of 2002 S.2555 The Revitalizing Under-Served Rural Areas and Localities Act S.2615,H.R.4515 The Rural Community Hospital Assistance Act S.326,H.R.4954,H.R.975 The Home Health Payment Fairness Act S.2490,H.R.4954 Medicare Skilled Nursing Beneficiary Protection Act of 2002 S.812,H.R.3414,S.2110 State Budget Relief Act of 2001 S.J.Res.37/H.J.Res.92 Resolution of Disapproval-Overturn Medicaid Upper Payment Limit Reduction S.1745,H.R.3360 Delay in Medicaid Upper Payment Limit Reduction S.572,H.R.854 Medicaid Safety Net Hospital Continued Preservation Act H.R.287 Prompt Payment of Health Benefits Claims Act H.R.4600 Helping to Ensure Affordable, Low-Cost, Timely Health Care Act of 2002 S.706,H.R.1436 The Nurse Reinvestment Act H.R.1897 The Nurse of Tomorrow Act S.705,H.R.3292 The Health Information Technology and Quality Improvement Act/Medication Errors Reduction Act of 2001 S.548,H.R.4954,H.R.1354 Assure Access to Mammography Act S.582,H.R.1143 The Immigrant Children's Health Improvement Act of 2001 S.2449 Federal Responsibility for Immigrant Health Act S.169,H.R.519 Local Medical Emergency Reimbursement Act S.1715,H.R.3448 Public Health Security and Bioterrorism Response Act of 2001 HHS Emergency Readiness Funding for Health Care Providers Disaster Relief Funding for Health Care Providers Medicare Prescription Drug Coverage & Provider Payment Increase Legislation Inpatient, Outpatient, Skilled Nursing Facility and Long Term Care Hospital (LTCH) PPS Regulations Continuation of Agriculture Department's J-1 Visa Program CMS Seventh Scope of Work for Quality Improvement Organizations CMS Outcomes-based Quality Improvement in Home Health Care CMS Proposed Expansion of Post-Acute Care Transfer Payment EMTALA Regulations Provider-based Regulations Corporate Compliance Department of Labor Regulations on Benefit Claim Appeals HHS Regulatory Reform Efforts IRS Intermediate Sanction Rules Upper Payment Limit Rules HIPAA Rules

17. House(s) of Congress and Federal agencies contacted:

Centers For Medicare and Medicaid Services (CMS)
Executive Office of the President
Food & Drug Administration (FDA)
HOUSE OF REPRESENTATIVES
Health & Human Services, Dept of (HHS)
Internal Revenue Service (IRS)
Labor, Dept of (DOL)
Office of Management & Budget (OMB)
Office of the Vice President of the United States
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BILBY, JAMES

Covered Official Position (if applicable): N/A

Name: CALLAN, MARK

Covered Official Position (if applicable): N/A

Name: CHANG, JU MING

Covered Official Position (if applicable): N/A

Name: CICCONE, KATHLEEN

Covered Official Position (if applicable): N/A

Name: CUNNINGHAM, JOANNE

Covered Official Position (if applicable): N/A

Name: HARWELL, STEVEN

Covered Official Position (if applicable): N/A

Name: KROLL, STEVEN

Covered Official Position (if applicable): N/A

Name: LEBARRON, DEBBIE

Covered Official Position (if applicable): N/A

Name: LEEDS, LAURA

Covered Official Position (if applicable): N/A

Name: PRICE, KELLY

Covered Official Position (if applicable): N/A

Name: SISTO, DANIEL

Covered Official Position (if applicable): N/A

Name: SOMMER, FRANCESCA

Registrant Name: HEALTHCARE ASSN OF NEW YORK STATE Client Name: Self

Covered Official Position (if applicable): N/A
Name: SWEENEY, RAYMOND
Covered Official Position (if applicable): N/A
Name: THOMAS, MARK
Covered Official Position (if applicable): N/A
Name: VAN METER, SUSAN
Covered Official Position (if applicable): N/A
Name: ZELIZER, NORA
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Signature: ON FILE Date: Aug 14, 2002

Printed Name and Title: STEVEN KROLL - VICE PRESIDENT, GOVERNMENTAL AFFAIRS

Information Update Page:

Complete **ONLY** where registration information has changed.

LOBBYIST UPDATE

23. Name of each previously reported individual who is NO LONGER expected to act as a lobbyist for the client

Name: BIELAUS, SUSAN
Name: BIELAUS, SUSAN
Name: HOFFMAN, JERRY
Name: HOFFMAN, JERRY
Name: HUMISTON, SHEILA
Name: HUMISTON, SHEILA
Name: JEFFERS, DARRELL
Name: JEFFERS, DARRELL
Name: NAYAK, VIJAY
Name: NAYAK, VIJAY
Name: POFIT, MARTHA
Name: POFIT, MARTHA
Name: STORRS, FRANCESCA
Name: STORRS, FRANCESCA
Name: WARNER, ROBERTA
Name: WARNER, ROBERTA

ISSUE UPDATE

24. General lobbying issues previously reported that NO LONGER pertain

AFFILIATED ORGANIZATIONS

25. Add the following organization(s)

26. Name of each previously reported organization that is NO LONGER affiliated with the registrant or client

FOREIGN ENTITIES

27. Add the following foreign entities

28. Name of each previously reported foreign entity the NO LONGER owns, OR controls, OR is affiliated with the registrant, client or affiliated organization

Signature: ON FILE Date: Aug 14, 2001

Printed Name and Title: -

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EXPENSES relating to lobbying activities for this reporting period were:

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\$10,000 or more: ☒ => Expenses (nearest \$20,000): 360,000.00

14. Reporting Method.

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