Clerk of the House of Representatives	Secretary of the Senate
Legislative Resource Center	Office of Public Records
B-106 Cannon Building	232 Hart Building
Washington, DC 20515	Washington, DC 20510

LOBBYING REPORT

SECRETARY REC
AUG 11

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required to Complete This Page**

1. Registrant name

Organization   Rockwell Collins, Inc.

2. Address   ☐ Check if different than previously reported

Address1   400 Collins Road NE

City   Cedar Rapids   State IA   Zip Code 52498   Country USA

3. Principal place of business (if different than line 2)

City   State   Zip Code   Country

City   State/Zip or Country

4a. Contact Name	b. Telephone number	c. E-mail	**5. Senate ID #**
Prefix Full Name			
Mr. Michael K. McDonald	703.516.8230	mkmcdona@rockwellcollins.com	68296-36

7. Client Name   ☐ Self

Rockwell Automation

6. House ID #   35671001

TYPE OF REPORT   8. Year 2006   Midyear (January 1-June30) ☒   OR   Year End (July 1-December 3

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ ⇨ Termination Date _____   11. No Lobbying Activi

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INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms	**13. Organizations**
INCOME relating to lobbying activities for this reporting period was:	EXPENSES relating to lobbying activities for this reporting were:
Less than $10,000 ☐	Less than $10,000 ☐
$10,000 or more ☒ ⇨ $ 112,500	$10,000 or more ☐ ⇨ $ _____

Provide a good faith estimate, rounded to the nearest $20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

14. REPORTING METHOD. Check box to indicate expen: accounting method. See instructions for description of optior

☐ **Method A.** Reporting amounts using LDA definitions onl
☐ **Method B.** Reporting amounts under section 6033(b)(8) c Internal Revenue Code
☐ **Method C.** Reporting amounts under section 162(e) of the Revenue Code

Form Co

Printed Name and Title   Michael K. McDonald, Vice President Government Operations

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Registrant Name __Rockwell Collins, Inc.__   Client Name __Rockwell Automation__

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the r
engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code**
information as requested. Attach additional page(s) as needed.

15. General issue area code __ACC - Accounting__ (one per page)

16. Specific lobbying issues

> Accounting Reforms
> Federal Accounting Standards Board - Pension Funding
> Corporate Accounting/Governance
> Securities and Exchange Commission Reporting and Compliance - Exec. Comp. Reporting/code
> Stock Option Accounting

17. House(s) of Congress and Federal agencies contacted ☐ None ☒ House ☒ Senate ☐ Other

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)
Martha	Cogdell		
Michael	McDonald		

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Printed Name and Title   Michael K. McDonald, Vice President Government Operations

Registrant Name __Rockwell Collins, Inc.__   Client Name __Rockwell Automation__

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the r engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code __CPT - Copyright/Patent/Trademark__ (one per page)

16. Specific lobbying issues

> Departments of Commerce Authorization and Appropriations
> Patent Office Operations
> Patent Reform
> Cross License Agreements

17. House(s) of Congress and Federal agencies contacted ☐ None ☒ House ☒ Senate ☒ Other

> Department of Commerce, Patent and Trademark Office

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)
Martha	Cogdell		
Michael	McDonald		

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Printed Name and Title __Michael K. McDonald, Vice President Government Operations__

Registrant Name ___Rockwell Collins, Inc.___   Client Name ___Rockwell Automation___

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the r
engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code**
information as requested. Attach additional page(s) as needed.

15. General issue area code ___DEF - Defense___ (one per page)

16. Specific lobbying issues

> National Defense Authorization and Appropriations
> Budget Requests submitted by the Department of Defense
> Federal Government Acquisition Policy
> Office of Management and Budget (Office of Federal Procurement Policy)
> Office of Naval Research Programs

17. House(s) of Congress and Federal agencies contacted ☐ None ☒ House ☒ Senate ☒ Other

> Department of Defense

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)
Martha	Cogdell		
Michael	McDonald		

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Printed Name and Title ___Michael K. McDonald, Vice President Government Operations___

Registrant Name ___Rockwell Collins, Inc.___   Client Name ___Rockwell Automation___

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the r engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code ___ENG - Energy/Nuclear___ (one per page)

16. Specific lobbying issues

> Department of Energy Budget
> Renewable Energy & Conversation Programs
> DOE - Transportation Programs - Partnership Programs
> DOE - Superconductivity Program

17. House(s) of Congress and Federal agencies contacted ☐ None ☒ House ☒ Senate ☒ Other

> Department of Energy
> NIST

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)
Martha	Cogdell		
Michael	McDonald		

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Printed Name and Title   Michael K. McDonald, Vice President Government Operations

Registrant Name ___Rockwell Collins, Inc._____   Client Name ___Rockwell Automation_____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the r engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code ___ENV - Environmental/Superfund_____ (one per page)

16. Specific lobbying issues

> Superfund Reform
> Waste Remediation - Recycling
> Clean Water Act
> Clear Skies

17. House(s) of Congress and Federal agencies contacted ☐ None ☒ House ☒ Senate ☒ Other

> Environmental Protection Agency

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)
Martha	Cogdell		
Michael	McDonald		

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Printed Name and Title Michael K. McDonald, Vice President Government Operations

Registrant Name ___Rockwell Collins, Inc.___   Client Name ___Rockwell Automation___

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the r engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code ___HCR - Health Issues___ (one per page)

16. Specific lobbying issues

> Medical Malpractice Reform
> Mental Health Parity
> Medical Errors/Quality Reporting
> Health Care Information - Infrastructure
> Genetic Discrimination
> Health Care/Health Reimbursement Accounts

17. House(s) of Congress and Federal agencies contacted ☐ None ☒ House ☒ Senate ☒ Other

> Department of labor
> Department of Health and Human Services
> Department of Treasury
> International Revenue Service

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)
Martha	Cogdell		
Michael	McDonald		

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Printed Name and Title ___Michael K. McDonald, Vice President Government Operations___

Registrant Name ___Rockwell Collins, Inc._____   Client Name ___Rockwell Automation_____

ADDENDUM for General Lobbying Issue Area ___HCR___

16. Specific lobbying issues (continued from previous page)

Flexible Spending Accoungs

Registrant Name <u>Rockwell Collins, Inc.</u>   Client Name <u>Rockwell Automation</u>

ADDENDUM for General Lobbying Issue Area <u>HCR</u>

16. Specific lobbying issues (continued from previous page)

Add page to continue specific issues description for this is.

Registrant Name Rockwell Collins, Inc.   Client Name Rockwell Automation

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the r
engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code**
information as requested. Attach additional page(s) as needed.

15. General issue area code   IMM - Immigration   (one per page)

16. Specific lobbying issues

> Personnel Mobility
> Visas Process/Procedures Reform
> H1-B Visas
> B Visa Reforms

17. House(s) of Congress and Federal agencies contacted ☐ None ☒ House ☒ Senate ☒ Other

> U.S. Trade Representatives
> State Department DHS
> Department of State
> Department of Commerce

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)
Martha	Cogdell		
Michael	McDonald		

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Printed Name and Title   Michael K. McDonald, Vice President Government Operations

Registrant Name __Rockwell Collins, Inc.__   Client Name __Rockwell Automation__

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the r engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code __LBR - Labor Issues/Antitrust/Workplace__ (one per page)

16. Specific lobbying issues

> Overtime Pay
> Comp-time
> Fair Labor Standards Act Reform
> Family Medical Leave Act

17. House(s) of Congress and Federal agencies contacted ☐ None ☒ House ☒ Senate ☒ Other

> Department of Labor

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)
Martha	Cogdell		
Michael	McDonald		

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Printed Name and Title   Michael K. McDonald, Vice President Government Operations

Registrant Name ___Rockwell Collins, Inc.___   Client Name ___Rockwell Automation___

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code ___MMM - Medicare/Medicaid___ (one per page)

16. Specific lobbying issues

Medicare Reform and Prescription Drug Coverage

17. House(s) of Congress and Federal agencies contacted ☐ None ☒ House ☒ Senate ☐ Other

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)
Martha	Cogdell		
Michael	McDonald		

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Printed Name and Title   Michael K. McDonald, Vice President Government Operations

Registrant Name Rockwell Collins, Inc.   Client Name Rockwell Automation

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code RET - Retirement _____ (one per page)

16. Specific lobbying issues

Pension Reform
Social Security Reform
Pension Plan Funding

17. House(s) of Congress and Federal agencies contacted ☐ None ☒ House ☒ Senate ☒ Other

Department of Treasury

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Name Last Name	Suffix	Covered Official Position (if applicable)
Martha	Cogdell		
Michael	McDonald		

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Printed Name and Title Michael K. McDonald, Vice President Government Operations

Registrant Name __Rockwell Collins, Inc.__   Client Name __Rockwell Automation__

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the r engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code __TAX - Taxation/Internal Revenue Code__   (one per page)

16. Specific lobbying issues

R&D Tax Credit
Charitable Deductions
Energy Tax Incentives
Section 406 & 911
Deferred Compensation
Executive Compensation

17. House(s) of Congress and Federal agencies contacted ☐ None ☒ House ☒ Senate ☒ Other

Department of the Treasury
Office of the United States Trade Representatives

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Name Last Name	Suffix	Covered Official Position (if applicable)
Martha	Cogdell		
Michael	McDonald		

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Printed Name and Title   Michael K. McDonald, Vice President Government Operations

Registrant Name Rockwell Collins, Inc.   Client Name Rockwell Automation

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the i engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code TRD - Trade (Domestic & Foreign)   (one per page)

16. Specific lobbying issues

> Export Controls
> Renewal of Export Administration Act
> Trade Agreements and Treaties
> Trade Financing
> WTO
> Tariffs International Standards

17. House(s) of Congress and Federal agencies contacted ☐ None ☒ House ☒ Senate ☒ Other

> The White House
> Department of State
> Department of Commerce
> Export-Import Bank

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)
Martha	Cogdell		
Michael	McDonald		

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Printed Name and Title Michael K. McDonald, Vice President Government Operations

Registrant Name ___Rockwell Collins, Inc.___   Client Name ___Rockwell Automation___

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the r engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code _____ (one per page)

16. Specific lobbying issues   *Add page to continue specific issues description for this issue* ▷

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17. House(s) of Congress and Federal agencies contacted ☐ None ☐ House ☐ Senate ☐ Other

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18. Name of each individual who acted as a lobbyist in this issue area *Add a page to continue additing lobbyists for thi*

First Name	Name Last Name	Suffix	Covered Official Position (if applicable)

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Printed Name and Title ___Michael K. McDonald, Vice President Government Operations___

Registrant Name Rockwell Collins, Inc. Client Name Rockwell Automation

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

Address

City		State	Zip Code	Country

21. Client new principal place of business (if different than line 20)

City		State	Zip Code	Country

22. New general description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is **no longer** expected to act as a lobbyist for the client

First Name	Last Name	Suffix	First Name	Last Name	Suff
1			3		
2			4		

ISSUE UPDATE

Find the code to select below.

24. General lobbying issues that **no longer** pertain

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Name	Address	Principal place of Business (city and state or country)
	Address	City
	C/S/Z	State Country
	Address	City
	C/S/Z	State

26. Name of each previously reported organization that is **no longer** affiliated with the registrant or client

1	2	3

FOREIGN ENTITIES

27. Add the following foreign entities

Name	Address Street Address City State/Province Country	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Owne percer client
		City State Country		

28. Name of each previously reported foreign entity that **no longer** owns, **or** controls, **or** is affiliated with the registrant, cl affiliated organization

1	3	5
2	4	6

Add a page for more up(

Printed Name and Title Michael K. McDonald, Vice President Government Operations